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ANDRZEJ BIAŁYNICKI-BIRUŁA, ZBIGNIEW CIESIELSKI,  
JERZY ŁOŚ, ZBIGNIEW SEMADENI

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**A method of holomorphic retractions and pseudoinverse  
matrices in the theory of continuation of  $\delta$ -tempered  
functions**

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## § 1. Introduction

In the paper we investigate some problems of the theory of continuation of holomorphic functions with restricted growth.

Let  $X$  be a complex analytic space countable at infinity and let  $M$  be an analytic submanifold of  $X$  ( $\dim M < \dim X$ ). Let  $\mathcal{O}(X)$  (resp.  $\mathcal{O}(M)$ ) denote the space of all holomorphic functions on  $X$  (resp. on  $M$ ).

One can pose the following general continuation problem:

Given  $f \in \mathcal{O}(M)$ , does  $f$  admit an extension  $\hat{f} \in \mathcal{O}(X)$  such that the growth of  $\hat{f}$  on  $X$  is in some sense similar to the growth of  $f$  on  $M$ ?

One of the most useful definitions of the growth of holomorphic functions is by estimates of the form  $\delta^k |f| \leq c$ , where  $\delta$  is a given function; more precisely:

Let  $\delta: X \rightarrow (0, +\infty)$  be a bounded function. For  $k \geq 0$  let  $\mathcal{O}^{(k)}(X, \delta)$  (resp.  $\mathcal{O}^{(k)}(M, \delta)$ ) denote the vector space of all functions  $f$  holomorphic on  $X$  (resp. on  $M$ ) such that the function  $\delta^k f$  is bounded. The space  $\mathcal{O}^{(k)}(X, \delta)$  (resp.  $\mathcal{O}^{(k)}(M, \delta)$ ) has the natural structure of a normed space with the norm given by the formula:  $f \rightarrow \|\delta^k f\|_\infty$ , where  $\|\cdot\|_\infty$  denotes the supremum norm. Put  $\mathcal{O}(X, \delta) = \bigcup_{k \geq 0} \mathcal{O}^{(k)}(X, \delta)$  and analogously  $\mathcal{O}(M, \delta) = \bigcup_{k \geq 0} \mathcal{O}^{(k)}(M, \delta)$ .  $\mathcal{O}(X, \delta)$  (resp.  $\mathcal{O}(M, \delta)$ ) is a complex algebra with a unit element (cf. § 2).

Let  $R = R_M^X$  denote the restriction operator

$$\mathcal{O}(X) \ni f \rightarrow f|_M \in \mathcal{O}(M).$$

Note that  $R$  maps  $\mathcal{O}^{(k)}(X, \delta)$  continuously into  $\mathcal{O}^{(k)}(M, \delta)$ ,  $k \geq 0$ .

Now our problem of continuation of holomorphic functions with restricted growth may be formulated as follows:

Given a triple  $(X, M, \delta)$ , when is

$$(C) \quad \mathcal{O}(M, \delta) = R_M^X(\mathcal{O}(X, \delta))$$

satisfied?

Many classical problems concerning holomorphic continuation (or interpolation) with controlled growth may easily be translated into this language. For example:

Let  $X = \mathbb{C}^n$  and let  $M$  be an analytic subset of  $\mathbb{C}^n$ . We ask whether every function  $f \in \mathcal{O}(M)$  with polynomial growth on  $M$  extends to a polyno-

mial  $\hat{f}$  of  $n$ -complex variables. Take  $\delta = \delta_0 = (1 + \|z\|^2)^{-1/2}$ . By the Liouville theorem, the space  $\mathcal{O}^{(k)}(\mathbb{C}^n, \delta_0)$  is identical with the space of all polynomials of degree  $\leq [k]$ , and so the above question may be equivalently formulated as follows:

When does the triple  $(\mathbb{C}^n, M, \delta_0)$  satisfy (C)?

Similarly, putting  $\delta = e^{-\|z\|^r}$ , we get a problem of continuation of functions with exponential growth (see §3).

Taking into considerations the algebraical and topological structures of  $\mathcal{O}(X, \delta)$  and  $\mathcal{O}(M, \delta)$ , one may consider some stronger versions of (C), for instance:

(H) There exists an algebra homomorphism

$$T: \mathcal{O}(M, \delta) \rightarrow \mathcal{O}(X, \delta)$$

such that  $R \circ T = \text{id}$ .

(L)  $\exists \sigma \geq 0: \forall \eta > 1 \exists c = c(\eta) > 0: \forall k \geq 0$ : there exists a linear continuous extension operator

$$L_k: \mathcal{O}^{(k)}(M, \delta) \rightarrow \mathcal{O}^{(k+\sigma)}(X, \delta)$$

such that  $\|L_k\| \leq c\eta^k$ .

The simplest case is that in which  $X$  is a domain of holomorphy in  $\mathbb{C}^n$ —in this case, for some special triples  $(X, M, \delta)$ , problem (C) was studied, for instance, in [1], [2], [21], [22].

On the other hand, the most interesting case is that in which  $X$  is a Stein domain spread over  $\mathbb{C}^n$ —in particular, by the passage to the envelope of holomorphy, this permits us to study (C) for all open sets in  $\mathbb{C}^n$ . In the case of Stein domains over  $\mathbb{C}^n$ , some results related to (C), (H), (L) were proved by the author in [1], [12], [13], [14]. In a more general context, the problems (C), (H), (L) will be studied in the present paper.

The main result of the paper is the following:

**THEOREM 5.7.** *Let  $X$  be a Stein domain over  $\mathbb{C}^n$ , let  $M$  be an analytic submanifold of  $X$  and let  $\delta$  be a regular weight function on  $X$  (see Def. 2.11; if  $X$  is a domain of holomorphy in  $\mathbb{C}^n$ , then we can take, for instance,  $\delta = \delta_X = \min\{\varrho_X, \delta_0\}$ , where  $\varrho_X$  denotes the distance to the boundary of  $X$ ). Assume that there exists a  $G \in [\mathcal{O}(X, \delta)]^m$  such that*

$$M \subset G^{-1}(0),$$

$$\text{rank}(d_x G) = \text{codim}_x M =: r(x), \quad x \in M,$$

$$\|(d_x G) \wedge \dots \wedge (d_x G)\| \geq b\delta^\beta(x), \quad x \in M \quad (b > 0, \beta \geq 0 \text{ constants}).$$

$r(x)$  times

Then  $(X, M, \delta)$  satisfies (L).

The above result is a simultaneous generalization of some results of [1], [2], [11], [13], [21], [22] (for details see § 5).

The paper is organized as follows:

§ 2 is of preparatory nature. We collect in it some basic properties of algebras of type  $\mathcal{O}(X, \delta)$ . Most of the results presented in that section are taken from [3], [8], [9], [10] and [14].

Some general remarks relating to (C), (H) and (L) are presented in § 3. The main result of that section is a characterization of the solvability of (H) contained in Corol. 3.9. Namely we have proved that if  $X$  is a Stein domain,  $\delta$  is a regular weight function and  $M$  is determined by functions from  $\mathcal{O}(X, \delta)$ , then each bounded homomorphic extension operator (as in (H)) is given by the formula  $Tf = f \circ \pi$ ,  $f \in \mathcal{O}(M, \delta)$ , where  $\pi: X \rightarrow M$  is a suitably chosen holomorphic retraction. Note that the existence of a holomorphic retraction  $\pi: X \rightarrow M$  implies that the analytic subset  $M$  must be a submanifold (cf. Remark 3.10). In the second part of § 3 we present some examples which illustrate the relations between the classical theory of interpolation for holomorphic functions and (C). These examples are also studied in § 5. § 3 is based on [5], [11], [12], [13], [14], [15], [19] and [20].

In § 4 a generalized version of Nullstellensatz for holomorphic functions with restricted growth on Riemann domains is presented (Th. 4.1). As a consequence of this result we get the fundamental theorem on holomorphic continuation from some special ("regular") neighbourhoods of  $M$  (Th. 4.3). Theorem 4.3 is a particular case of Th. 1 from [11]. In the case where  $X \in \text{top } \mathbb{C}^n$  analogous results were proved in [2] and [16].

The main result of the paper (Th. 5.7) is formulated in § 5. In the same section we also present some of well-known results, which are special cases of Th. 5.7. The proof of Th. 5.7. is given in § 6.

The proof is based on a method of holomorphic retractions implied by Lemma 3.11. By Lemma 3.11, a triple  $(X, M, \delta)$  satisfies (L) if one can find a neighbourhood  $U$  of  $M$  and a holomorphic retraction  $\pi: U \rightarrow M$  such that for every holomorphic function  $f$  with controlled growth on  $U$  (specified in the lemma) there exists a holomorphic function  $\hat{f}$  with controlled growth on  $X$  with  $\hat{f} = f$  on  $M$ . In the case  $X \in \text{top } \mathbb{C}^n$ , an analogous method was used in [2]. Our approach to the construction of holomorphic retractions is different from that in [2]. The main concept is based on a method of pseudoinverse matrices (cf. Lemmas 6.2, 6.3). The idea of the method is taken from [23]. This method of proof permits us to make it elementary.

Most of the results presented in this paper were announced in the preprint [15].

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## § 2. Basic properties of $\delta$ -tempered holomorphic functions

Let  $X$  be a complex analytic space countable at infinity and let  $\delta: X \rightarrow (0, +\infty)$  be a fixed function. For  $k \geq 0$  let

$$\mathcal{O}^{(k)}(X, \delta) := \{f \in \mathcal{O}(X) : \exists c = c(f) \geq 0 : \delta^k |f| \leq c\}$$

= the space of all  $\delta$ -tempered holomorphic functions on  $X$  of degree  $\leq k$ .

Put

$$\mathcal{O}(X, \delta) := \bigcup_{k \geq 0} \mathcal{O}^{(k)}(X, \delta)$$

= the set of all  $\delta$ -tempered holomorphic functions on  $X$ .

2.1. It can be seen that:

(a) The space  $\mathcal{O}^{(k)}(X, \delta)$  endowed with the norm

$$(*) \quad \mathcal{O}^{(k)}(X, \delta) \ni f \rightarrow \|\delta^k f\|_{\infty} \in \mathbf{R}_+$$

is a complex normed space.

(b)  $\mathcal{O}^{(0)}(X, \delta) = H^{\infty}(X) =$  the Banach algebra of all bounded holomorphic functions on  $X$ .

(c)  $\mathcal{O}^{(k)}(X, \delta) \cdot \mathcal{O}^{(k')}(X, \delta) \subset \mathcal{O}^{(k+k')}(X, \delta)$ ,  $k, k' \geq 0$ .

(d) If  $\delta$  is bounded, then

$$\mathcal{O}^{(k)}(X, \delta) \subset \mathcal{O}^{(k')}(X, \delta), \quad k \leq k'.$$

In particular, if  $\delta$  is bounded then  $\mathcal{O}(X, \delta)$  is a complex algebra with a unit element and

$$(**) \quad \mathcal{O}(X, \delta) = \bigcup_{k \in \mathbf{N}} \mathcal{O}^{(k)}(X, \delta).$$

(e) If  $1/\delta$  is locally bounded (e.g.,  $\delta$  is lower semi-continuous) then for every compact  $K \subset X$ :

$$(***) \quad \sup_K |f| \leq [\sup_K (1/\delta)]^k \|\delta^k f\|_{\infty}, \quad f \in \mathcal{O}^{(k)}(X, \delta).$$

Consequently, if  $1/\delta$  is locally bounded then the topology induced by the norm (\*) is stronger than the topology of uniform convergence on compact subsets of  $X$ ; in particular,  $\mathcal{O}^{(k)}(X, \delta)$  is a complex Banach space.

2.2. Note that:

(a) If  $\delta$  is unbounded then  $\mathcal{O}(X, \delta)$  need not be a vector space and (\*\*) need not be true. For example:

Let  $X = \mathbf{C}$ ,  $\delta = |e^{\theta}|$ , where  $g \in \mathcal{O}(\mathbf{C})$  is such that  $g(-n) = -\ln n$ ,  $g(n) = \ln n$ ,  $n \in \mathbf{N}$ . For  $k \geq 0$ , let  $f_k := e^{-k\theta}$ . Obviously  $f_k \in \mathcal{O}^{(k)}(\mathbf{C}, \delta)$ ,  $k \geq 0$ , but  $f_1 + f_2 \notin \mathcal{O}(\mathbf{C}, \delta)$  and  $f_{1/2} \notin \bigcup_{k \in \mathbf{N}} \mathcal{O}^{(k)}(\mathbf{C}, \delta)$ .



(b) If  $1/\delta$  is not locally bounded then the space  $\mathcal{O}^{(k)}(X, \delta)$  need not be complete ( $k > 0$ ). For example:

Let  $X = \{x+iy \in \mathbb{C}: 0 < x, y < 1\}$ . By the Runge approximation theorem one can construct a sequence  $(f_n)_{n=1}^\infty$  of complex polynomials and a bounded non-holomorphic function  $f_0: X \rightarrow \mathbb{C}$  such that:

$$\forall z \in X \exists n(z): \forall n \geq n(z): |f_n(z) - f_0(z)| \leq 1/n \quad (\text{see [18], p. 382}).$$

Put  $\delta := \inf \{1, (\sqrt{n}|f_n - f_0|)^{-1}, n \in \mathbb{N}\}$ . It can be seen that  $\delta: X \rightarrow (0, 1]$  and  $\|\delta(f_n - f_0)\|_\infty \leq 1/\sqrt{n}, n \geq 1$ . Thus  $(f_n|_X)_{n=1}^\infty$  is a Cauchy sequence in  $\mathcal{O}^{(1)}(X, \delta)$  which has no limit in this space.

**2.3** (cf. [8], Prop. 1). Assume that  $\dim \mathcal{O}(X) = \infty$ . If  $1/\delta$  is locally bounded then  $\mathcal{O}^{(k)}(X, \delta)$  is of the first Baire category in  $\mathcal{O}(X)$  in the topology of uniform convergence on compact subsets of  $X$ . Consequently, if  $\delta$  is bounded then, in view of (\*\*),  $\mathcal{O}(X, \delta)$  is of the first Baire category in  $\mathcal{O}(X)$ .

Let  $X_1, X_2$  be two complex analytic spaces countable at infinity and let  $\delta_j: X_j \rightarrow (0, +\infty)$  be a bounded function,  $j = 1, 2$ .

#### 2.4. A linear mapping

$$L: \mathcal{O}(X_1, \delta_1) \rightarrow \mathcal{O}(X_2, \delta_2)$$

is said to be *bounded* if for every  $k_1 \geq 0$  there exists a  $k_2 \geq 0$  such that the operator  $L|_{\mathcal{O}^{(k_1)}(X_1, \delta_1)}$  maps continuously  $\mathcal{O}^{(k_1)}(X_1, \delta_1)$  into  $\mathcal{O}^{(k_2)}(X_2, \delta_2)$ .

A linear isomorphism  $L: \mathcal{O}(X_1, \delta_1) \rightarrow \mathcal{O}(X_2, \delta_2)$  is called a *bounded isomorphism* if the operators  $L$  and  $L^{-1}$  are bounded (cf. [3], §§ 2.1, 2.2).

Bounded algebra homomorphisms play the role of morphisms in the category of algebras of type  $\mathcal{O}(X, \delta)$ ; if  $L: \mathcal{O}(X_1, \delta_1) \rightarrow \mathcal{O}(X_2, \delta_2)$  is a bounded algebra isomorphism, then the algebras  $\mathcal{O}(X_1, \delta_1)$  and  $\mathcal{O}(X_2, \delta_2)$  can be identified.

**2.5.** Observe that if  $\varphi: X_2 \rightarrow X_1$  is a holomorphic mapping such that

$$\delta_2^\gamma \leq c\delta_1 \circ \varphi \quad (\gamma, c > 0 \text{ constants}),$$

then the operator  $\varphi^*|_{\mathcal{O}(X_1, \delta_1)}$  is a bounded algebra homomorphism of  $\mathcal{O}(X_1, \delta_1)$  into  $\mathcal{O}(X_2, \delta_2)$  ( $\varphi^* f := f \circ \varphi$ ) (see also 3.6).

**2.6.** We say that two functions  $\delta_1, \delta_2: X \rightarrow (0, +\infty)$  are *equivalent* ( $\delta_1 \sim \delta_2$ ) if there exist constants  $\gamma_j, c_j > 0, j = 1, 2$ , such that:

$$\delta_1^{\gamma_1} \leq c_1 \delta_2, \quad \delta_2^{\gamma_2} \leq c_2 \delta_1 \quad (\text{cf. [3], § 1.1}).$$

It is clear that if  $\delta_1 \sim \delta_2$  then  $\mathcal{O}(X, \delta_1) = \mathcal{O}(X, \delta_2)$ , and if, moreover,  $\delta_1, \delta_2$  are bounded then the identity mapping is a bounded algebra isomorphism of  $\mathcal{O}(X, \delta_1)$  onto  $\mathcal{O}(X, \delta_2)$ .

More generally:

2.7. If  $\varphi: X_2 \rightarrow X_1$  is a biholomorphic mapping for which  $\delta_1 \circ \varphi \sim \delta_2$ , then  $\varphi^*|_{\mathcal{O}(X_1, \delta_1)}$  is a bounded algebra isomorphism of  $\mathcal{O}(X_1, \delta_1)$  onto  $\mathcal{O}(X_2, \delta_2)$  (cf. 2.5, see also 3.8).

2.8. Let  $\delta: X \rightarrow (0, +\infty)$  be a bounded function. We shall denote by  $S(X, \delta)$  the set of all characters on  $\mathcal{O}(X, \delta)$ , that is, the set of all non-zero linear and multiplicative functionals  $\xi: \mathcal{O}(X, \delta) \rightarrow \mathbb{C}$ . Let  $S_b(X, \delta)$  denote the set of all bounded characters on  $\mathcal{O}(X, \delta)$ ; a character  $\xi: \mathcal{O}(X, \delta) \rightarrow \mathbb{C}$  is said to be bounded if for every  $k \geq 0$  the operator  $\xi|_{\mathcal{O}^{(k)}(X, \delta)}$  maps continuously  $\mathcal{O}^{(k)}(X, \delta)$  into  $\mathbb{C}$ . Further, let  $E(X, \delta)$  denote the set of all evaluations on  $\mathcal{O}(X, \delta)$ , that is, the set of all characters of the form

$$\mathcal{O}(X, \delta) \ni f \rightarrow f(x) \in \mathbb{C},$$

where  $x$  is a point of  $X$ . Note that  $E(X, \delta) \subset S_b(X, \delta) \subset S(X, \delta)$ .

Now we pass to the case where  $X$  is a Riemann domain over  $\mathbb{C}^n$ .

Let  $(X, p)$  be a Riemann domain over  $\mathbb{C}^n$  countable at infinity, i.e.  $X$  is a complex  $n$ -dimensional manifold countable at infinity and  $p: X \rightarrow \mathbb{C}^n$  is a local biholomorphism.

We say that  $(X, p)$  is finitely sheeted if for every  $x \in X$  the stalk  $p^{-1}(p(x))$  is a finite set.

A set  $C \subset X$  is said to be univalent if the mapping  $p|_C$  is injective.

An open set  $X \subset \mathbb{C}^n$  will always be identified with the domain  $(X, \text{id}_X)$ .

A domain  $(X, p)$  is said to be a Stein domain if  $X$  is a Stein manifold.

We shall frequently write  $X$  instead of  $(X, p)$ .

Let  $\|z\| = (|z_1|^2 + \dots + |z_n|^2)^{1/2}$ ,  $z = (z_1, \dots, z_n) \in \mathbb{C}^n$ , denote the Euclidean norm in  $\mathbb{C}^n$ .

For  $x \in X$  and  $r > 0$  let  $\hat{B}(x, r) = \hat{B}_x(x, r)$  denote an open univalent neighbourhood of  $x$  which is mapped (biholomorphically) by  $p$  onto the Euclidean ball  $B(p(x), r) \subset \mathbb{C}^n$ .

Put  $\varrho(x) = \varrho_x(x) := \sup \{r > 0: \hat{B}(x, r) \text{ exists}\} = \text{the Euclidean distance to the boundary of } X \text{ of the point } x$  (if  $X \in \text{top } \mathbb{C}^n$  then  $\varrho_x$  coincides with the standard Euclidean distance to  $\partial X$ ;  $\varrho_{\mathbb{C}^n} \equiv +\infty$ ).

Let  $\hat{B}(x) = \hat{B}_x(x) := \bigcup_{0 < r < \varrho(x)} \hat{B}(x, r) = \text{the maximal "ball" centred at } x$ ,

$$p_x := p|_{\hat{B}(x)},$$

$X^* \mathbb{C}^n := \{(x, z) \in X \times \mathbb{C}^n: \|z\| < \varrho(x)\}$  (note that  $(X^* \mathbb{C}^n, p \times \text{id}_{\mathbb{C}^n})$  is a Riemann domain over  $\mathbb{C}^{2n}$ ),

$$x \oplus z := p_x^{-1}(p(x) + z), (x, z) \in X^* \mathbb{C}^n.$$

2.9. Observe that:

(a) The mapping

$$\oplus: X^* \mathbb{C}^n \ni (x, z) \rightarrow x \oplus z \in X$$

is holomorphic.

(b)  $|\varrho(x \oplus z) - \varrho(x)| \leq \|z\|$ ,  $(x, z) \in X^* \mathbb{C}^n$ ,  $x \notin X_\infty$ , where  $X_\infty := \{x \in X: \varrho(x) = +\infty\}$  = the sum of all connected components of  $X$  which are mapped biholomorphically by  $p$  onto  $\mathbb{C}^n$ .

Our approach to the theory of  $\delta$ -tempered holomorphic functions on Riemann domains will be based on Hörmander's  $L^2$ -estimates for the  $\bar{\partial}$ -problem (cf. §4) and therefore we have to restrict our considerations to the case where  $X$  is Stein and  $\delta$  satisfies some additional regularity conditions.

**DEFINITION 2.10.** A function  $\delta: X \rightarrow (0, 1]$  is said to be a *Lipschitz function* on  $X$  ( $\delta \in \mathcal{L}(X)$ ) if

$$(l_1) \quad \delta \leq \varrho,$$

$$(l_2) \quad |\delta(x \oplus z) - \delta(x)| \leq \|z\|, \quad (x, z) \in X^* \mathbb{C}^n.$$

Some characterizations of Lipschitz functions will be given below. Now let us only observe that the function  $\min\{\varrho, 1\}$  is the maximal Lipschitz function on  $X$  (cf. 2.9 (b)).

Let  $\lambda = \lambda_x$  denote the Lebesgue measure on  $X$  ( $\lambda$  is locally "transported" by  $p$  from the space  $\mathbb{C}^n$ ). Let  $L^2(X)$  denote the space of all  $\lambda$ -square integrable functions on  $X$  and let  $\|\cdot\|_2$  denote the norm of  $L^2(X)$ .

Further, let  $\text{PSH}(X)$  denote the set of all plurisubharmonic (psH.) functions on  $X$ .

For any function  $\delta: X \rightarrow \mathbb{R}_+$  let us consider the following conditions:

$$(w_1) \quad \delta \leq \delta_0 \circ p,$$

where  $\delta_0(z) = (1 + \|z\|^2)^{-1/2}$ ,  $z \in \mathbb{C}^n$ .

$$(w_2) \quad \exists \alpha_0 \geq 0: \delta^{\alpha_0} \in L^2(X).$$

$$(w_3) \quad -\log \delta \in \text{PSH}(X).$$

$$(w_4) \quad \forall \tau > 0: \{x \in X: \delta(x) > \tau\} \subset \subset X.$$

**DEFINITION 2.11.** Let  $\mathcal{L}_{i_1, \dots, i_k}(X)$  denote the set of all Lipschitz functions on  $X$  which satisfy the conditions  $(w_{i_1}), \dots, (w_{i_k})$ ,  $1 \leq i_1 < \dots < i_k \leq 4$ ,  $1 \leq k \leq 4$ . Additionally, let

$$\mathcal{L}_0(X) := \mathcal{L}(X),$$

$$\mathcal{L}(X) := \mathcal{L}_1(X) = \text{the set of all weight functions on } X,$$

$$\mathcal{W}_r(X) := \mathcal{L}_{1,2,3}(X) = \text{the set of all regular weight functions on } X.$$

In the sequel we shall show that, generally speaking, every space of holomorphic functions with restricted growth on a Riemann (resp. Stein) domain  $X$  may be realized as a subspace of an algebra  $\mathcal{O}(X, \delta)$  where  $\delta \in \mathcal{L}_{1,2,4}(X)$  (resp.  $\delta \in \mathcal{L}_{1,2,3,4}(X)$ ) (see 2.28, 2.29). We shall also show that the passage to the envelope of holomorphy always permits us to reduce the problem to the case where  $X$  is Stein (see 2.31).

**2.12.** Let  $\eta: X \rightarrow [0, 1]$  be such that the set  $Y := \{\eta > 0\}$  is open. One can easily prove that the following conditions are equivalent:

$$(i) \quad \eta|_Y \in \mathcal{L}(Y),$$

(ii)  $\eta$  satisfies (1<sub>1</sub>) and (1<sub>2</sub>) on  $X$ .

In particular, if  $X = \mathbb{C}^n$  then  $\eta|_Y \in \mathcal{L}(Y)$  iff

$$|\eta(z) - \eta(z')| \leq \|z - z'\|, \quad z, z' \in \mathbb{C}^n.$$

This shows that in the case of  $\mathbb{C}^n$  our definition of Lipschitz functions is equivalent to that in [3], §1.2.

**2.13.** The function

$$\delta_X := \min \{ \varrho, \delta_0 \circ p \}$$

is the maximal weight function on  $X$ . Observe that  $\delta_{\mathbb{C}^n} = \delta_0$ .

Recall that  $\mathcal{O}^{(k)}(\mathbb{C}^n, \delta_0)$  = the space of all complex polynomials of  $n$ -complex variables of degree  $\leq [k]$  (cf. §1). By analogy, in the general case functions from  $\mathcal{O}^{(k)}(X, \delta_X)$  are called *holomorphic functions with polynomial growth on  $X$  of degree  $\leq k$* . Observe that the mapping  $p^*|_{\alpha_{\mathbb{C}^n, \delta_0}}$  is a bounded algebra monomorphism of  $\mathcal{O}(\mathbb{C}^n, \delta_0)$  into  $\mathcal{O}(X, \delta_X)$  ( $p^*$  maps  $\mathcal{O}^{(k)}(\mathbb{C}^n, \delta_0)$  into  $\mathcal{O}^{(k)}(X, \delta_X)$ ,  $k \geq 0$ ).

For every analytic subset  $M \subset X$ , functions from  $\mathcal{O}^{(k)}(M, \delta_X)$  are called *holomorphic functions with polynomial growth on  $M$  of degree  $\leq k$* .

**2.14.** For every  $0 \leq i \leq 4$ :

(a)  $\delta_1, \delta_2 \in \mathcal{L}_i(X) \Rightarrow \min \{ \delta_1, \delta_2 \} \in \mathcal{L}_i(X)$ .

(b)  $\delta_1, \dots, \delta_m \in \mathcal{L}_i(X) \Rightarrow \frac{1}{m} \delta_1 \cdot \dots \cdot \delta_m \in \mathcal{L}_i(X)$ .

(c)  $\delta \in \mathcal{L}_i(X), \alpha \geq 1 \Rightarrow \frac{1}{\alpha} \delta^\alpha \in \mathcal{L}_i(X)$ .

(d) If  $\delta_1 \in \mathcal{L}_i(X_1), \dots, \delta_m \in \mathcal{L}_i(X_m)$  then the function  $\delta$  given by the formula

$$\delta(x_1, \dots, x_m) = \frac{1}{\sqrt{m}} \min \{ \delta_1(x_1), \dots, \delta_m(x_m) \}, \quad (x_1, \dots, x_m) \in X_1 \times \dots \times X_m,$$

belongs to  $\mathcal{L}_i(X_1 \times \dots \times X_m)$ .

Let  $\Psi$  denote the set of all  $C^1$ -functions  $\psi: \mathbb{R}_+ \rightarrow \mathbb{R}_+$  such that:

(i)  $\psi$  is increasing and convex,

(ii)  $\psi(t) \geq t, t \in \mathbb{R}_+$ ,

(iii)  $\psi'(t)e^t \leq e^{\psi(t)}, t \in \mathbb{R}_+$ .

One can prove (cf. [10], Lemma 7) that:

**2.15.** For every increasing function  $\psi_0: \mathbb{R}_+ \rightarrow \mathbb{R}_+$  there exists a  $C^\infty$ -function  $\psi \in \Psi$  such that  $\psi \geq \psi_0$ .

For  $\delta: X \rightarrow (0, 1]$  and  $\psi \in \Psi$  put

$$\delta_{(\psi)} := e^{-\psi(-\log \delta)}.$$

Note that  $\delta_{(\psi)} \leq \delta$ . One can easily prove (cf. [3], § 1.5, [10], the proof of Prop. 2) that:

**2.16.**  $\delta \in \mathcal{L}_i(X)$ ,  $\psi \in \Psi \Rightarrow \delta_{(\psi)} \in \mathcal{L}_i(X)$ ,  $i = 0, \dots, 4$ , whence  $\delta_{(\psi)}$  has at least the same regularity as  $\delta$ .

The above result, as well as 2.14, give an insight into the construction of new Lipschitz functions, which satisfy some fixed regularity conditions. Let us consider the following example:

**2.17.** It is clear that for every  $\tau > 0$  there exists a constant  $c(\tau) > 0$  such that the function

$$\psi_\tau(t) := c(\tau) + e^{\tau t}, \quad t \in \mathbf{R}_+,$$

belongs to  $\Psi$ . Note that  $\delta_{(\psi_\tau)}$  is equivalent (in the sense of 2.6) to  $e^{-\delta^{-\tau}}$ . Put  $\delta_\tau := (\delta_0)_{(\psi_\tau)}$  and observe that  $\delta_\tau$  is equivalent to  $e^{-\|z\|^\tau}$ . Hence

$$\bigcap_{\tau > \mu} \mathcal{O}(\mathbf{C}^n, \delta_\tau) = E_\mu(\mathbf{C}^n) =: \text{the algebra of all entire functions} \\ \text{of order } \leq \mu \ (\mu \geq 0).$$

By analogy, in the general case, if  $M$  is an analytic subset of  $X$  (including the case where  $M = X$ ), we put:

$$E_\mu(M) := \bigcap_{\tau > \mu} \mathcal{O}(M, (\delta_X)_{(\psi_\tau)}) = \text{the algebra of all holomorphic} \\ \text{functions with exponential growth on } X \text{ of order } \leq \mu \\ = \{f \in \mathcal{O}(M) : \forall \tau > \mu \exists A_\tau, B_\tau > 0 : |f(x)| \leq A_\tau e^{B_\tau [(\delta_X(x))^{-\tau}], x \in M\}.$$

More generally:

Let  $\psi \in \Psi$  be fixed. Then for every Riemann domain  $X$  and for every analytic subset  $M$  of  $X$  the function  $\psi$  generates an algebra  $\mathcal{O}(M, (\delta_X)_{(\psi)})$  which may be considered as a generalization to  $M$  of the algebra  $\mathcal{O}(\mathbf{C}^n, (\delta_0)_{(\psi)})$ .

Let  $\eta: X \rightarrow (0, 1]$  be a function satisfying  $(I_1)$  and such that  $1/\eta$  is locally bounded. Put

$$\tilde{\eta}(x) := \inf \{ \eta(x \oplus z) + \|z\| : \|z\| < \varrho(x) \}, \quad x \in X.$$

The function  $\tilde{\eta}$  will be called the *Lipschitz regularization* of  $\eta$  (it may easily be proved that  $\tilde{\eta}$  coincides with the formal convolution of  $\eta$  (cf. [9])).

Observe that:

- 2.18.** (a)  $\tilde{\eta} \leq \eta$ .
- (b)  $\tilde{\eta} \in \mathcal{L}(X)$ .
- (c)  $\tilde{\eta} = \sup \{ \delta \in \mathcal{L}(X) : \delta \leq \eta \}$ .

Consequently, we get the following criterion for  $\delta$  to be a Lipschitz function on  $X$ :

**2.19.** Let  $\delta: X \rightarrow (0, 1]$  be such that  $\delta \leq \varrho$  and  $1/\delta$  is locally bounded. Then the following conditions are equivalent:

(i)  $\delta \in \mathcal{L}(X)$ .

(ii)  $\delta = \tilde{\delta}$ .

(iii) For every univalent set  $C \subset X$  for which  $p(C)$  is convex the function  $\delta \circ (p|_C)^{-1}$  satisfies the standard Lipschitz condition with the constant 1 in  $p(C)$  (cf. [9]).

**2.20** (cf. [9], Th. 3). Let  $X$  be a Stein domain and let  $\eta: X \rightarrow (0, 1]$  be such that  $\eta \leq \varrho$  and  $-\log \eta \in \text{PSH}(X)$ . Then  $-\log \tilde{\eta} \in \text{PSH}(X)$ .

**2.21.** Let  $N(X) := \sup \{ \# p^{-1}(p(x)): x \in X \}$ . If  $N(X) < +\infty$  (e.g.  $X \in \text{top } \mathbb{C}^n$ ), then for every  $\delta \in \mathcal{W}(X)$  and for every  $\varepsilon > 0$ :  $\delta^{n+\varepsilon} \in \mathcal{L}^2(X)$ . Consequently, if  $N(X) < +\infty$  then  $\mathcal{W}(X) = \mathcal{L}_1(X) \subset \mathcal{L}_2(X)$ .

*Proof.* By the Fubini theorem

$$\int_X \delta^{2(n+\varepsilon)} d\lambda_X \leq \int_X (\delta_0 \circ p)^{2(n+\varepsilon)} d\lambda_X \leq N(X) \int_{\mathbb{C}^n} \delta_0^{2(n+\varepsilon)} d\lambda_{\mathbb{C}^n} \leq N(X) c(n)/\varepsilon. \quad \blacksquare$$

Note that if  $N(X) = +\infty$  then  $\delta_X$  need not satisfy  $(w_2)$ .

**2.22.** If  $X$  is finitely sheeted (e.g.  $N(X) < +\infty$ ) then  $\mathcal{W}(X) = \mathcal{L}_1(X) \subset \mathcal{L}_4(X)$ .

*Proof.* We only need to observe that a closed set  $K$  of a finitely sheeted Riemann domain  $X$  is compact iff the set  $p(K)$  is bounded and  $\inf_K \varrho > 0$  (cf. [4], p. 48, the proof of Th. 8).  $\blacksquare$

Note that if  $X$  is not finitely sheeted then  $\varrho_X$  need not satisfy  $(w_4)$ .

**2.23.** For every Riemann domain  $X$ :  $\mathcal{L}_{1,2,4}(X) \neq \emptyset$ .

*Proof.* If  $N(X) < +\infty$  then the result follows from 2.21 and 2.22. In the general case, let  $\eta_0: X \rightarrow (0, 1]$  be an arbitrarily fixed continuous function satisfying  $(w_4)$ . Put

$$\eta_1 = (\min \{ \eta_0, \delta_X \})^-.$$

Then  $\eta_1$  satisfies  $(w_4)$  and belongs to  $\mathcal{L}_{1,4}(X)$  (cf. 2.18). Now one can choose  $\psi \in \Psi$  in such a way that

$$\eta := (\eta_1)_{(\psi)} \in \mathcal{L}_{1,2,4}(X) \quad (\text{cf. 2.15 and 2.16}). \quad \blacksquare$$

**2.24.** It is clear that if  $X$  is a Stein domain then  $\delta_X \in \mathcal{L}_3(X)$ .

**2.25.** If  $\mathcal{L}_{3,4}(X) \neq \emptyset$  then  $X$  is a Stein domain.

*Proof.* For the proof it suffices to observe that if  $\delta \in \mathcal{L}_{3,4}(X)$  then  $-\log \delta$  is a continuous psh. exhaustion function.  $\blacksquare$

**2.26.** For every Stein domain  $X$ :  $\mathcal{L}_{1,2,3,4}(X) \neq \emptyset$ .

Proof. If  $N(X) < +\infty$  then the result follows from 2.21, 2.22 and 2.24. In the general case the proof is analogous with that of 2.23. It is enough to observe that if  $X$  is Stein then the function  $\eta_0$  may be chosen in such a way that  $-\log \eta_0 \in \text{PSH}(X)$  (cf. 2.20). ■

**2.27** (cf. [3], §1.5). *Let  $\eta \in \mathcal{L}_4(X)$  and let  $G$  be a locally bounded family of functions  $X \rightarrow \mathbb{C}$ . Then there exists a  $\psi \in \Psi$  such that*

$$\eta_{(\psi)} |g| \leq 1, \quad g \in G.$$

Proof. It suffices to take  $\psi$  such that

$$\psi(t) \geq \sup_{g \in G} \left\{ \sup_{K_{e^{-t}}} \{ \log^+ |g| \} \right\}, \quad t \in \mathbb{R}_+,$$

where  $K_\tau := \{ \eta \geq \tau \}$ ,  $\tau > 0$  (cf. 2.15). ■

The above result implies the following two important corollaries:

**2.28.** *Let  $X$  be a Riemann (resp. Stein) domain, let  $M$  be an analytic subset of  $X$  (including the case where  $M = X$ ) and let  $F \subset \mathcal{O}(M)$  be a locally bounded family of functions. Then there exists  $\delta \in \mathcal{L}_{1,2,4}(X)$  (resp.  $\delta \in \mathcal{L}_{1,2,3,4}(X)$ ) such that*

$$F \subset \{ f \in \mathcal{O}^{(1)}(X, \delta) : \|\delta f\|_\infty \leq 1 \}.$$

Proof. The result is a consequence of 2.23 (resp. 2.26) and 2.27 with  $G := \{ f \cup 0_{X \setminus M} : f \in F \}$ . ■

**2.29.** *Let  $X$  be a Riemann (resp. Stein) domain, let  $M$  be an analytic subset of  $X$  and let  $\mathfrak{D} : M \rightarrow (0, +\infty)$  be such that  $1/\mathfrak{D}$  is locally bounded. Then there exists a  $\delta \in \mathcal{L}_{1,2,4}(X)$  (resp.  $\delta \in \mathcal{L}_{1,2,3,4}(X)$ ) such that*

$$\mathcal{O}^{(k)}(M, \mathfrak{D}) \subset \mathcal{O}^{(k)}(M, \delta)$$

and

$$\|\delta^k f\|_\infty \leq \|\mathfrak{D}^k f\|_\infty, \quad f \in \mathcal{O}^{(k)}(M, \mathfrak{D}), \quad k \geq 0.$$

In particular, if  $\mathfrak{D}$  is also bounded then the identity mapping is a bounded algebra monomorphism of  $\mathcal{O}(M, \mathfrak{D})$  into  $\mathcal{O}(M, \delta)$ .

Proof. The result is a consequence of 2.1 (\*,\*) and 2.27 with

$$G := \{ (|f|/\|\mathfrak{D}^k f\|_\infty)^{1/k} \cup 0_{X \setminus M} : k > 0, f \in \mathcal{O}^{(k)}(M, \delta), f \neq 0 \}. \quad \blacksquare$$

Let  $(\hat{X}, \hat{p})$  denote the envelope of holomorphy of  $(X, p)$  and let  $\varphi : X \rightarrow \hat{X}$  be the embedding of  $X$  into  $\hat{X}$  ( $\varphi$  is locally biholomorphic and  $\hat{p} \circ \varphi = p$ ).

Let  $\delta : X \rightarrow (0, 1]$  be a lower semi-continuous function. Define

$$\hat{\delta} = e^{-\Phi^*},$$

where  $\Phi := \sup \{ u \in \text{PSH}(\hat{X}) : u \circ \varphi \leq -\log \delta \}$ ,  $\Phi^*$  denotes the upper regularization of  $\Phi$ . The function  $\hat{\delta}$  is called the plurisubharmonic regularization of  $\delta$  (cf. [3], § 4.4).

- 2.30. (a)  $\hat{\delta} \leq 1$ ,  
 (b)  $\delta \leq \hat{\delta} \circ \varphi$ ,  
 (c)  $\hat{\delta}: \hat{X} \rightarrow (0, 1]$  and  $-\log \hat{\delta} \in \text{PSH}(\hat{X})$ .

*Proof.* (a), (b) follow directly from the definition of  $\hat{\delta}$ . We pass to the proof of (c). It is known that for every compact  $L \subset \hat{X}$  there exists a compact  $K \subset X$  such that

$$L \subset [\varphi(K)]_{\text{PSH}(X)}^{\sim} = \{\hat{x} \in \hat{X}: \forall u \in \text{PSH}(\hat{X}): u(\hat{x}) \leq \sup_K u \circ \varphi\}.$$

In particular,

$$\sup_L \Phi \leq \sup_K (-\log \delta) < +\infty.$$

This proves that the function  $\Phi$  is locally upper bounded, whence  $-\log \hat{\delta} \in \text{PSH}(\hat{X})$  (in particular  $\hat{\delta}: \hat{X} \rightarrow (0, 1]$ ). ■

Analogously with [3], § 4.4 one can easily prove that:

- 2.31.  $\varphi^*(\mathcal{O}^{(k)}(\hat{X}, \hat{\delta})) = \mathcal{O}^{(k)}(X, \delta)$  and

$$\|\hat{\delta}^k f\|_{\infty} = \|\delta^k (f \circ \varphi)\|_{\infty}, \quad f \in \mathcal{O}^{(k)}(\hat{X}, \hat{\delta}), k \geq 0.$$

In particular,  $\varphi^*|_{\mathcal{O}(\hat{X}, \hat{\delta})}$  is a bounded algebra isomorphism of  $\mathcal{O}(\hat{X}, \hat{\delta})$  onto  $\mathcal{O}(X, \delta)$ .

- 2.32.  $\delta \in \mathcal{L}_i(X) \Rightarrow \hat{\delta} \in \mathcal{L}_i(X)$ ,  $i = 0, 1$ .

(The author does not know whether the above implication is true for  $i = 2, 4$ .)

*Proof* (cf. [14]). Observe that

$$\varphi(\hat{B}_X(x, r)) = \hat{B}_{\hat{X}}(\varphi(x), r), \quad 0 < r < \varrho_X(x);$$

hence  $\varrho_X \leq \varrho_{\hat{X}} \circ \varphi$  and  $\delta_X \leq \delta_{\hat{X}} \circ \varphi$ . Obviously  $-\log \varrho_{\hat{X}}, -\log \delta_{\hat{X}} \in \text{PSH}(\hat{X})$ . Consequently, if  $\delta \leq \varrho_X$  (resp.  $\delta \leq \delta_X$ ) then  $\hat{\delta} \leq \varrho_{\hat{X}}$  (resp.  $\hat{\delta} \leq \delta_{\hat{X}}$ ). It remains to show that if  $\delta \in \mathcal{L}(X)$  then  $(\hat{\delta})^{\sim} \geq \hat{\delta}$  (cf. 2.19). In view of 2.20, it is enough to prove that  $\delta \leq (\hat{\delta})^{\sim} \circ \varphi$ . Fix  $x \in X$ . Since  $\varphi(x \oplus z) = \varphi(x) \oplus z$ ,  $(x, z) \in X^* \mathbf{C}^n$ , we get

$$\begin{aligned} (\hat{\delta})^{\sim}(\varphi(x)) &= \min \left\{ \inf \{(\hat{\delta} \circ \varphi)(x \oplus z) + \|z\|: \|z\| < \varrho_X(x)\}, \right. \\ &\quad \left. \inf \{\hat{\delta}(\varphi(x) \oplus z) + \|z\|: \varrho_X(x) \leq \|z\| < \varrho_{\hat{X}}(\varphi(x))\} \right\} \\ &\geq \min \{\hat{\delta}(x), \varrho_X(x)\} = \delta(x). \quad \blacksquare \end{aligned}$$

2.33. Let  $X$  be a Stein domain. Then we can take  $\hat{X} = X$ ,  $\varphi = \text{id}_X$ . Let  $\delta: X \rightarrow (0, 1]$  be a lower semi-continuous function and let  $\hat{\delta}$  denote its plurisubharmonic regularization. We already know that  $\mathcal{O}(X, \hat{\delta}) = \mathcal{O}(X, \delta)$  (cf. 2.31). Let  $M$  be an analytic subset of  $X$ ,  $\dim M \leq n-1$ . Then obviously  $\mathcal{O}^{(k)}(M, \hat{\delta}) \subset \mathcal{O}^{(k)}(M, \delta)$ ,  $k \geq 0$ .



Note that in general  $\mathcal{O}(M, \hat{\delta})$  need not be equal to  $\mathcal{O}(M, \delta)$  (even if  $X, M, \delta$  are very regular). For example:

Let  $X = \mathbb{C}^2, M = \{z_2 = 0\}$ . Set

$$\eta(z_1, z_2) = \begin{cases} \delta_0(z_1, z_2) & \text{if } z_2 \neq 0, \\ e^{-|z_1|} & \text{if } z_2 = 0. \end{cases}$$

Note that  $\eta \leq \delta_0$  and  $1/\eta$  is locally bounded. Let  $\delta := \tilde{\eta}$ . Then  $e^{z_1} \in \mathcal{O}^{(1)}(M, \delta)$ . We shall show that  $e^{z_1} \notin \mathcal{O}(M, \hat{\delta})$ .

Note that

$$\delta(z_1, z_2) \geq \min \{ \delta_0(z_1, z_2), \inf \{ e^{-|w_1|} + \sqrt{|z_1 - w_1|^2 + |z_2|^2} : w_1 \in \mathbb{C} \} \},$$

so  $\delta(z_1, z_2) = \delta_0(z_1, z_2), |z_2| \geq 1$ . Hence, since  $-\log \hat{\delta} \in \text{PSH}(X)$ ,

$$-\log \hat{\delta}(z_1, 0) \leq \max_{|z_2|=1} \{ -\log \hat{\delta}(z_1, z_2) \} = -\log \delta_0(z_1, 1),$$

so  $\hat{\delta}(z_1, 0) \geq \delta_0(z_1, 1), z_1 \in \mathbb{C}$ .

Consequently, for every  $k \geq 0$ :

$$\sup_M \{ \hat{\delta}^k |e^{z_1}| \} \geq \sup \{ (2+x^2)^{-k/2} e^x : x \in \mathbb{R} \} = +\infty.$$

**2.34.** In the case where  $X \in \text{top } \mathbb{C}^n$  the class of weight functions may be extended as follows (cf. [2], [6]):

A function  $\eta: X \rightarrow (0, +\infty)$  is said to be a *generalized weight function* on  $X$  ( $\eta \in \mathcal{W}^g(X)$ ) if there exist constants  $c_0, c_1 > 0, 0 < c_2 < 1, \alpha_1 > 0, \alpha_2 \geq 1$  such that

$$\eta \leq c_0 \delta_0,$$

$$[x \in X, y \in \mathbb{C}^n, \|x - y\| \leq c_1 \eta^{\alpha_1}(x)] \Rightarrow [y \in X, \eta(y) \geq c_2 \eta^{\alpha_2}(x)].$$

If moreover  $-\log \eta \in \text{PSH}(X)$  then we say that  $\eta$  is *regular* ( $\eta \in \mathcal{W}_r^g(X)$ ).

Note that  $\mathcal{W}(X) \not\subseteq \mathcal{W}^g(X)$  and  $\mathcal{W}_r(X) \not\subseteq \mathcal{W}_r^g(X)$ , but from the point of view of the theory of  $\delta$ -tempered functions the classes  $\mathcal{W}(X)$  and  $\mathcal{W}^g(X)$  are equivalent, namely (cf. [3], §§ 1.4, 4.4) for every  $\eta \in \mathcal{W}^g(X)$  (resp.  $\eta \in \mathcal{W}_r^g(X)$ ) there exist constants  $c, \gamma > 0$  such that the function  $\delta := (c\eta)^\gamma$  is equivalent to  $\eta$  and belongs to  $\mathcal{W}(X)$  (resp.  $\mathcal{W}_r(X)$ ).

We have shown how the study of  $\delta$ -tempered holomorphic functions on a Riemann domain  $X$  may be reduced to the case where  $X$  is a Stein domain and  $\delta$  is a Lipschitz function satisfying some of the conditions  $(w_1), \dots, (w_4)$ .

Now we would like to present the special properties of  $\mathcal{O}(X, \delta)$  in the case where  $X$  and  $\delta$  are sufficiently regular.

We need some auxiliary notations.

Let  $\delta: X \rightarrow (0, +\infty)$  be a  $\lambda$ -measurable function. For  $k \geq 0$  define

$$H^{(k)}(X, \delta) = \{ f \in \mathcal{O}(X) : \delta^k f \in L^2(X) \}$$



and let

$$H(X, \delta) = \bigcup_{k \geq 0} H^{(k)}(X, \delta).$$

**2.35** (cf. 2.1). (a) The space  $H^{(k)}(X, \delta)$  with the scalar product

$$(f, g) \rightarrow \int_X f \bar{g} \delta^{2k} d\lambda_X$$

is a complex unitary space ( $k \geq 0$ ).

(b) If  $\delta$  is bounded then

$$H^{(k)}(X, \delta) \subset H^{(k')}(X, \delta), \quad k' \geq k;$$

in particular,  $H(X, \delta)$  is a complex space and

$$H(X, \delta) = \bigcup_{k \in \mathbb{N}} H^{(k)}(X, \delta).$$

(c) If  $1/\delta$  is locally bounded then for every compact  $K \subset X$  there exists a constant  $c(K) > 0$  such that

$$\sup_K |f| \leq [c(K)]^k \|\delta^k f\|_2, \quad f \in H^{(k)}(X, \delta), \quad k \geq 0.$$

Consequently,  $H^{(k)}(X, \delta)$  is a complex Hilbert space which topology is stronger than the topology of uniform convergence on compact subsets of  $X$ .

(d)  $1 \in H(X, \delta)$  iff  $\delta$  satisfies  $(w_2)$ .

(e) If  $\delta^{\alpha_0} \in L^2(X)$  then

$$\mathcal{O}^{(k)}(X, \delta) \subset H^{(k+\alpha_0)}(X, \delta)$$

and

$$\|\delta^{k+\alpha_0} f\|_2 \leq \|\delta^{\alpha_0}\|_2 \|\delta^k f\|_\infty, \quad f \in \mathcal{O}^{(k)}(X, \delta), \quad k \geq 0.$$

**2.36.** One may also easily prove (cf. [10], Th. I) that if  $\dim \mathcal{O}(X) = \infty$  and  $1/\delta$  is locally bounded then  $H^{(k)}(X, \delta)$  is of the first Baire category in  $\mathcal{O}(X)$  ( $k \geq 0$ ). Consequently, if  $\delta$  is bounded then  $H(X, \delta)$  is of the first Baire category in  $\mathcal{O}(X)$ .

**2.37** ([3], § 1.3, [8], Prop. 3). If  $\delta \in \mathcal{L}(X)$  then

$$H^{(k)}(X, \delta) \subset \mathcal{O}^{(k+n)}(X, \delta)$$

and

$$\|\delta^{k+n} f\|_\infty \leq c(n, k) \|\delta^k f\|_2, \quad f \in H^{(k)}(X, \delta), \quad k \geq 0,$$

where  $c(n, k) = [\tau_n \max \{\varepsilon^n (1-\varepsilon)^k : 0 < \varepsilon < 1\}]^{-1}$ ,  $\tau_n$  = the volume of the unit ball in  $\mathbb{C}^n$ .

In view of 2.35 (e) and 2.37, if  $\delta \in \mathcal{L}_2(X)$  then  $\mathcal{O}(X, \delta) = H(X, \delta)$  and the structures of  $\mathcal{O}(X, \delta)$  and  $H(X, \delta)$  are isomorphic.

**2.38** ([3], § 1.3, [8], Prop. 2). *If  $\delta \in \mathcal{L}(X)$  then*

$$\|\delta^{k+|v|}(\partial^v f)\|_\infty \leq v! \sqrt{n^{|v|}} 2^{k+|v|} \|\delta^k f\|_\infty, \quad f \in \mathcal{O}^{(k)}(X, \delta), \quad k \geq 0, \quad v \in \mathbf{Z}_+^n,$$

where

$$\begin{aligned} \frac{\partial f}{\partial p_j}(x) &:= \frac{\partial(f \circ p_x^{-1})}{\partial z_j}(p(x)), \quad j = 1, \dots, n, \\ \partial^v &:= \left(\frac{\partial}{\partial p_1}\right)^{v_1} \circ \dots \circ \left(\frac{\partial}{\partial p_n}\right)^{v_n}, \quad v = (v_1, \dots, v_n) \in \mathbf{Z}_+^n. \end{aligned}$$

Consequently, for every  $v \in \mathbf{Z}_+^n$ ,  $\partial^v$  is a bounded linear operator of  $\mathcal{O}(X, \delta)$  into  $\mathcal{O}(X, \delta)$ .

**2.39.** Let  $\delta \in \mathcal{L}(X)$  and let  $G = (G_1, \dots, G_m): X \rightarrow \mathbf{C}^m$  be such that  $G_j \in \mathcal{O}^{(k)}(X, \delta)$ ,  $j = 1, \dots, m$ . Put

$$\|G\| := (|G_1|^2 + \dots + |G_m|^2)^{1/2} \quad \text{and} \quad \|\delta^k G\|_\infty := \sup_X \{\delta^k \|G\|\}.$$

Let  $d_x^{(s)}G := d_{p(x)}^{(s)}(G \circ p_x^{-1})$  = the  $s$ th differential of  $G$  at  $x$  ( $s \in \mathbf{N}$ ).  $d_x^{(s)}G$  is a homogeneous polynomial of degree  $s$  of  $\mathbf{C}^n$  into  $\mathbf{C}^m$ .

By the Cauchy inequalities

$$\begin{aligned} (**) \quad \|\delta^{(s)}G\| &\leq s! \left[\frac{2}{\delta(x)}\right]^s \max \{\|G(x \oplus z)\|: \|z\| = \frac{1}{2} \delta(x)\} \\ &\leq s! \left[\frac{2}{\delta(x)}\right]^{k+s} \|\delta^k G\|_\infty, \quad x \in X, \quad s \in \mathbf{N}. \end{aligned}$$

In particular,

$$(2.40) \quad \delta^{k+1} \left\| \frac{\partial G}{\partial p_j} \right\| \leq 2^{k+1} \|\delta^k G\|_\infty, \quad j = 1, \dots, n.$$

Note that

$$\begin{aligned} G(x \oplus z) - G(x) &= \int_0^1 (d_{x \oplus tz}^{(1)} G)(z) dt \\ &= (d_x^{(1)} G)(z) + \int_0^1 (1-t)(d_{x \oplus tz}^{(2)} G)(z) dt, \quad (x, z) \in X^* \mathbf{C}^n. \end{aligned}$$

Hence in view of (\*\*\*) we get the following two very useful estimates:

$$(2.41) \quad \|G(x \oplus z) - G(x)\| \leq \left[\frac{4}{\delta(x)}\right]^{k+1} \|\delta^k G\|_\infty \|z\|, \quad \|z\| \leq \frac{1}{2} \delta(x).$$

$$(2.42) \quad \|G(x \oplus z) - G(x)\| \geq \|d_x G(z)\| - 2 \left[\frac{4}{\delta(x)}\right]^{k+2} \|\delta^k G\|_\infty \|z\|^2, \quad \|z\| \leq \frac{1}{2} \delta(x).$$

**2.43** ([12], the proof of Lemma 3, [14], 2.3). *Let  $X$  be a Stein domain,  $\delta \in \mathcal{L}_{1,3}(X)$ . Then, for every  $k \geq 0$ ,  $a \in X$ , there exists a  $u_a \in \mathcal{O}^{(k+4n)}(X, \delta)$  such that*

- (i)  $u_a(a) = 1$ ,
- (ii)  $u_a(x) = 0$ ,  $x \in p^{-1}(p(x))$ ,  $x \neq a$ ,
- (iii)  $\|\delta^{k+4n}u_a\|_x \leq c(n, k)\delta^{k-2n}(a)$ , where  $c(n, k)$  depends only on  $n$  and  $k$ .

In particular,  $\mathcal{O}^{(4n)}(X, \delta)$  separates points in  $X$ .

**2.44** ([8], Th. 4). *Let  $X$  be a Stein domain,  $\delta \in \mathcal{L}_{1,3}(X)$ . Then*

(a)  $\mathcal{O}(X, \delta)$  is dense in  $\mathcal{O}(X)$  in the topology of uniform convergence on compact subsets of  $X$ ;

(b) For every  $k > 6n$  there exists an  $f \in \mathcal{O}^{(k)}(X, \delta)$  such that  $X$  is the maximal domain of existence of  $f$ .

In particular, in view of 2.31 and 2.32 we get

**2.45.** *For every Riemann domain  $X$  and for every  $\delta \in \mathcal{W}(X)$ :*

(a) *If  $\mathcal{O}(X)$  separates points then so does  $\mathcal{O}^{(4n)}(X, \delta)$ ;*

(b)  $\mathcal{O}(X, \delta)$  is dense in  $\mathcal{O}(X)$ .

**2.46** ([14], Th. 2). *Let  $X$  be a Stein domain,  $\delta \in \mathcal{W}_r(X)$ . Then  $S_\delta(X, \delta) = E(X, \delta)$ . If, moreover,  $X$  is finitely sheeted then  $S(X, \delta) = S_\delta(X, \delta) = E(X, \delta)$ .*

(The author does not know any example of an infinitely sheeted Stein domain and  $\delta \in \mathcal{W}(X)$  for which  $E(X, \delta) \not\subseteq S(X, \delta)$ .)

### §3. Holomorphic continuation and holomorphic retractions

Let  $X$  be a complex analytic space countable at infinity, let  $M$  be a closed subspace of  $X$  and let  $R = R_M^X$  denote the restriction operator

$$\mathcal{O}(X) \ni f \rightarrow f|_M \in \mathcal{O}(M).$$

We shall always assume that every connected component of  $X$  intersects  $M$ .

Let  $\delta: X \rightarrow (0, +\infty)$  be a fixed bounded function such that  $1/\delta$  is locally bounded.

We shall study the following problem of holomorphic continuation. Given  $X, M, \delta$ , find when

$$(C) \quad \mathcal{O}(M, \delta) = R_M^X(\mathcal{O}(X, \delta)), \text{ i.e., } \forall f \in \mathcal{O}(M, \delta) \exists \hat{f} \in \mathcal{O}(X, \delta): \hat{f}|_M = f.$$

The basic problem (C) has many stronger versions – for example:

(C<sub>d</sub>) (Continuation with controlled degree)

$$\forall k \exists \hat{k}: \mathcal{O}^{(k)}(M, \delta) \subset R(\mathcal{O}^{(\hat{k})}(X, \delta)).$$

(C<sub>d,n</sub>) (Continuation with controlled degree and norm)

$$\forall k \exists \hat{k}, \exists b > 0: \mathcal{O}^{(k)}(M, \delta) \subset R(\mathcal{O}^{(\hat{k})}(X, \delta))$$

and

$$\forall f \in \mathcal{O}^{(k)}(M, \delta) \exists \hat{f} \in \mathcal{O}^{(\hat{k})}(X, \delta): \hat{f}|_M = f, \quad \|\delta^{\hat{k}} \hat{f}\|_{\infty} \leq b \|\delta^k f\|_{\infty}.$$

(C<sub>d,n,l</sub>) (Linear continuation with controlled degree and norm)

$\forall k \exists \hat{k}, \exists L_k: \mathcal{O}^{(k)}(M, \delta) \rightarrow \mathcal{O}^{(\hat{k})}(X, \delta): L_k$  is a linear  
continuous extension operator.

(L) (Linear continuation with uniform estimate of degree and geometrical estimate of norm)

$$\exists \sigma \geq 0: \forall \eta > 1 \exists c = c(\eta):$$

$\forall k \exists L_k: \mathcal{O}^{(k)}(M, \delta) \rightarrow \mathcal{O}^{(k+\sigma)}(X, \delta): L_k$  is a linear continuous  
extension operator with  $\|L_k\| \leq c\eta^k$ .

(L<sub>0</sub>) (A limit version of (L))

$\exists c > 0: \forall k \exists L_k: \mathcal{O}^{(k)}(M, \delta) \rightarrow \mathcal{O}^{(k)}(X, \delta): L_k$  is a linear  
continuous extension operator with  $\|L_k\| \leq c$ .

(H) (Homomorphic continuation)

$\exists T: \mathcal{O}(M, \delta) \rightarrow \mathcal{O}(X, \delta): T$  is a homomorphic extension operator.

(H<sub>b</sub>) (Bounded homomorphic continuation)

$\exists T: \mathcal{O}(M, \delta) \rightarrow \mathcal{O}(X, \delta): T$  is a bounded homomorphic extension operator.

It can be seen that:

$$\begin{array}{ccccccc} (L_0) & \Rightarrow & (L) & \Rightarrow & (C_{d,n,l}) & \Rightarrow & (C_{d,n}) & \Rightarrow & (C_d) & \Rightarrow & (C) \\ & & & & \Uparrow & & & & \Uparrow & & \\ & & & & H_b & \Longleftrightarrow & & & H & & \end{array}$$

Below we shall show (cf. 3.5, 3.9 and 4.5) that if  $X$  is a finitely sheeted Stein domain over  $\mathbb{C}^n$  (e.g.,  $X$  is a domain of holomorphy in  $\mathbb{C}^n$ ),  $\delta \in \mathcal{W}_r(X)$  and  $M$  is an analytic subset of  $X$  determined by functions from  $\mathcal{O}(X, \delta)$  then:

$$\begin{array}{ccccccc} (L_0) & \Rightarrow & (L) & \Rightarrow & (C_{d,n,l}) & \Leftrightarrow & (C_{d,n}) & \Leftrightarrow & (C_d) & \Leftrightarrow & (C) \\ & & & & \Uparrow & & & & & & \\ & & & & (H) & \Leftrightarrow & (H_b) & & & & \end{array}$$

Let us start with a few general remarks relating to bounded linear operators between algebras of  $\delta$ -tempered functions.

Let  $X_1, X_2$  be two complex analytic spaces countable at infinity and let  $\delta_j: X_j \rightarrow (0, +\infty)$  be a bounded function such that  $1/\delta_j$  is locally bounded,  $j = 1, 2$ . Let  $L: \mathcal{O}(X_1, \delta_1) \rightarrow \mathcal{O}(X_2, \delta_2)$  be a linear operator.

LEMMA 3.1. *The following conditions are equivalent:*

- (i)  *$L$  is bounded.*  
(ii) *For every  $k_1 \geq 0$  and for every  $x_2 \in X_2$  the operator*

$$\mathcal{O}^{(k_1)}(X_1, \delta_1) \ni f \rightarrow (Lf)(x_2) \in \mathbb{C}$$

*is continuous.*

**Proof** (the method of the proof is taken from [20]). The implication (i)  $\Rightarrow$  (ii) is obvious. For the proof of (ii)  $\Rightarrow$  (i), let us fix  $k_1 \geq 0$  and observe that it is enough to prove that there exist  $r_1, r_2 > 0$  and  $k_2 \geq 0$  such that:

$$(*) \quad [f \in \mathcal{O}^{(k_1)}(X_1, \delta_1), \|\delta_1^{k_1} f\|_\infty \leq r_1] \Rightarrow [\|\delta_2^{k_2} (Lf)\|_\infty \leq r_2].$$

For  $\lambda, \mu, \nu \in \mathbb{N}$  let

$$C_{\lambda, \mu, \nu} := \{f \in \mathcal{O}^{(k_1)}(X_1, \delta_1) : \|\delta_1^{k_1} f\|_\infty \leq \lambda, \|\delta_2^\mu (Lf)\|_\infty \leq \nu\}.$$

The set  $C_{\lambda, \mu, \nu}$  is absolutely convex and

$$\bigcup_{\lambda, \mu, \nu \in \mathbb{N}} C_{\lambda, \mu, \nu} = \mathcal{O}^{(k_1)}(X_1, \delta_1).$$

The space  $\mathcal{O}^{(k_1)}(X_1, \delta_1)$  has the Baire property, whence for some  $\lambda_0, \mu_0, \nu_0 \in \mathbb{N}$ ,  $\text{int cl}(C_{\lambda_0, \mu_0, \nu_0}) \neq \emptyset$  (the interior and the closure are taken in the sense of  $\mathcal{O}^{(k_1)}(X_1, \delta_1)$ ). In consequence there exists an  $r_1 > 0$  such that

$$B_{r_1} := \{f \in \mathcal{O}^{(k_1)}(X_1, \delta_1) : \|\delta_1^{k_1} f\|_\infty \leq r_1\} \subset \text{cl}(C_{\lambda_0, \mu_0, \nu_0}).$$

We shall prove that

$$\|\delta_2^{\mu_0} (Lf)\|_\infty \leq 2\nu_0, \quad f \in B_{r_1} \text{ (cf. (*))}.$$

Fix  $f_0 \in B_{r_1}$ . Since

$$\text{cl}(C_{\lambda_0, \mu_0, \nu_0}) \subset C_{\lambda_0, \mu_0, \nu_0} + \frac{1}{2} B_{r_1},$$

there exist sequences  $(g_s)_{s=0}^\infty \subset C_{\lambda_0, \mu_0, \nu_0}$  and  $(f_s)_{s=1}^\infty \subset B_{r_1}$  such that

$$f_s = g_s + \frac{1}{2} f_{s+1}, \quad s \geq 0.$$

Hence

$$f_0 = \left( \sum_{s=0}^t 2^{-s} g_s \right) + 2^{-t-1} f_{t+1}, \quad t \geq 1.$$

The sequence  $(f_t)_{t=0}^\infty$  is bounded in  $\mathcal{O}^{(k_1)}(X_1, \delta_1)$ , and so the series  $\sum_{s=0}^\infty 2^{-s} g_s$  is convergent in  $\mathcal{O}^{(k_1)}(X_1, \delta_1)$  to  $f_0$ .

On the other hand, since  $g_s \in C_{\lambda_0, \mu_0, \nu_0}$ ,  $s \geq 0$ , the series  $\sum_{s=0}^\infty 2^{-s} Lg_s$  is absolutely convergent in  $\mathcal{O}^{(\mu_0)}(X_2, \delta_2)$  to an element with the norm  $\leq 2\nu_0$ .

In view of (ii)

$$Lf_0 = \sum_{s=0}^{\infty} 2^{-s} Lg_s,$$

which finishes the proof.

LEMMA 3.2. *Assume that  $L$  is bounded. Then the following conditions are equivalent:*

- (i)  $L$  is surjective.
  - (ii)  $\forall k_2 \exists k_1: \mathcal{O}^{(k_2)}(X_2, \delta_2) \subset L(\mathcal{O}^{(k_1)}(X_1, \delta_1))$ .
  - (iii)  $\forall k_2 \exists k_1, \exists b > 0: \mathcal{O}^{(k_2)}(X_2, \delta_2) \subset L(\mathcal{O}^{(k_1)}(X_1, \delta_1))$  and
- $$\forall f_2 \in \mathcal{O}^{(k_2)}(X_2, \delta_2) \exists f_1 \in \mathcal{O}^{(k_1)}(X_1, \delta_1): Lf_1 = f_2, \|\delta_1^{k_1} f_1\|_{\infty} \leq b \|\delta_2^{k_2} f_2\|_{\infty}.$$

PROOF. The implications (iii)  $\Rightarrow$  (ii)  $\Rightarrow$  (i) are obvious. The proof of (i)  $\Rightarrow$  (iii) is analogous with the proof of Lemma 3.1. Fix  $k_2$ . It suffices to prove that there exist  $r_1, r_2 > 0, k_1 \geq 0$  such that

$$B_{r_2} := \{f_2 \in \mathcal{O}^{(k_2)}(X_2, \delta_2): \|\delta_2^{k_2} f_2\|_{\infty} \leq r_2\} \subset L(B_{r_1}),$$

where

$$B_{r_1} := \{f_1 \in \mathcal{O}^{(k_1)}(X_1, \delta_1): \|\delta_1^{k_1} f_1\|_{\infty} \leq r_1\}.$$

Let

$$C_{\lambda, \mu, \nu} = \{f_2 \in \mathcal{O}^{(k_2)}(X_2, \delta_2): \|\delta_2^{k_2} f_2\|_{\infty} \leq \lambda, \exists f_1 \in \mathcal{O}^{(\mu)}(X_1, \delta_1): \\ Lf_1 = f_2, \|\delta_1^{\mu} f_1\|_{\infty} \leq \nu\}.$$

There exist  $\lambda_0, \mu_0, \nu_0$  and  $r_2 > 0$  such that

$$B_{r_2} \subset \text{cl}(C_{\lambda_0, \mu_0, \nu_0}) \quad (\text{cf. the proof of Lemma 3.1}).$$

Fix  $f_0 \in B_{r_2}$ . As in Lemma 3.1, one can construct a sequence  $(g_s)_{s=0}^{\infty} \subset C_{\lambda_0, \mu_0, \nu_0}$  such that

$$f_0 = \sum_{s=0}^{\infty} 2^{-s} g_s,$$

where the series is convergent in  $\mathcal{O}^{(k_2)}(X_2, \delta_2)$ .

In view of the definition of  $C_{\lambda_0, \mu_0, \nu_0}$  there exists a sequence  $(h_s)_{s=0}^{\infty} \subset \mathcal{O}^{(\mu_0)}(X_1, \delta_1)$  such that

$$Lh_s = g_s, \quad s \geq 0$$

and the series  $\sum_{s=0}^{\infty} 2^{-s} h_s$  is absolutely convergent in  $\mathcal{O}^{(\mu_0)}(X_1, \delta_1)$  to an

element  $\hat{f}_0$  with the norm  $\leq 2v_0$ . Since  $L$  is bounded, we get

$$L\hat{f}_0 = f_0,$$

which gives the required result with  $r_1 = 2v_0$ ,  $k_1 = \mu_0$ .

**COROLLARY 3.3.** *The conditions (C),  $(C_d)$  and  $(C_{d,n})$  are equivalent.*

**Proof.** The result is a consequence of Lemma 3.2 with  $X_1 = X$ ,  $X_2 = M$ ,  $\delta_1 = \delta$ ,  $\delta_2 = \delta|_M$ ,  $L = R_M^X$ .

We shall need the following lemma on the solvability of a system of linear equations.

**LEMMA 3.4.** *Let  $E$  be a normed space,  $H$  a Hilbert space,  $D$  a linear subspace of  $H$ , and let  $T_\alpha: D \rightarrow E$ ,  $\alpha \in A$ , be a family of linear operators such that*

$$S := \{v \in D: T_\alpha v = 0, \alpha \in A\}$$

*is a closed subspace of  $H$ .*

*Assume that*

$$\exists c > 0: \forall u \in E \exists v \in D: \forall \alpha \in A: T_\alpha v = u \text{ and } \|v\|_H \leq c \|u\|_E.$$

*Then there exists a linear continuous operator*

$$L: E \rightarrow D$$

*such that*

$$T_\alpha \circ L = \text{id}, \quad \alpha \in A, \quad \text{and} \quad \|L\| \leq 2c.$$

**Proof** (cf. the proof of Lemma 1 in [11]). Let  $P: H \rightarrow S$  denote the orthogonal projection. We can put

$$Lu := v - Pv, \quad u \in E,$$

where  $v = v(u)$  is an element of  $D$  such that  $T_\alpha v = u$ ,  $\alpha \in A$ . ■

**COROLLARY 3.5.** *Let  $X$  be a Riemann domain,  $\delta \in \mathcal{L}_2(X)$ . Then the conditions (C),  $(C_d)$ ,  $(C_{d,n})$  and  $(C_{d,n,l})$  are equivalent.*

**Proof.** In view of Corol. 3.3, it is enough to prove that  $(C_{d,n}) \Rightarrow (C_{d,n,l})$ . Fix  $k$  and let  $\hat{k}$  and  $b$  be as in  $(C_{d,n})$ . In view of 2.35 (e),

$$\mathcal{O}^{(\hat{k})}(X, \delta) \subset H^{(\hat{k} + \alpha_0)}(X, \delta)$$

and

$$\|\delta^{\hat{k} + \alpha_0} g\|_2 \leq \|\delta^{\alpha_0}\|_2 \|\delta^{\hat{k}} g\|_{\mathcal{L}}, \quad g \in \mathcal{O}^{(\hat{k})}(X, \delta).$$

Let  $E := \mathcal{O}^{(\hat{k})}(M, \delta)$ ,  $H := H^{(\hat{k} + \alpha_0)}(X, \delta)$ ,  $D := \{g \in H: g|_M \in E\}$ ,  $T_\alpha = R_M^X$ . Note that the set  $S = \{g \in H: g|_M = 0\}$  is closed (2.35 (c)). Hence, by Lemma 3.4, there exists a linear continuous operator

$$L_k: E \rightarrow D$$

such that  $R \circ L_k = \text{id}$  and  $\|L_k\| \leq 2b \|\delta^{\alpha_0}\|_2$ .



According to 2.37,  $L_k$  may be regarded as an operator into  $\mathcal{O}^{(\hat{k} + \alpha_0 + n)}(X, \delta)$  with the norm  $\leq 2b \|\delta^{\alpha_0}\|_2 c(n, \hat{k} + \alpha_0)$ . ■

LEMMA 3.6. Assume that  $(X_1, p)$  is a Stein domain over  $\mathbb{C}^n$ ,  $\delta_1 \in \mathcal{W}_r(X_1)$ . Then for every bounded algebra homomorphism

$$T: \mathcal{O}(X_1, \delta_1) \rightarrow \mathcal{O}(X_2, \delta_2)$$

with  $T1 \equiv 1$  there exists exactly one holomorphic mapping

$$\varphi: X_2 \rightarrow X_1$$

such that

$$(**) \quad \delta_2^\gamma \leq c \delta_1 \circ \varphi \quad (\gamma, c > 0 \text{ constants}) \quad \text{and} \quad T = \varphi^*|_{\mathcal{O}(X_1, \delta_1)}.$$

Moreover, if  $X_1$  is finitely sheeted then the same is true for every algebra homomorphism  $T$  with  $T1 \equiv 1$  (in consequence  $T$  has to be bounded).

Note that, in view of 2.5, the above result gives a full characterization of all bounded homomorphisms  $T$  with  $T1 \equiv 1$ .

Proof (the proof is based on the methods of [12]). Fix an algebra homomorphism (resp. bounded algebra homomorphism)  $T$  with  $T1 \equiv 1$ . For  $x_2 \in X_2$  let  $\xi: \mathcal{O}(X_1, \delta_1) \rightarrow \mathbb{C}$  be given by the formula

$$\xi f = (Tf)(x_2), \quad f \in \mathcal{O}(X_1, \delta_1).$$

Then  $\xi \in \mathcal{S}(X, \delta)$  (resp.  $\xi \in \mathcal{S}_b(X, \delta)$ ). By 2.46, there exists exactly one point  $x_1 \in X_1$  such that

$$\xi f = f(x_1), \quad f \in \mathcal{O}(X_1, \delta_1).$$

Put  $\varphi(x_2) := x_1$ . Then  $\varphi: X_2 \rightarrow X_1$  and  $T = \varphi^*|_{\mathcal{O}(X_1, \delta_1)}$ . In particular  $T$  satisfies condition (ii) of Lemma 3.1, and so  $T$  is bounded. It remains to prove (\*\*) and show that  $\varphi$  is holomorphic.

Due to 2.43, there exists a family  $(v_a)_{a \in X_1} \subset \mathcal{O}^{(6n+1)}(X_1, \delta_1)$  such that

$$\delta_1(a) v_a(a) = 1,$$

$$\|\delta_1^{6n+1} v_a\|_\infty \leq c(n), \quad a \in X_1,$$

where  $c(n)$  depends only on  $n$ .

Since  $T$  is bounded, there exist  $\gamma, c > 0$  such that

$$\|\delta_2^\gamma (v_a \circ \varphi)\|_\infty \leq c, \quad a \in X_1.$$

In particular,

$$\delta_2^\gamma(x_2) |v_{\varphi(x_2)}(\varphi(x_2))| \leq c, \quad x_2 \in X_2,$$

which proves (\*\*).

We pass to the proof that  $\varphi$  is holomorphic. Note that

$$f \circ \varphi \in \mathcal{O}(X_2), \quad f \in \mathcal{O}(X_1, \delta_1);$$

in particular,  $p \circ \varphi \in [\mathcal{O}(X_2)]^n$ , and so it suffices to show that  $\varphi$  is continuous.

Let  $X_2 \ni x_s \rightarrow x_0 \in X_2$ ,  $y_s := \varphi(x_s)$ ,  $z_s := p(y_s)$ ,  $s \geq 0$ . Observe that  $z_s \rightarrow z_0$ .  $1/\delta_2$  is locally bounded, and so there exists an  $\varepsilon > 0$  such that

$$\delta_2(x_s) \geq \varepsilon, \quad s \geq 0;$$

thus

$$\delta_1(y_s) \geq \frac{1}{c} \delta_2^2(x_s) \geq \frac{1}{c} \varepsilon^2 =: 2r, \quad s \geq 0.$$

We may assume that  $\|z_s - z_0\| < r$ ,  $s \geq 1$ . Then there exists a sequence  $(w_s)_{s=1}^\infty \subset p^{-1}(z_0)$  such that  $w_s \in \hat{B}(y_s, r)$ ,  $s \geq 1$ .

Now we have two possibilities:

(a) There exists an  $s_0$  such that  $w_s = y_0$ ,  $s \geq s_0$ . In this case  $y_s \in \hat{B}(y_0)$ ,  $s \geq s_0$ , and so  $y_s \rightarrow y_0$ .

(b) There exists a subsequence  $(w_{s_t})_{t=1}^\infty$  such that  $w_{s_t} \neq y_0$ ,  $t \geq 1$ . We may assume that this subsequence coincides with the initial sequence  $(w_s)_{s=1}^\infty$ . In this case, in view of 2.43, there exists a function  $f_0 \in \mathcal{C}^{(4n)}(X_1, \delta_1)$  such that  $f_0(w_s) = 0$ ,  $s \geq 1$ ,  $f_0(y_0) = 1$ . The function  $f_0 \circ \varphi$  is holomorphic; in particular,  $f_0(y_s) \rightarrow f_0(y_0) = 1$ . On the other hand, in view of (2.41),

$$\begin{aligned} |f_0(y_s)| &= |f_0(y_s) - f_0(w_s)| \leq \left[ \frac{4}{\delta_1(y_s)} \right]^{4n+1} \|\delta_1^{4n} f_0\|_\infty \|z_s - z_0\| \\ &\leq (2/r)^{4n+1} \|\delta_1^{4n} f_0\|_\infty \|z_s - z_0\| \rightarrow 0 \quad \text{as } s \rightarrow +\infty, \end{aligned}$$

which gives a contradiction. ■

**Remark 3.7.** If  $X_1$  is not Stein then the assertion of Lemma 3.6 need not be true. For example:

Let  $X, \hat{X}$ ,  $\varphi$ ,  $\delta$ ,  $\hat{\delta}$  be as in 2.31. Assume that  $\delta \in \mathcal{W}(X)$  and  $\varphi(X) \neq \hat{X}$ . Then  $(\varphi^*|_{\alpha(\hat{X}, \hat{\delta})})^{-1}$  is a bounded algebra isomorphism of  $\mathcal{O}(X, \delta)$  onto  $\mathcal{O}(\hat{X}, \hat{\delta})$  which is not given by any mapping of  $\hat{X}$  into  $X$ .

**COROLLARY 3.8.** Let  $X_1, X_2$  be Stein domains,  $\delta_j \in \mathcal{W}_r(X_j)$ ,  $j = 1, 2$ . Then for every bounded algebra isomorphism  $T: \mathcal{C}(X_1, \delta_1) \rightarrow \mathcal{C}(X_2, \delta_2)$  there exists a biholomorphic mapping  $\varphi: X_2 \rightarrow X_1$  which satisfies (\*\*) (of Lemma 3.6) and is such that  $T = \varphi^*|_{\alpha(X_1, \delta_1)}$ .

If  $X_1, X_2$  are finitely sheeted (e.g.,  $X_1, X_2$  are domains of holomorphy in  $\mathbb{C}^n$ ) then the same is true for every algebra isomorphism of  $\mathcal{O}(X_1, \delta_1)$  onto  $\mathcal{O}(X_2, \delta_2)$ .

**COROLLARY 3.9** (A characterization of solvability of (H) and (H<sub>b</sub>)). Let  $X$  be a Stein domain,  $\delta \in \mathcal{W}_r(X)$  and suppose that  $M = \bigcap_{f \in F} f^{-1}(0)$ , where  $F$  is a family of functions from  $\mathcal{O}(X, \delta)$  (we always assume that every connected component of  $X$  intersects  $M$ ). Then for every bounded homomorphic extension

operator  $T: \mathcal{O}(M, \delta) \rightarrow \mathcal{C}(X, \delta)$  there exists exactly one holomorphic retraction  $\pi: X \rightarrow M$  such that

$$(* *) \quad \delta^\gamma \leq c\delta \circ \pi \quad (\gamma, c > 0 \text{ constants}) \quad \text{and} \quad T = \pi^*|_{\mathcal{O}(M, \delta)}.$$

If  $X$  is finitely sheeted then the same is true for every homomorphic extension operator  $T$  (which, in consequence, has to be bounded).

**Proof.** The operator  $T \circ R: \mathcal{O}(X, \delta) \rightarrow \mathcal{O}(X, \delta)$  is a bounded algebra homomorphism (resp. algebra homomorphism) and  $(T \circ R)(1) \equiv 1$ . Hence, by Lemma 3.6, there exists exactly one holomorphic mapping  $\pi: X \rightarrow X$  such that  $(* *)$  holds true and  $T \circ R = \pi^*|_{\mathcal{O}(X, \delta)}$ . In particular,  $f \circ \pi = T(f|_M) = T(0) = 0$ ,  $f \in F$ , and so  $\pi: X \rightarrow M$ . If  $f \in \mathcal{O}(M, \delta)$  then we have  $f \circ \pi = (Tf) \circ \pi = (T \circ R)(Tf) = Tf$ . Hence  $T = \pi^*|_{\mathcal{O}(M, \delta)}$ . The space  $\mathcal{O}(M, \delta)$  separates points and thus  $\pi$  is a retraction. ■

**Remark 3.10.** Let  $X$  be a complex manifold and let  $M$  be an analytic subset of  $X$ . It is known that if  $\pi: X \rightarrow M$  is a holomorphic retraction then  $M$  has to be a submanifold (if  $X$  is disconnected then connected components of  $M$  may have different dimensions). In particular, under the assumptions of Corol. 3.9, if the triple  $(X, M, \delta)$  satisfies  $(H_b)$  then  $M$  has to be a submanifold.

The existence of global holomorphic retractions  $\pi: X \rightarrow M$  with  $(* *)$  as in Corol. 3.9 seems to be very rare (even without the condition  $(* *)$ ). On the other hand, it is known that if  $M$  is a submanifold of  $X$  then there exists a neighbourhood  $U$  of  $M$  and a holomorphic retraction  $\pi: U \rightarrow M$ . In the case of problem (L) this leads to the following idea (a different approach was presented, for instance, in [13], [21], [22]):

**LEMMA 3.11.** *Let  $X$  be Riemann domain over  $\mathbb{C}^n$ , let  $\delta \in \mathcal{L}_2(X)$ . Suppose that there exists a  $\sigma_0 \geq 0$  such that for every  $0 < \varepsilon < 1$  there exists an open neighbourhood  $U$  of  $M$ , a holomorphic retraction  $\pi: U \rightarrow M$  and a constant  $c > 0$  such that*

- (i)  $\forall x \in U: x \in \hat{B}(\pi(x), \varepsilon\delta(\pi(x)))$ ,
- (ii)  $\forall l \forall f \in H^{(l)}(U, \delta) \exists \hat{f} \in H^{(l+\sigma_0)}(X, \delta):$

$$\hat{f}|_M = f \quad \text{and} \quad \|\delta^{l+\sigma_0} \hat{f}\|_2 \leq c \|\delta^l f\|_2.$$

Then the triple  $(X, M, \delta)$  satisfies (L) with  $\sigma = \sigma_0 + \alpha_0 + n$ .

**Proof.** Fix  $\eta > 1$  and let  $0 < \varepsilon < 1$  be fixed in such a way that  $\frac{1+\varepsilon}{1-\varepsilon} \leq \eta$ . Let  $U, \pi, c$  be associated with  $\varepsilon$  according to the assumptions.

In view of 2.35 (e), the operator

$$L_k^{(1)}: \mathcal{O}^{(k)}(M, \delta) \ni f \rightarrow f \circ \pi \in H^{(k+\alpha_0)}(U, \delta)$$

is well-defined, linear, continuous and has the norm  $\leq \|\delta^{\alpha_0}\|_2 (1+\varepsilon)^k$ .

In view of (ii), by Lemma 3.4 (cf. the proof of Corol. 3.5 and 2.37) there exists a linear continuous operator

$$L_{k+\alpha_0}^{(2)}: H^{(k+\alpha_0)}(U, \delta) \rightarrow \mathcal{O}^{(k+\alpha_0)}(X, \delta)$$

such that

$$R_M^X \circ L_{k+\alpha_0}^{(2)} = R_M^U \quad \text{and} \quad \|L_{k+\alpha_0}^{(2)}\| \leq 2c [\tau_n \varepsilon^n (1-\varepsilon)^{k+\sigma_0+\alpha_0}]^{-1}.$$

Set

$$L_k := L_{k+\alpha_0}^{(2)} \circ L_k^{(1)}.$$

Then  $L_k: \mathcal{O}^{(k)}(M, \delta) \rightarrow \mathcal{O}^{(k+\alpha_0)}(X, \delta)$  is a linear continuous extension operator and

$$\|L_k\| \leq 2c [\tau_n \varepsilon^n (1-\varepsilon)^{\sigma_0+\alpha_0}]^{-1} \left( \frac{1+\varepsilon}{1-\varepsilon} \right)^k \leq c' \eta^k,$$

where  $c'$  is independent of  $k$ . ■

For sufficiently regular  $(X, M, \delta)$  a class of neighbourhoods  $U$  satisfying condition (ii) of Lemma 3.11 will be characterized in § 4 (Th. 4.3). The problem of existence of holomorphic retractions  $\pi: U \rightarrow M$  (as in condition (i)) will be studied in § 6 (Lemma 6.2).

Problem (L) is simpler in the case where  $M$  is a graph – more precisely:

**3.12.** Let  $(Y, q)$  be a Riemann domain over  $\mathbb{C}^{n-m}$  and let  $F = (F_1, \dots, F_m) \in [\mathcal{O}^{(\alpha)}(Y, \delta_Y)]^m$  ( $\alpha \geq 1$ ). Put

$$M := \{(y, F(y)): y \in Y\} \subset Y \times \mathbb{C}^m,$$

$M$  is the graph of  $F$ . Let  $X \in \text{top}(Y \times \mathbb{C}^m)$ ,  $p := q \times \text{id}_{\mathbb{C}^m}$ . Assume that  $M \subset X$ . We shall shortly say that  $M$  is a graph in  $X$ .

Put  $G_j(y, z) = z_j - F_j(y)$ ,  $(y, z) \in X$ ,  $j = 1, \dots, m$ . Obviously  $M = \{G = 0\}$ . One can easily check that  $\delta_X(y, z) \leq \delta_Y(y)$ ,  $(y, z) \in X$ . Hence for every  $\delta \in \mathcal{W}(X)$ :  $G := (G_1, \dots, G_m) \in [\mathcal{O}^{(\alpha)}(X, \delta)]^m$ .

Let  $(\hat{X}, \hat{p})$  be the envelope of holomorphy of  $(X, p)$  and let  $\varphi: X \rightarrow \hat{X}$  denote the embedding.

Fix  $\delta \in \mathcal{W}(X)$  and let  $\hat{\delta}$  denote its psh. regularization. In view of 2.31, there exist  $\hat{G}_1, \dots, \hat{G}_m \in \mathcal{O}^{(\alpha)}(\hat{X}, \hat{\delta})$  such that  $\hat{G}_j \circ \varphi = G_j$ ,  $j = 1, \dots, m$ . Put  $\hat{M} := \{\hat{G} = 0\}$ . Clearly  $\varphi(M) \subset \hat{M}$ . Observe that

$$\frac{\partial G_j}{\partial z_k} = \begin{cases} 1 & \text{if } k = j, \\ 0 & \text{if } k \neq j, \end{cases} \quad j, k = 1, \dots, m,$$

whence

$$\frac{\partial \hat{G}_j}{\partial \hat{p}_{n-m+k}} = \begin{cases} 1 & \text{if } k = j, \\ 0 & \text{if } k \neq j. \end{cases}$$

In particular,  $\text{rank}(d_{\hat{x}} \hat{G}) = m$ ,  $\hat{x} \in \hat{X}$ , which implies that  $\hat{M}$  is an  $(n-m)$ -dimensional submanifold of  $\hat{X}$ .

We shall prove (cf. 5.8) that if  $\hat{\delta} \in \mathcal{W}_r(\hat{X})$  (e.g.,  $N(\hat{X}) < +\infty$ , cf. 2.21) then  $(\hat{X}, \hat{M}, \hat{\delta})$  always satisfies (L). Consequently we get:

3.13. If  $\hat{\delta} \in \mathcal{W}_r(\hat{X})$  then

$$(X, M, \delta) \text{ satisfies (C)} \Leftrightarrow \varphi^*(\mathcal{O}(\hat{M}, \hat{\delta})) = \mathcal{O}(M, \delta).$$

Remark 3.14. Note that there exist very regular graphs for which condition (C) is not fulfilled. For example:  $n = 3, m = 2, Y = \mathbb{C}, F_1 = F_2 = 0, X = \mathbb{C}^3 \setminus A$ , where  $A = \{z_2 = e^{-z_1}, z_3 = 0\}$ . Clearly  $M = \{z_2 = z_3 = 0\} \subset X$ . Observe that  $\text{codim } A = 2$ , so  $\hat{X} = \mathbb{C}^3, \varphi = \text{id}_X$ . Set  $\delta = \delta_X$ . Obviously  $\hat{M} = M$  and  $\hat{\delta} \in \mathcal{W}_r(\mathbb{C}^3)$ . One can easily prove (on the analogy of 2.33) that  $e^{z_1} \in \mathcal{O}(M, \delta) \setminus \mathcal{O}(\hat{M}, \hat{\delta})$ . Hence, in view of 3.13,  $(X, M, \delta)$  does not satisfy (C).

In the case  $X = \mathbb{C}^n$  one of the most important problems of the interpolation theory is to characterize those analytic subsets  $M$  of  $\mathbb{C}^n$  for which  $E_\mu(M) = R_M^\mu(E_\mu(\mathbb{C}^n))$  (cf. 2.17), where  $\mu \geq 0$  is a fixed number.

The same problem may be formulated in the general case – we say that an analytic subset  $M$  of a Riemann domain  $X$  is an *interpolation set* for  $E_\mu(X)$  if  $E_\mu(M) = R_M^X(E_\mu(X))$ .

In the case  $X = \mathbb{C}$  the interpolation sets are completely characterized by the following theorem:

3.15 ([19]). Let  $M = \{z_s: s \in N\} \subset \mathbb{C}, |z_s| \nearrow +\infty$ . Fix  $\mu \geq 0$ . Then the following conditions are equivalent:

- (i)  $M$  is an interpolation set for  $E_\mu(\mathbb{C})$ .
- (ii) (a) For every  $\tau > \mu$  the series  $\sum_{s=1}^{\infty} |z_s|^{-\tau}$  is convergent and  
(b)  $1/K' \in E_\mu(M)$ , where  $K$  is the Weierstrass canonical product for  $(z_s)_{s=1}^{\infty}$  (cf. [18], p. 220).
- (iii) There exists a  $G \in E_\mu(\mathbb{C})$  such that  
(a')  $M = G^{-1}(0)$  and  
(b')  $\forall \tau > \mu \exists b \geq 1: |G'(z)| \geq e^{-b(1+|z|^\tau)}, z \in M$ .

Note that the implication (ii)  $\Rightarrow$  (iii) is obvious (we can take  $G := K$ ). The implication (iii)  $\Rightarrow$  (ii) is a consequence of standard properties of entire functions of order  $\leq \mu$  (cf. [18], pp. 218, 224).

In the case  $X = \mathbb{C}^n, n \geq 2$ , the situation is more complicated: generally speaking, the implication (iii)  $\Rightarrow$  (i) remains true but (i)  $\Rightarrow$  (iii) does not, namely:

3.16 ([22]). Let  $M$  be an  $(n-1)$ -dimensional submanifold of  $\mathbb{C}^n$ . Fix  $\mu \geq 0$ . Suppose that there exists a  $G \in E_\mu(\mathbb{C}^n)$  such that

$$M = G^{-1}(0),$$

and there exist  $\tau > \mu, b \geq 1$ :

- (i)  $\|d_x G\| \geq e^{-b(1+\|x\|^\tau)}, x \in M$ .

Then

(ii) for every  $m \geq 1$  there exists an  $\hat{m} \geq 1$  such that every function  $f \in \mathcal{O}(M)$  satisfying

$$|f(x)| \leq e^{m(1+\|x\|^\tau)}, \quad x \in M,$$

admits an extension to an entire function  $\hat{f}$  such that

$$|\hat{f}(x)| \leq e^{\alpha(1 + \|x\|^2)}, \quad x \in \mathbb{C}^n.$$

In particular,  $\mathcal{O}(M, \delta_*) = R(\mathcal{O}(\mathbb{C}^n, \delta_*))$ . Consequently, if for every  $\tau > \mu$  there exists a  $b \geq 1$  for which (i) is satisfied, then  $M$  is an interpolation set for  $E_\mu(\mathbb{C}^n)$  (this corresponds to the implication (iii)  $\Rightarrow$  (i) in 3.15).

On the other hand, there exists a  $G \in E_0(\mathbb{C}^2)$  such that for every  $\tau > 0$  condition (ii) is fulfilled but there are no  $\tau > 0$  for which (i) is true ([22], § 4).

Theorem 3.16 was generalized to the case of Stein domains in [13] (cf. also Corol. 5.10).

We shall end this section with a few remarks relating to problem  $(L_0)$  in the case where  $\dim M = 0$ .

LEMMA 3.17. *Let  $X$  be a Riemann domain, let  $M = \{x_s: s \in N\} \subset X$  be a zero-dimensional subset and let  $\delta: X \rightarrow (0, 1]$  be such that  $1/\delta$  is locally bounded. Then the following conditions are equivalent:*

- (i)  $(X, M, \delta)$  satisfies  $(L_0)$  with a constant  $c$ .  
(ii) For every  $k \geq 0$  there exists a sequence  $(h_t)_{t \in N} \subset \mathcal{O}^{(k)}(X, \delta)$  such that

$$(a) \quad h_t(x_s) = \begin{cases} 1 & \text{if } s = t, \\ 0 & \text{if } s \neq t, \end{cases}$$

$$(b) \quad \delta^k \sum_{t=1}^{\infty} \delta^{-k}(x_t) |h_t| \leq c.$$

Proof. (ii)  $\Rightarrow$  (i); define  $L_k f = \sum_{t=1}^{\infty} f(x_t) h_t$ ,  $f \in \mathcal{O}^{(k)}(M, \delta)$ . In view of (b)

$$\delta^k \sum_{t=1}^{\infty} |f(x_t) h_t| \leq \|\delta^k f\|_{\infty} \delta^k \sum_{t=1}^{\infty} \delta^{-k}(x_t) |h_t| \leq c \|\delta^k f\|_{\infty}.$$

Consequently,  $L_k$  is a well-defined linear continuous operator of  $\mathcal{O}^{(k)}(M, \delta)$  into  $\mathcal{O}^{(k)}(X, \delta)$ . In view of (a),  $L_k$  is an extension operator.

(i)  $\Rightarrow$  (ii); let  $f_t \in H^{\infty}(M)$  be given by the formula

$$f_t(x_s) = \begin{cases} 1 & \text{if } s = t, \\ 0 & \text{if } s \neq t. \end{cases}$$

Put  $h_t := L_k(f_t)$ ,  $t \in N$  ( $L_k$  is as in  $(L_0)$ ). Then obviously (a) is fulfilled, and so it remains to verify (b).

Fix  $x^0 \in X$  and let  $\theta_t \in \mathbb{R}$  be such that  $e^{i\theta_t} h_t(x^0) = |h_t(x^0)|$ ,  $t \in N$ . Let  $g_m := \sum_{t=1}^m \delta^{-k}(x_t) e^{i\theta_t} f_t$ ,  $m \in N$ . Then  $g_m \in \mathcal{O}^{(k)}(M, \delta)$  and  $\|\delta^k g_m\|_{\infty} \leq 1$ ,  $m \in N$ .

Hence we get

$$c \geq \|\delta^k(L_k g_m)\|_{\infty} \geq \delta^k(x^0) |(L_k g_m)(x^0)|$$

$$\begin{aligned} &\geq \delta^k(x^0) \left| \sum_{t=1}^m \delta^{-k}(x_t) e^{i\theta_t} h_t(x^0) \right| \\ &= \delta^k(x^0) \sum_{t=1}^m \delta^{-k}(x_t) |h_t(x^0)|, \quad m \in \mathbb{N}. \quad \blacksquare \end{aligned}$$

Let us consider the simplest case where  $X = D := \{z \in \mathbb{C}: |z| < 1\}$ ,  $M = \{z_s: s \in \mathbb{N}\} \subset D$ . We shall say that  $M$  is a *universal interpolation sequence* (u.i.s.) if  $H^\infty(M) = R_M^D(H^\infty(D))$  (cf. [5]).

**3.18** ([5], Thms. 1, 2).

(i)  $M$  is a u.i.s. iff there exists a  $b > 0$  such that

$$(+) \quad r_s := \prod_{\substack{t=1 \\ t \neq s}}^{\infty} \left| \frac{z_s - z_t}{1 - \bar{z}_t z_s} \right| \geq b, \quad s \in \mathbb{N}.$$

(ii) If

$$(++) \quad \limsup_{s \rightarrow +\infty} \frac{1 - |z_{s+1}|}{1 - |z_s|} < 1,$$

then  $M$  is a u.i.s.; if  $z_s \in (0, 1)$ ,  $s \in \mathbb{N}$ , and  $z_s \nearrow 1$  then  $(++)$  is also necessary for  $M$  to be a u.i.s.

(iii) Assume that there exist  $\lambda < 1$ ,  $c > 0$  such that

$$(+++ ) \quad \prod_{\substack{t=1 \\ t \neq s}}^{\infty} \left[ 1 - \left( 1 - \left| \frac{z_s - z_t}{1 - \bar{z}_t z_s} \right| \right)^\lambda \right] \geq c, \quad s \in \mathbb{N}.$$

Then  $M$  is a u.i.s. and, moreover, there exist  $c_1, c_2 > 0$ ,  $f_t \in \mathcal{O}(D)$ ,  $t \in \mathbb{N}$ , such that

$$\begin{aligned} |f_t(z_t)| &\geq c_1, \quad t \in \mathbb{N}, \\ \sum_{t=1}^{\infty} |f_t(z)| &\leq c_2, \quad z \in D. \end{aligned}$$

(iv) If  $(++)$  is satisfied then  $(+++)$  holds true with  $\lambda = 1/2$ .

**PROPOSITION 3.19.** Assume that  $(+++)$  is satisfied. Let  $\psi \in \mathcal{O}(D)$  be such that

$$0 \notin \psi(D),$$

$$\delta(z) := \psi(|z| \in (0, 1]), \quad z \in D,$$

$$\psi(|z|) \leq |\psi(z)|, \quad z \in D.$$

Then  $(D, M, \delta)$  satisfies  $(L_0)$ .

**Proof** (the concept of the proof is taken from [5]). Define

$$P_s(z) = \prod_{\substack{t=1 \\ t \neq s}}^{\infty} e^{i\theta_t} \frac{z - z_t}{1 - \bar{z}_t z}, \quad z \in D, \quad s \in \mathbb{N},$$

where  $e^{i\theta_t} z_t = -|z_t|$ ,  $t \in N$ . In view of (+),  $P_s \in \mathcal{O}(\mathbf{D})$ ,  $|P_s| \leq 1$ ,  $|P_s(z_s)| = r_s$  and  $P_s(z_t) = 0$ ,  $t \neq s$ . Let  $c_1, c_2, f_t, t \in N$ , be as in 3.18 (iii). Fix  $k \geq 0$  and put

$$g_t(z) := \frac{f_t(z) P_t(z)}{[\psi(-e^{i\theta_t} z)]^k}, \quad z \in \mathbf{D}, t \in N.$$

Obviously  $g_t \in \mathcal{O}(\mathbf{D})$ ,  $|g_t(z)| \leq \frac{|f_t(z)|}{|\psi(-e^{i\theta_t} z)|^k} \leq \delta^{-k}(z) |f_t(z)|$ ,  $z \in \mathbf{D}$ ,  $g_t(z_s) = 0$ ,  $s \neq t$ , and

$$|g_t(z_t)| = \frac{|f_t(z_t)| r_t}{\psi(|z_t|)} \geq c_1 b \delta^{-k}(z_t), \quad t \in N.$$

Let  $h_t := \frac{g_t}{g_t(z_t)}$ ,  $t \in N$ . It is easily seen that the sequence  $(h_t)_{t=1}^\infty$  satisfies condition (ii) of Lemma 3.17 (with  $c = c_2/c_1 b$ ). Thus the result is a direct consequence of Lemma 3.17. ■

**COROLLARY 3.20.** *Assume that (+ + +) is fulfilled. Then*

- (i)  $(\mathbf{D}, M, \delta_{\mathbf{D}})$  satisfies  $(L_0)$ .
- (ii) For every  $\tau > 0$ :  $(\mathbf{D}, M, \delta_{\mathbf{D}, \psi_\tau})$  satisfies  $(L_0)$  (cf. 2.17).
- (iii) For every  $\mu \geq 0$ :  $M$  is an interpolation set for  $E_\mu(\mathbf{D})$ .

**Proof.** (i) is a consequence of Prop. 3.19 with  $\psi(z) := 1 - z$ ,  $z \in \mathbf{D}$ . (ii) follows from 3.19 with  $\psi(z) = \exp[-c(\tau) - e^{-\tau \text{Log}(1-z)}]$ ,  $z \in \mathbf{D}$ . Finally (iii) is a direct consequence of (ii).

#### §4. Continuation from regular neighbourhoods

Let  $X$  be a Riemann domain over  $C^n$  countable at infinity,  $\delta \in \mathcal{L}(X)$ ,  $F = (F_1, \dots, F_N) \in [\mathcal{O}^{(X)}(X, \delta)]^N$ ,  $U \in \text{top } X$ . Assume that  $F \neq 0$  on any connected component of  $U$ .

Define

$$\Delta_{r,s}^0 = \{u \in L_{(r,s)}^2(U, \text{loc}) : \bar{\partial} u \in L_{(r,s+1)}^2(U, \text{loc})\},$$

$$\Delta_{r,s}^I = \{u = (u_I)_I : u_I \in \Delta_{r,s}^0, I = (i_1, \dots, i_t), 1 \leq i_1, \dots, i_t \leq N,$$

the system  $(u_I)_I$  is skew-symmetric with respect to  $I\}$ .

For  $u = (u_I)_I \in \Delta_{r,s}^I$  we put  $\|u\| = (\sum_I |u_I|^2)^{1/2}$ . Let

$$\bar{\partial}: \Delta_{r,s}^I \rightarrow \Delta_{r,s+1}^I$$

and

$$P: \Delta_{r,s}^{I+1} \rightarrow \Delta_{r,s}^I$$



be defined by the formulae

$$(\bar{c}u)_I := \bar{c}u_I,$$

$$(Pu)_{(i_1, \dots, i_t)} := \sum_{j=1}^N F_j u_{(i_1, \dots, i_t, j)}.$$

Additionally, let  $P: \Delta_{r,s}^0 \rightarrow 0$  be defined as the zero operator.

Using the same methods as in [6], [17], one can prove the following general version of Nullstellensatz for holomorphic functions with restricted growth on Riemann domains.

**THEOREM 4.1.** *Assume that  $U$  is Stein,  $-\log \delta \in \text{PSH}(U)$  and  $\delta \leq \delta_0 \circ p$  on  $U$ . Then, for every  $k \geq 0$ ,  $0 \leq r, s \leq n$ ,  $0 \leq t \leq N-1$ , there exists a constant  $c > 0$  (depending only on  $n, N, r, s, t, \alpha, \|\delta^\alpha F\|_\infty$ ) such that for every  $u_0 \in \Delta_{r,s}^t$  with  $Pu_0 = 0$ ,  $\bar{c}u_0 = 0$ ,*

$$J = \int_U \frac{\|u_0\|^2}{\|F\|^{2(2\mu+1)}} \delta^{2k} d\lambda < +\infty, \quad \mu = \min\{n-s, N-t-1\},$$

there exists a  $u \in \Delta_{r,s}^{t+1}$  such that  $Pu = u_0$ ,  $\bar{c}u = 0$  and

$$\int_U \|u\|^2 \delta^{2(k+\mu(2\alpha+3))} d\lambda \leq cJ.$$

In the proof of Th. 4.1 all  $L^2$ -estimates may be deduced from the following generalization of Hörmander's theorem (cf. [8], Th. 2).

**4.2.** Let  $X$  be a Stein domain, let  $\delta: X \rightarrow (0, 1]$  be such that  $-\log \delta \in \text{PSH}(X)$  and  $\delta \leq \delta_0 \circ p$  (e.g.,  $\delta \in \mathcal{L}_{1,3}(X)$ ). Then, for every  $k \geq 0$ ,  $0 \leq r, s \leq n$  and for every  $\bar{\delta}$ -closed form  $u \in L^2_{(r,s+1)}(X, \text{loc})$ , there exists a  $v \in L^2_{(r,s)}(X, \text{loc})$  such that  $\bar{\delta}v = u$  and

$$\int_X |v|^2 \delta^{2(k+2)} d\lambda \leq \int_X |u|^2 \delta^{2k} d\lambda.$$

Now we are able to present some examples of neighbourhoods  $U$  satisfying condition (ii) of Lemma 3.11.

**THEOREM 4.3.** *Let  $X$  be a Stein domain over  $\mathbb{C}^n$ ,  $\delta \in \mathcal{L}_{1,3}(X)$  and let  $M$  be an analytic subset of  $X$  for which there exists a  $G \in [\mathcal{O}^{(n)}(X, \delta)]^m$  such that  $M \subset G^{-1}(0)$ . Fix  $\theta > 0$ ,  $\gamma \geq 0$  and let  $U = U(G, \theta, \gamma, M)$  denote the sum of all connected components of the set  $V = V(G, \theta, \gamma) := \{|G| < \theta \delta^\gamma\}$  which intersect  $M$  ( $U$  will be called a regular neighbourhood of  $M$ ). Then there exists a constant  $c > 0$  (depending only on  $n, m, \alpha, \|\delta^\alpha G\|_\infty, \theta, \gamma$ ) such that*

$$\forall l \geq 0 \quad \forall f \in H^{(n)}(U, \delta) \quad \exists \hat{f} \in H^{(l+\sigma_0)}(X, \delta): \hat{f}|_M = f|_M \text{ and}$$

$$\|\delta^{l+\sigma_0} \hat{f}\|_2 \leq c \|\delta^l f\|_2,$$

where  $\sigma_0 = q(2\alpha + 2\gamma + 3)$ ,  $q := \min\{n, m\}$ .

Proof (cf. [11], Th. 1). Let us fix a function  $\psi \in C_0^\infty(\mathbf{C}^m, [0, 1])$  such that  $\psi = 1$  on  $\bar{B}(0, 1/3)$  and  $\text{supp } \psi \subset \bar{B}(0, 2/3)$ . Define  $\chi = \psi \left( \frac{1}{\theta \delta^\gamma} G \right)$ . Note that  $\chi$  is locally Lipschitz (cf. 2.19),  $\chi = 1$  on  $\{\|G\| \leq \frac{1}{3}\theta\delta^\gamma\}$  and

$$\text{supp } \chi \subset \{\|G\| \leq \frac{2}{3}\theta\delta^\gamma\} \subset V.$$

Consequently  $\bar{\partial}\chi \in L^2_{(0,1)}(X, \text{loc})$ ,  $\text{supp}(\bar{\partial}\chi) \subset \{\frac{1}{3}\theta\delta^\gamma \leq \|G\| \leq \frac{2}{3}\theta\delta^\gamma\}$  and, in view of (2.40),

$$\delta^{\alpha+\gamma+1} |\bar{\partial}\chi| \leq c_1 = c_1(n, m, \alpha, \|\delta^\alpha G\|_\infty, \theta, \gamma).$$

Let us fix  $f \in H^{(0)}(U, \delta)$ . It suffices to consider the case where  $\|\delta^l f\|_2 = 1$ . Put

$$u_0 := \begin{cases} f \bar{\partial}\chi & \text{in } U, \\ 0 & \text{in } X \setminus U. \end{cases}$$

Clearly,  $u_0 \in L^2_{(0,1)}(X, \text{loc})$ ,  $\bar{\partial}u_0 = 0$  and  $\text{supp}(u_0) \subset U \cap \text{supp}(\bar{\partial}\chi)$ . Hence

$$J = \int_X \frac{|u_0|^2}{\|G\|^{2(2q-1)}} \delta^{2(l+\alpha+2q\gamma+1)} d\lambda \leq c_2 = c_2(n, m, \theta, \gamma, c_1).$$

According to Th. 4.1 (with  $N = m$ ,  $F = G$ ,  $U = X$ ,  $r = 0$ ,  $s = 1$ ,  $t = 0$ ,  $k = l + \alpha + 2q\gamma + 1$ ) there exist  $\bar{\partial}$ -closed forms  $u_1, \dots, u_m \in L^2_{(0,1)}(X, \text{loc})$  such that

$$u_0 = u_1 G_1 + \dots + u_m G_m$$

and

$$\int_X |u_j|^2 \delta^{2(l+\sigma_0-\alpha-2)} d\lambda \leq c_3 = c_3(n, m, \alpha, \|\delta^\alpha G\|_\infty, c_2), \quad j = 1, \dots, m.$$

In view of 4.2, there exist functions  $v_1, \dots, v_m \in L^2(X, \text{loc})$  such that  $\bar{\partial}v_j = u_j$  and

$$\int_X |v_j|^2 \delta^{2(l+\sigma_0-\alpha)} d\lambda \leq c_3, \quad j = 1, \dots, m.$$

Put

$$\hat{f} := \begin{cases} f\chi - (v_1 G_1 + \dots + v_m G_m) & \text{in } U, \\ -(v_1 G_1 + \dots + v_m G_m) & \text{in } X \setminus U. \end{cases}$$

It can be seen that  $\hat{f} \in L^2(X, \text{loc})$ ,  $\bar{\partial}\hat{f} = 0$  (so  $\hat{f} \in \mathcal{O}(X)$ ),  $\hat{f} = f$  on  $M$  and

$$\|\delta^{l+\sigma_0} \hat{f}\|_2 \leq c = c(m, \alpha, \|\delta^\alpha G\|_\infty, c_3). \quad \blacksquare$$

**COROLLARY 4.4** (a generalization of Th. 4 from [12]). *Let  $X$  be a Stein domain over  $\mathbf{C}^n$ ,  $\delta \in \mathcal{W}_r(X)$  ( $\delta^{\alpha_0} \in L^2(X)$ ) and let  $M$  be an analytic submanifold of  $X$ . Suppose that there exists a holomorphic retraction  $\pi: X \rightarrow M$  such that  $p \circ \pi \in [\mathcal{O}^{(\alpha)}(X, \delta)]^n$  ( $\alpha \geq 1$ ). Then  $(X, M, \delta)$  satisfies (L) with  $\sigma = \alpha_0 + 2n\alpha + 6n$ .*

Proof. Put  $G := p - p \circ \pi$ . Clearly,  $G \in [\mathcal{C}^{(\alpha)}(X, \delta)]^n$  and  $M \subset G^{-1}(0)$ . Note that

$$x \in U \left( G, \frac{1}{1+\varepsilon}, 1, M \right) \Rightarrow x \in \hat{B}(\pi(x), \varepsilon \delta(\pi(x))).$$

Now it can be seen that the result follows from Lemma 3.11 and Th. 4.3.

**COROLLARY 4.5.** *Let  $X$  be a Stein domain,  $\delta \in \mathcal{W}_r(X)$  and let  $M$  be an analytic submanifold of  $X$  determined by functions from  $\mathcal{C}(X, \delta)$  (cf. Corol. 3.9). If  $(X, M, \delta)$  satisfies  $(H_b)$  then it satisfies  $(L)$ .*

Proof. The result is a consequence of Corol. 3.9 and Corol. 4.4.

**COROLLARY 4.6** (a generalization of Corol. 1 from [11]). *Let  $M$  be a graph as in 3.12. Assume that  $X$  is Stein,  $\delta \in \mathcal{W}_r(X)$  ( $\delta^{20} \in L^2(X)$ ). Then  $(X, M, \delta)$  satisfies  $(L)$  with  $\sigma = \alpha_0 + 2n\alpha + 6n$  (cf. 3.14).*

Proof. The mapping  $X \ni (y, z) \xrightarrow{\pi} (y, F(y)) \in M$  is a global holomorphic retraction such that  $p \circ \pi \in [\mathcal{C}^{(\alpha)}(X, \delta)]^n$ . Hence, the result is a consequence of Corol. 4.4.

Remark 4.7. Notice that, under the assumptions of Corol. 4.6,  $\pi^*$  need not map  $\mathcal{O}(M, \delta)$  into  $\mathcal{O}(X, \delta)$  (see [11]).

## § 5. Continuation from $\delta$ -regular submanifolds; Main Theorem

For  $1 \leq r \leq m$  let  $\mathcal{I}_r^m$  denote the set of all indices  $I = (i_1, \dots, i_r)$  such that  $1 \leq i_1 < \dots < i_r \leq m$ .

Let  $A = [a_{ij}]$  be an  $(m \times n)$ -dimensional matrix (with complex entries). For  $I \in \mathcal{I}_r^m$ ,  $J \in \mathcal{I}_r^n$  set  $A_{I,J} := [a_{i_\mu, j_\nu}]_{\mu, \nu=1, \dots, r}$ . Put

$$\Delta_r(A) := \|A \wedge \dots \wedge A\|_{r \text{ times}} = \left( \sum'_{I,J} |\det(A_{I,J})|^2 \right)^{1/2},$$

where the sum is taken over all  $I \in \mathcal{I}_r^m$ ,  $J \in \mathcal{I}_r^n$ .

Throughout this section  $M$  will be an analytic submanifold of a Riemann domain over  $\mathbb{C}^n$  countable at infinity. We denote by  $M_1, \dots, M_s$  purely dimensional components of  $M$ ,  $M = M_1 \cup \dots \cup M_s$ . Let  $d_j := \dim M_j$ ,  $r_j := n - d_j$ ,  $j = 1, \dots, s$ , and assume that  $0 \leq d_1 < \dots < d_s \leq n - 1$ .

**DEFINITION 5.1.** Let  $\delta: X \rightarrow (0, 1]$ ; we shall say that  $M$  is a  $\delta$ -regular submanifold of  $X$  if there exist  $m \in \mathbb{N}$ ,  $\alpha \geq 0$ ,  $b > 0$ ,  $\beta \geq 0$  and  $G \in [\mathcal{C}^{(\alpha)}(X, \delta)]^m$  such that

- (a)  $M \subset G^{-1}(0)$ ,
- (b)  $\text{rank}(d_x G) = r_j$ ,  $x \in M_j$ ,  $j = 1, \dots, s$ ,
- (c)  $\Delta_{r_j}(d_x G) \geq b \delta^\beta(x)$ ,  $x \in M_j$ ,  $j = 1, \dots, s$ .

PROPOSITION 5.2. *Let  $M$  be an algebraic submanifold of  $C^n$ . Then  $M$  is  $\delta_0$ -regular. In consequence, for every  $\delta: C^n \rightarrow (0, 1]$  with  $\delta \leq \delta_0$ ,  $M$  is  $\delta$ -regular.*

Proof. It is known that there exist polynomials  $G_1, \dots, G_m$  such that

$$M = G^{-1}(0) \quad (\text{where } G := (G_1, \dots, G_m))$$

and

$$\text{Ker}(d_x G) = T_x M \quad (= \text{the tangent space at } x), \quad x \in M.$$

Thus it remains to verify condition (c) of Def. 5.1.

Let  $G_{j,1}, \dots, G_{j,m_j}$  be polynomials such that

$$M_j = \{G_{j,1} = \dots = G_{j,m_j} = 0\}, \quad j = 1, \dots, s.$$

Fix  $j$  ( $1 \leq j \leq s$ ). The polynomials

$$G_{j,1}, \dots, G_{j,m_j}, \quad \det(d_x G)_{I,J}, \quad I \in \mathcal{I}_{r_j}^m, \quad J \in \mathcal{I}_{r_j}^n,$$

have no common zeros in  $C^n$ ; hence, by the Hilbert Nullstellensatz, there exist polynomials  $P_{j,1}, \dots, P_{j,m_j}, Q_{j,I,J}, I \in \mathcal{I}_{r_j}^m, J \in \mathcal{I}_{r_j}^n$ , such that

$$\sum_{i=1}^{m_j} P_{j,i} G_{j,i} + \sum'_{I,J} Q_{j,I,J} \det(d_x G)_{I,J} = 1.$$

Set  $\beta := \max \{\deg Q_{j,I,J}: I \in \mathcal{I}_{r_j}^m, J \in \mathcal{I}_{r_j}^n, j = 1, \dots, s\}$  and let  $b > 0$  be such that

$$\|\delta_0^\beta Q_j\|_\infty \leq 1/b, \quad \text{where } Q_j := (Q_{j,I,J})_{I,J}, \quad j = 1, \dots, s.$$

Then, for  $x \in M_j$ , we get

$$1 = \sum'_{I,J} Q_{j,I,J}(x) \det(d_x G)_{I,J} \leq \|Q_j(x)\| \Delta_{r_j}(d_x G) \leq [b\delta_0^\beta(x)]^{-1} \Delta_{r_j}(d_x G). \quad \blacksquare$$

PROPOSITION 5.3. *Let  $X$  be a bounded domain of holomorphy in  $C^n$  such that  $\bar{X}$  has a fundamental system of neighbourhoods which are domains of holomorphy. Let  $N$  be an analytic submanifold of an open neighbourhood  $U$  of  $\bar{X}$ . Put  $M := N \cap X$ . Then  $M$  is 1-regular. Consequently, for every  $\delta: X \rightarrow (0, 1]$ ,  $M$  is  $\delta$ -regular.*

Proof. We may assume that  $U$  is a domain of holomorphy. Let  $\mathcal{V}$  denote the sheaf of ideals of the subvariety  $N$ .  $\mathcal{V}$  is a coherent sheaf (cf. [4], p. 138, Th. 2). This implies that there exist an open neighbourhood  $U_0$  of  $\bar{X}$  and functions  $G_1, \dots, G_m \in \mathcal{O}(U_0)$  such that, for every  $x \in U_0$ , the germs  $(G_1)_x, \dots, (G_m)_x$  generate  $\mathcal{V}_x$  (cf. [4], p. 244, Th. 17). Put  $G = (G_1, \dots, G_m)$ . It is clear that  $N \cap U_0 = G^{-1}(0)$  and  $\text{Ker}(d_x G) = T_x N$ ,  $x \in N \cap U_0$ . Consequently, since  $\bar{X}$  is compact, we get the required result.  $\blacksquare$

PROPOSITION 5.4. *Let  $M$  be a graph as in 3.12. Then  $\hat{M}$  is  $\hat{\delta}$ -regular (in  $\hat{X}$ ).*

Proof (the notation is the same as in 3.12). For the proof we only need to observe that  $\Delta_n(d_{\hat{x}}\hat{G}) \geq 1$ ,  $\hat{x} \in \hat{X}$ .

PROPOSITION 5.5. *Let  $V_j$  be a  $\delta_j$ -regular submanifold of  $X_j$ , where  $X_j$  is a Riemann domain over  $\mathbb{C}^{n_j}$ ,  $j = 1, \dots, t$ . Put*

$$\delta(x_1, \dots, x_t) = \min \{\delta_1(x_1), \dots, \delta_t(x_t)\}, \quad (x_1, \dots, x_t) \in X_1 \times \dots \times X_t.$$

Then  $V_1 \times \dots \times V_t$  is a  $\delta$ -regular submanifold of  $X_1 \times \dots \times X_t$ .

Proof. It suffices to consider the case where  $t = 2$ . Let  $m_j, \alpha_j, b_j, \beta_j, G_j$  be associated with  $V_j$  according to Def. 5.1,  $j = 1, 2$ . Put  $n = n_1 + n_2$ ,  $m = m_1 + m_2$ ,  $\alpha = \max \{\alpha_1, \alpha_2\}$ ,  $b = b_1 b_2$ ,  $\beta = \beta_1 + \beta_2$  and define

$$G(x_1, x_2) = (G_1(x_1), G_2(x_2)), \quad (x_1, x_2) \in X_1 \times X_2.$$

Clearly,  $G \in [\mathcal{C}^{(\alpha)}(X_1 \times X_2, \delta)]^m$  and  $V_1 \times V_2 \subset G^{-1}(0)$ . Fix  $(x_1^0, x_2^0) \in V_1 \times V_2$ . Let  $d = \dim_{(x_1^0, x_2^0)}(V_1 \times V_2)$ ,  $r = n - d$ ,  $d_j = \dim_{x_j^0} V_j$ ,  $r_j = n_j - d_j$ ,  $j = 1, 2$ . Then

$$\text{rank}(d_{(x_1^0, x_2^0)} G) = \text{rank}(d_{x_1^0} G_1) + \text{rank}(d_{x_2^0} G_2) = r_1 + r_2 = r$$

and

$$\begin{aligned} \Delta_r(d_{(x_1^0, x_2^0)} G) &\geq \Delta_{r_1}(d_{x_1^0} G_1) \cdot \Delta_{r_2}(d_{x_2^0} G_2) \\ &\geq b_1 \delta_1^{\beta_1}(x_1^0) b_2 \delta_2^{\beta_2}(x_2^0) \geq b \delta^\beta(x_1^0, x_2^0). \quad \blacksquare \end{aligned}$$

PROPOSITION 5.6. *Let  $V_1, \dots, V_t$  be disjoint  $\delta$ -regular submanifolds of  $X$  and let  $m_j, \alpha_j, b_j, \beta_j, G_j$  be associated with  $V_j$  according to Def. 5.1,  $j = 1, \dots, t$ . Suppose that there exist constants  $c > 0$ ,  $\gamma \geq 0$  such that*

$$\|G_j(x)\| \geq c \delta^\gamma(x), \quad x \in V_1 \cup \dots \cup V_{j-1} \cup V_{j+1} \cup \dots \cup V_t, \quad j = 1, \dots, t.$$

Then  $V_1 \cup \dots \cup V_t$  is a  $\delta$ -regular submanifold of  $X$ .

Proof. We shall show that the submanifolds  $V_1 \cup V_2, V_3, \dots, V_t$  satisfy all the assumptions of Prop. 5.6 – this will permit us to apply the finite induction over  $t$ .

Let  $G_j = (G_{j,1}, \dots, G_{j,m_j})$ ,  $j = 1, 2$ , and let  $c_0 \in (0, 1)$  be such that

$$\max \{|G_{j,\mu}(x)| : \mu = 1, \dots, m_j\} \geq c_0 \delta^\gamma(x), \quad x \in (V_1 \cup \dots \cup V_t) \setminus V_j, \quad j = 1, 2.$$

Put  $m = m_1 m_2$ ,  $\alpha = \alpha_1 + \alpha_2$ ,  $b = c_0^n \min \{b_1, b_2\}$ ,  $\beta = n\gamma + \max \{\beta_1, \beta_2\}$ . Define  $G: X \rightarrow \mathbb{C}^m$ ,  $G = (G_{\mu,v})_{\mu=1, \dots, m_1, v=1, \dots, m_2}$ , by the formula

$$G_{\mu,v}(x) = G_{1,\mu}(x) G_{2,v}(x), \quad x \in X.$$

Obviously,  $G \in [\mathcal{C}^{(\alpha)}(X, \delta)]^m$  and  $V_1 \cup V_2 \subset G^{-1}(0)$ . Fix  $x^0 \in V_1 \cup V_2$ . Let, for instance,  $x^0 \in V_1$ . Put  $r = n - \dim_{x^0} V_1$ . Fix  $1 \leq v_0 \leq m_2$  in such a way that  $|G_{2,v_0}(x^0)| \geq c_0 \delta^\gamma(x^0)$ . Note that

$$\frac{\partial G_{\mu,v}}{\partial p_k}(x^0) = G_{2,v}(x^0) \frac{\partial G_{1,\mu}}{\partial p_k}(x^0).$$

Hence

$$\text{rank}(d_{x^0} G) = \text{rank}(d_{x^0} G_1) = r$$

and

$$A_r(d_{x^0} G) \geq |G_{2, \nu_0}(x^0)|^r A_r(d_{x^0} G_1) \geq [c_0 \delta^\gamma(x^0)]^r b_1 \delta^{\beta_1}(x^0) \geq b \delta^\beta(x^0);$$

thus  $V_1 \cup V_2$  is  $\delta$ -regular.

Now let  $x^0 \in V_3 \cup \dots \cup V_l$ . There exist  $1 \leq \mu_0 \leq m_1$ ,  $1 \leq \nu_0 \leq m_2$  such that

$$|G_{1, \mu_0}(x^0)| \geq c_0 \delta^\gamma(x^0), \quad |G_{2, \nu_0}(x^0)| \leq c_0 \delta^\gamma(x^0).$$

Consequently,  $\|G(x^0)\| \geq |G_{1, \mu_0}(x^0)| |G_{2, \nu_0}(x^0)| \geq c_0^2 \delta^{2\gamma}(x^0)$ . ■

The main result of the paper is the following:

**THEOREM 5.7.** *Let  $X$  be a Stein domain over  $\mathbb{C}^n$ ,  $\delta \in \mathcal{W}_r(X)$  ( $\delta^{x^0} \in L^2(X)$ ) and let  $M$  be a  $\delta$ -regular submanifold of  $X$ . Let  $m, \alpha, b, \beta$  and  $G$  be as in Def. 5.1. Then  $(X, M, \delta)$  satisfies (L) with the constant  $\sigma$  of the form  $\sigma = P\alpha_0 + Q\alpha + R\beta + S$ , where  $P, Q, R, S$  depend polynomially on  $n, m, d_1, \dots, d_s$  ( $P, Q, R, S$ , as polynomials of the variables  $n, m, d_1, \dots, d_s$ , are of degree  $\leq 3$ ) and  $P, Q, R, S \leq 96n^3$  ( $P, Q, R, S$  may be effectively calculated!).*

The proof of Th. 5.7 will be given in § 6. Th. 5.7 is a simultaneous generalization of some results of [1], [2], [11], [13], [21], [22]; more exactly:

**COROLLARY 5.8** (a generalization of Corol. 4.6 and, in consequence, of the results of [1] and [11]). *Let  $M$  be a graph as in 3.12. If  $\hat{\delta} \in \mathcal{W}_r(\hat{X})$  then  $(\hat{X}, \hat{M}, \hat{\delta})$  satisfies (L).*

**Proof.** The result is a consequence of Prop. 5.4 and Th. 5.7.

**COROLLARY 5.9** (a generalization of Corol. 2 from [2]). *Let  $X$  be a domain of holomorphy in  $\mathbb{C}^n$ . Let  $\delta \in \mathcal{W}_r^q(X)$  (cf. 2.34) and let  $M$  be a  $\delta$ -regular submanifold of  $X$ . Then  $\mathcal{O}(M, \delta) = R_M^X(\mathcal{O}(X, \delta))$ .*

**Proof.** The result follows from 2.34 and Th. 5.7.

**COROLLARY 5.10** (a generalization of Th. 1 from [13] and, in consequence, of [22]). *Let  $X$  be a Stein domain,  $\delta \in \mathcal{W}_r(X)$  and let  $M$  be an  $(n-1)$ -dimensional submanifold of  $X$  such that there exist  $\alpha \geq 0, b > 0, \beta \geq 0$  and  $G \in \mathcal{O}^{(\alpha)}(X, \delta)$  with  $M \subset G^{-1}(0)$ ,  $\|d_x G\| \geq b \delta^\beta(x)$ ,  $x \in M$ . Then  $(X, M, \delta)$  satisfies (L).*

**COROLLARY 5.11.** *Let  $M$  be an algebraic submanifold of  $\mathbb{C}^n$ . Then*

(i) (a generalization of Th. 7 from [21]) *for every  $\delta \in \mathcal{W}_r(\mathbb{C}^n)$  the triple  $(\mathbb{C}^n, M, \delta)$  satisfies (L),*

(ii)  *$M$  is an interpolation set for every  $E_\mu(\mathbb{C}^n)$ .*

**Proof.** The result is a consequence of Prop. 5.2 and Th. 5.7.

Analogously, Prop. 5.3 and Th. 5.7 imply:

COROLLARY 5.12. *Let  $X, M$  be as in Prop. 5.3. Then*

(i) (a generalization of Th. 6 from [21]) *for every  $\delta \in \mathcal{W}_r(X)$  the triple  $(X, M, \delta)$  satisfies (L),*

(ii)  *$M$  is an interpolation set for every  $E_\mu(X)$ .*

The following result is a consequence of 3.15, Prop. 5.5 and Th. 5.7:

COROLLARY 5.13. *Let  $V_j$  be an interpolation set for  $E_\mu(C)$ ,  $j = 1, \dots, t$ . Then  $V_1 \times \dots \times V_t$  is an interpolation set for  $E_\mu(C^n)$ .*

Remark 5.14. Let  $X$  be a Riemann (resp. Stein) domain. Note that if  $G \in [\mathcal{O}(X)]^m$  is such that  $M \subset G^{-1}(0)$  and  $\text{rank}(d_x G) = r_j$ ,  $x \in M_j$ ,  $j = 1, \dots, s$ , then, in view of 2.27, there exists a  $\delta \in \mathcal{L}_{1,2,4}(X)$  (resp.  $\delta \in \mathcal{L}_{1,2,3,4}(X)$ ) such that  $M$  is  $\delta$ -regular.

COROLLARY 5.15. *Let  $X$  be a Stein domain and let  $G \in [\mathcal{O}(X)]^m$  be such that  $M \subset G^{-1}(0)$  and  $\text{rank}(d_x G) = r_j$ ,  $x \in M_j$ ,  $j = 1, \dots, s$ . Then for every locally bounded family  $F \subset \mathcal{O}(M)$  there exists a locally bounded family  $\hat{F} \subset \mathcal{O}(X)$  such that  $F = R_M^X(\hat{F})$ .*

Proof. In view of 2.28 and Remark 5.14, there exists a  $\delta \in \mathcal{W}_r(X)$  such that  $M$  is  $\delta$ -regular and  $F \subset \{f \in \mathcal{O}^{(1)}(M, \delta) : \|\delta f\|_r \leq 1\}$ . In virtue of Th. 5.7,  $(X, M, \delta)$  satisfies (L). Fix  $\eta = 2$  and let  $c = c(2)$  and  $(L_k)_{k \geq 0}$  be as in (L). Define  $\hat{F} = L_1(F)$ . Then  $F = R(\hat{F})$  and  $\|\delta^{\sigma+1} \hat{f}\|_x \leq 2c$ ,  $\hat{f} \in \hat{F}$ , and so, in view of 2.1 (e),  $\hat{F}$  is locally bounded. ■

## § 6. Holomorphic retractions and pseudoinverse matrices; Proof of Main Theorem

At first we shall show how to reduce the proof of Th. 5.7 to the case where  $M$  is purely dimensional.

LEMMA 6.1. *Under the assumptions of Th. 5.7, there exists a  $0 < \theta_0 < 1$  such that the sets*

$$\bigcup_{x \in M_j} \hat{B}(x, \theta_0 \delta^{\gamma_0}(x)), \quad j = 1, \dots, s,$$

are disjoint, where  $\gamma_0 := r_1(\alpha + 1) + \beta + 1$ .

Proof. Let  $\theta_0 := 2^{-\gamma_0-1} \theta_1$ , where  $\theta_1 \in (0, \frac{1}{2})$ . Fix  $1 \leq j < k \leq s$ ,  $x_1 \in M_j$ ,  $x_2 \in M_k$  and suppose that

$$\hat{B}(x_1, \theta_0 \delta^{\gamma_0}(x_1)) \cap \hat{B}(x_2, \theta_0 \delta^{\gamma_0}(x_2)) \neq \emptyset.$$

Then  $x_2 = x_1 \oplus z$  and  $\|z\| < \theta_1 \delta^{\gamma_0}(x_1)$ .

The functions  $f_{I,J} := \det(d_x G)_{I,J}$ ,  $I \in \mathcal{I}_{r_j}^m$ ,  $J \in \mathcal{J}_{r_j}^n$ , are of the class  $\mathcal{O}^{(r_j(\alpha+1))}(X, \delta) \subset \mathcal{O}^{(r_1(\alpha+1))}(X, \delta)$  (cf. (2.40)) and, moreover, if  $f := (f_{I,J})_{I,J}$  then  $\|\delta^{r_1(\alpha+1)} f\|_x \leq c = c(n, m, d_1, \dots, d_s, \alpha, \|\delta^\alpha G\|_\infty)$ .

In view of (2.41):

$$\begin{aligned} \Delta_{r_j}(d_{x_2} G) &= \|f(x_2)\| \geq \|f(x_1)\| - \|f(x_1 \oplus z) - f(x_1)\| \\ &\geq \Delta_{r_j}(d_{x_1} G) - \left[ \frac{4}{\delta(x_1)} \right]^{r_1(\alpha+1)+1} c \|z\| \geq \delta^\beta(x_1) [b - 4^{r_1(\alpha+1)+1} c \theta_1]. \end{aligned}$$

Hence if  $\theta_1 < b [4^{r_1(\alpha+1)+1} c]^{-1}$  then  $\Delta_{r_j}(d_{x_2} G) > 0$ , and so  $r_k = \text{rank}(d_{x_2} G) \geq r_j$ , which is a contradiction. ■

In view of the above Lemma (and of Lemma 3.11 and Th. 4.3), for the proof of Th. 5.7 it suffices to prove the following two lemmas:

LEMMA 6.2 (on the existence of holomorphic retractions). *Let  $X$  be a Riemann domain over  $C^n$ ,  $\delta \in \mathcal{L}(X)$  and let  $M$  be an analytic submanifold of  $X$  of pure dimension  $d \leq n-1$ . Assume that  $G \in [\mathcal{O}^{(\alpha)}(X, \delta)]^m$  is such that*

$$M \subset G^{-1}(0),$$

$$\text{rank}(d_x G) = r = n - d, \quad x \in M.$$

*Let  $Q: X \rightarrow C^{n \times m}$  be a matrix-valued function with entries in  $\mathcal{O}^{(\tau)}(X, \delta)$  such that, for every  $x \in M$ ,  $Q(x)$  is pseudoinverse to  $d_x G$ , i.e.,  $(d_x G) \cdot Q(x) \cdot (d_x G) = d_x G$  and  $Q(x) \cdot (d_x G) \cdot Q(x) = Q(x)$ . Then, for every  $0 < \varepsilon_0 < 1$ ,  $\gamma_0 \geq 1$ , there exist  $\theta > 0$  and a holomorphic retraction*

$$\pi: U \rightarrow M,$$

where  $U = U(G, \theta, \gamma, M)$  (cf. Th. 4.3),  $\gamma = \max\{\tau + \gamma_0, 2\alpha + 3\tau + 3\}$ , such that

$$x \in U \Rightarrow x \in \hat{B}(\pi(x), \varepsilon_0 \delta^{\gamma_0}(\pi(x))).$$

LEMMA 6.3 (on the existence of pseudoinverse matrices). *Let  $X$  be a Stein domain over  $C^n$ ,  $\delta \in \mathcal{H}_r(X)$  ( $\delta^{\alpha_0} \in L^2(X)$ ) and let  $M$  be a  $\delta$ -regular submanifold of  $X$  of pure dimension  $d \leq n-1$ . Let  $m, \alpha, b, \beta, G$  be as in Def. 5.1. Then there exist  $\tau = \tau(n, m, d, \alpha_0, \alpha, \beta)$  and a matrix-valued function*

$$Q: X \rightarrow C^{n \times m}$$

with entries in  $\mathcal{O}^{(\tau)}(X, \delta)$  such that, for every  $x \in M$ ,  $Q(x)$  is pseudoinverse to  $d_x G$  (see also Prop. 6.20).

Proof of Lemma 6.2. The proof will be divided into six steps.

Step 1. Fix  $a, t \geq 1$  such that  $\|\delta^\alpha G\|_\infty \leq a$ ,  $\|\delta^\tau Q\|_\alpha \leq t$ . Let  $N: X \rightarrow C^{n \times n}$  be a matrix-valued function given by the formula

$$N(x) = Q(x) \cdot (d_x G), \quad x \in X.$$

In view of (2.40), the entries of  $N$  belong to  $\mathcal{O}^{(\alpha+\tau+1)}(X, \delta)$  and



$$(6.4) \quad \|\delta^{\alpha+\tau+1}N\|_{\infty} \leq 2^{\alpha+1}at.$$

Note that  $\text{Ker } N(x) = \text{Ker}(d_x G)$ ,  $x \in M$ , whence

$$(6.5) \quad \text{rank } N(x) = n-d, \quad x \in M.$$

Observe that

$$(6.6) \quad N(x) \cdot N(x) = N(x), \quad x \in M.$$

Let  $S: X \rightarrow \mathbb{C}^{n \times n}$  be a matrix-valued function defined by the formula

$$(6.7) \quad S(x) = I_n - N(x), \quad x \in X.$$

In view of (6.6) we get

$$(6.8) \quad \text{Ker } S(x) = \text{Im } N(x), \quad x \in M,$$

and by (6.5),

$$(6.9) \quad \text{rank } S(x) = d, \quad x \in M.$$

Put

$$Y := \{(x, z) \in M \times \mathbb{C}^n: S(x)z = 0\},$$

and note that  $Y$  is a closed subset of  $X \times \mathbb{C}^n$ .

Step 2.  $Y$  is an  $n$ -dimensional submanifold of  $X \times \mathbb{C}^n$ .

Proof. The case  $d = 0$  is trivial, and so assume that  $d \geq 1$ . The analyticity of  $Y$  is a local property, whence, without loss of generality, we may assume that  $X$  is an open neighbourhood of 0 in  $\mathbb{C}^n$  and  $M = \{(x_1, \dots, x_n) \in X: x_{d+1} = \dots = x_n = 0\}$ .

Fix  $x^0 \in M$  and let  $S_0(x^0)$  denote a  $(d \times n)$ -dimensional submatrix of  $S(x^0)$  such that  $\text{rank } S_0(x^0) = d$  (cf. (6.9)). Let  $S_0: X \rightarrow \mathbb{C}^{d \times n}$  be a matrix-valued function such that  $S_0(x)$  is constructed by deleting the same rows as in  $S(x^0)$ . Let  $U$  be an open neighbourhood of  $x^0$  such that  $\text{rank } S(x) = d$ ,  $x \in U$ . In particular,

$$(6.10) \quad \text{Ker } S_0(x) = \text{Ker } S(x), \quad x \in U \cap M.$$

Let  $\psi: X \times \mathbb{C}^n \rightarrow \mathbb{C}^n$  be defined by the formula

$$\psi(x, z) = (x_{d+1}, \dots, x_n, S_0(x)z).$$

In view of (6.10),  $Y \cap (U \times \mathbb{C}^n) = \{(x, z) \in U \times \mathbb{C}^n: \psi(x, z) = 0\}$ . Note that,

$$d_{(x,z)}\psi = \left[ \begin{array}{c|c|c} \overbrace{\quad d \quad} & \overbrace{\quad n-d \quad} & \overbrace{\quad n \quad} \\ \hline 0 & I_{n-d} & 0 \\ \hline \text{---} & \text{---} & S_0(x) \end{array} \right] \left. \begin{array}{l} \vphantom{\left[} \right. \\ \vphantom{\left[} \right. \\ \vphantom{\left[} \right. \end{array} \right\} \begin{array}{l} n-d \\ d \end{array}$$

$(x, z) \in X \times \mathbb{C}^n$ . Consequently,  $\text{rank } d_{(x,z)}\psi = n$ ,  $(x, z) \in U \times \mathbb{C}^n$ , which proves that  $Y \cap (U \times \mathbb{C}^n)$  is an  $n$ -dimensional manifold.

Step 3. Put  $c_1 = (4^{\alpha+3} at)^{-1}$ ,  $\gamma_1 = \alpha + \tau + 2$ . Then

$$(6.11) \quad \|G(x \oplus z)\| \geq \frac{1}{2t} \delta^\tau(x) \|z\|, \quad (x, z) \in Y, \|z\| \leq c_1 \delta^{\gamma_1}(x).$$

Proof. Note that  $c_1 \delta^{\gamma_1}(x) < \frac{1}{2} \delta(x)$ , so by (2.42),

$$\|G(x \oplus z)\| \geq \|(d_x G)z\| - 2 \left[ \frac{4}{\delta(x)} \right]^{\alpha+2} a \|z\|^2.$$

On the other hand, in view of (6.8),

$$\|z\| = \|N(x)z\| = \|Q(x)(d_x G)z\| \leq \|Q(x)\| \|(d_x G)z\| \leq \frac{t}{\delta^\tau(x)} \|(d_x G)z\|.$$

Finally,

$$\|G(x \oplus z)\| \geq \frac{1}{2t} \delta^\tau(x) \|z\| \left[ 2 - \frac{\|z\|}{c_1 \delta^{\gamma_1}(x)} \right],$$

which proves the required estimate.

Step 4. Put  $c_2 = (4^{3\alpha+\tau+5} a^2 t^2)^{-1}$ ,  $\gamma_2 = 2\alpha + 2\tau + 3$ ,  $Y_0 = \{(x, z) \in Y: \|z\| < c_2 \delta^{\gamma_2}(x)\}$ . Note that  $Y_0 \in \text{top } Y$  and  $Y_0 \subset X^* C^n$ . We shall prove that the mapping

$$Y_0 \ni (x, z) \xrightarrow{\Phi} x \oplus z \in X$$

is injective.

Proof. Let us fix  $(x_1, z_1), (x_2, z_2) \in Y_0$ ,  $x_1 \neq x_2$ , and suppose that  $x_1 \oplus z_1 = x_2 \oplus z_2$ . Let, for instance,  $\delta(x_2) \leq \delta(x_1)$ . Put  $z_0 = z_1 - z_2$ . Then  $x_2 = x_1 \oplus z_0$  (in particular  $z_0 \neq 0$ ). Define

$$w_1 = [N(x_1) - N(x_2)]z_2, \quad w_2 = N(x_1)(z_1 - z_2).$$

Note that  $(x_1, w_2) \in Y$  and (in view of (6.6))  $w_1 - w_2 = z_0$ .

Since  $\|z_0\| < 2c_2 \delta^{\gamma_2}(x_1) < \frac{1}{2} \delta(x_1)$  and  $\|z_2\| < c_2 \delta^{\gamma_2}(x_1)$ , it follows that, by (2.41) and (6.4), we have

$$(6.12) \quad \begin{aligned} \|w_1\| &\leq \|N(x_1 \oplus z_0) - N(x_1)\| \|z_2\| \\ &< \left[ \frac{4}{\delta(x_1)} \right]^{\alpha+\tau+2} 2^{\alpha+1} at \|z_0\| c_2 \delta^{\gamma_2}(x_1), \end{aligned}$$

whence, in view of the definition of  $c_2$  and  $\gamma_2$ , we get

$$\|w_1\| < \frac{1}{2} \|z_0\|.$$

In consequence,

$$\frac{1}{2} \|z_0\| < \|w_2\| < \frac{3}{2} \|z_0\| < 3c_2 \delta^{\gamma_2}(x_1) < c_1 \delta^{\gamma_1}(x_1),$$

and so, according to (6.11),

$$(6.13) \quad \|G(x_1 \oplus w_2)\| \geq \frac{1}{2t} \delta^r(x_1) \|w_2\| > \frac{1}{4t} \delta^r(x_1) \|z_0\|.$$

On the other hand, since  $x_1 \oplus w_2 = x_2 \oplus (-w_1)$ , it follows by (2.41) and (6.12) that

$$\begin{aligned} \|G(x_1 \oplus w_2)\| &\leq \left[ \frac{4}{\delta(x_2)} \right]^{a+1} a \|w_1\| \\ &< \left[ \frac{8}{\delta(x_1)} \right]^{a+1} a \left[ \frac{4}{\delta(x_1)} \right]^{a+r+2} 2^{a+1} a t c_2 \delta^{\gamma_2}(x_1) \|z_0\| \leq \frac{1}{4t} \delta^r(x_1) \|z_0\|, \end{aligned}$$

which contradicts (6.13).

Step 5.  $\Phi$  is an injective holomorphic mapping of an  $n$ -dimensional analytic manifold  $Y_0$  into a Riemann domain  $X$ . This implies that  $U_0 := \Phi(Y_0)$  is an open neighbourhood of  $M$  and  $\Phi$  is a biholomorphism of  $Y_0$  onto  $U_0$ .

Let us define  $\pi: U_0 \rightarrow M$  by the formula

$$\pi = (\text{projection onto } M) \circ \Phi^{-1}, \quad \Phi(x \oplus z) = x.$$

It is clear that  $\pi$  is a holomorphic retraction.

Step 6. Fix  $0 < \varepsilon_0 < 1$ ,  $\gamma_0 \geq 1$  (cf. Lemma 6.2) and let

$$c_3 = \min \{ \varepsilon_0, c_2/2 \}, \quad \gamma_3 = \max \{ \gamma_0, \gamma_2 \}, \quad Y_1 = \{ (x, z) \in Y_0 : \|z\| < c_3 \delta^{\gamma_3}(x) \}.$$

Obviously,  $\bar{Y}_1 \subset Y_0$ . Put  $U_1 := \Phi(Y_1)$ . It is clear that  $U_1$  is an open neighbourhood of  $M$ ,  $\bar{U}_1 \subset U_0$  and, in view of the definition of  $\pi$ ,

$$x \in U_1 \Rightarrow x \in \hat{B}(\pi(x), \varepsilon_0 \delta^{\gamma_0}(\pi(x))).$$

Put  $\gamma = \gamma_3 + \tau$ ,  $\theta = \frac{1}{2t} (\frac{2}{3})^\gamma c_3$  and let  $U := U(G, \theta, \gamma, M)$  (recall that  $U$  is the sum of all connected components of  $\{ \|G\| < \theta \delta^\gamma \}$  which intersect  $M$ ).

It remains to show that  $U \subset U_1$ .

Proof. Suppose that  $U \not\subset U_1$ . Then  $U \cap \partial U_1 \neq \emptyset$ , and so (since  $\partial U_1 \subset U_0$ ) there exists  $(x, z) \in Y_0 \setminus Y_1$  such that  $x \oplus z \in U$ . Note that  $c_3 \delta^{\gamma_3} < c_1 \delta^{\gamma_1}$ , whence by (6.11)

$$\|G(x \oplus z)\| \geq \frac{1}{2t} \delta^r(x) \|z\|.$$

On the other hand, in view of the definition of  $U$ ,

$$\|G(x \oplus z)\| < \theta \delta^\gamma(x \oplus z) \leq \theta (\frac{2}{3})^\gamma \delta^\gamma(x).$$

Hence  $\|z\| < c_3 \delta^{\gamma_3}(x)$ , which implies that  $(x, z) \in Y_1$ . We get a contradiction. The proof of Lemma 6.2 is completed.

Proof of Lemma 6.3. We start with the following two auxiliary results:

**PROPOSITION 6.14.** *Let  $X$  be a Stein domain over  $\mathbb{C}^n$ ,  $\delta \in \mathcal{W}_r(X)$  ( $\delta^{\alpha_0} \in L^2(X)$ ),  $G \in [\mathcal{O}^{(\alpha)}(X, \delta)]^m$ ,  $F \in [\mathcal{O}^{(\bar{\alpha})}(X, \delta)]^N$ ,  $\bar{b}, \bar{\theta} > 0$ ,  $\bar{\beta}, \bar{\gamma} \geq 0$  and let  $M$  be an analytic subset of  $X$  such that  $M \subset G^{-1}(0)$ . Suppose that*

$$\|F\| \geq \bar{b} \delta^{\bar{\beta}} \quad \text{on } U = U(G, \bar{\theta}, \bar{\gamma}, M).$$

Then there exist  $f_1, \dots, f_N \in \mathcal{O}^{(\nu)}(X, \delta)$  such that

$$f_1 F_1 + \dots + f_N F_N = 1 \quad \text{on } M,$$

where  $\nu = \alpha_0 + \mu(2\bar{\alpha} + 3) + (2\mu + 1)\bar{\beta} + q(2\alpha + 2\bar{\gamma} + 3) + n$ ,  $\mu = \min\{n, N - 1\}$ ,  $q = \min\{n, m\}$ .

Proof. Since  $-\log \delta \in \text{PSH}(X)$ , it follows that  $\{\|G\| < \bar{\theta} \delta^{\bar{\gamma}}\}$  is a Stein domain and therefore  $U$  is also Stein. Observe that

$$J = \int_U \frac{1}{\|F\|^{2(2\mu+1)}} \delta^{2k} d\lambda < +\infty,$$

where  $k := \alpha_0 + (2\mu + 1)\bar{\beta}$ . Hence, in virtue of Th. 4.1 (with  $r = s = t = 0$ ,  $u_0 = 1$ ), there exist  $u_1, \dots, u_N \in H^{(l)}(U, \delta)$ ,  $l = k + \mu(2\bar{\alpha} + 3)$ , such that

$$u_1 F_1 + \dots + u_N F_N = 1 \quad \text{on } U.$$

Now, by Th. 4.3 (and 2.37), there exist  $f_1, \dots, f_N \in \mathcal{O}^{(\nu)}(X, \delta)$ ,  $\nu = l + q(2\alpha + 2\bar{\gamma} + 3) + n$ , such that

$$f_j = u_j \quad \text{on } M, \quad j = 1, \dots, N. \quad \blacksquare$$

A thorough analysis of the proof of Lemma 4.2 in [23] leads to the following

**PROPOSITION 6.15** (an algebraical criterion of existence of pseudoinverse matrices). *Let  $P$  be a commutative ring with a unit element. Assume that  $P = \bigcup_{k \geq 0} P_k$ , where  $P_k$ ,  $k \geq 0$ , are subgroups of  $P$  and  $P_k P_{k'} \subset P_{k+k'}$ ,  $k, k' \geq 0$ . Let  $A$  be an  $(m \times n)$ -dimensional matrix with entries in  $P_k$  ( $k$  is fixed). Suppose that there exists  $1 \leq r \leq m, n$  such that:*

(i) *there exists a system  $(f_{I,J})_{I \in \mathcal{I}_r^m, J \in \mathcal{J}_r^n}$  of elements of  $P_\nu$  with*

$$\sum'_{I,J} f_{I,J} \det(A_{I,J}) = 1,$$

(ii) *if  $r < \min\{m, n\}$  then, for every  $I \in \mathcal{I}_{r+1}^m$ ,  $J \in \mathcal{J}_{r+1}^n$ ,  $\det(A_{I,J}) = 0$ .*

Then there exists an  $(n \times m)$ -dimensional matrix  $B$  with entries in  $P_{2v+(2r-1)k}$  which is pseudoinverse to  $A$ , i.e.,  $ABA = A$  and  $BAB = B$ .

In view of the above two propositions, for the proof of Lemma 6.3 we only need to prove the following

LEMMA 6.16. Let  $X$  be a Riemann domain over  $\mathbb{C}^n$ ,  $\delta \in \mathcal{L}(X)$ , let  $M$  be a  $\delta$ -regular submanifold of  $X$  of pure dimension  $d$  and let  $m, \alpha, b, \beta, G$ , be as in Def. 5.1. Then there exist  $\bar{b}, \bar{\theta} > 0$  (depending only on  $n, m, d, \alpha, \|\delta^\alpha G\|_\infty, b, \beta$ ) such that

$$\Delta_r(d_x G) \geq \bar{b} \delta^\beta(x), \quad x \in U = U(G, \bar{\theta}, \bar{\gamma}, M),$$

where  $\bar{\gamma} = (3r-1)\alpha + 3\beta + 3r$ .

Proof. The proof will be divided into six steps.

Step 1°. Let  $b_0 \in (0, 1]$  be such that

$$\max \{ |\det(d_x G)_{I,J}| : I \in \mathcal{I}_r^m, J \in \mathcal{J}_r^n \} > b_0 \delta^\beta(x), \quad x \in M.$$

Put  $t := \frac{1}{2}(\frac{2}{3})^\beta$  and let

$$M_{I,J}^j := \{ x \in M : |\det(d_x G)_{I,J}| > t^{j-1} b_0 \delta^\beta(x) \}, \quad I \in \mathcal{I}_r^m, J \in \mathcal{J}_r^n, j = 1, 2, 3.$$

Note that  $\overline{M_{I,J}^j} \subset M_{I,J}^{j+1}$  and  $M = \bigcup_{I,J} M_{I,J}^1$ .

Step 2°. Fix  $a \geq 1$  such that  $\|\delta^\alpha G\|_\infty \leq a$ . Put

$$b_1 = b_0 t [2r! 4^{r(\alpha+1)+1} (2^{\alpha+1} a)^r]^{-1}, \quad \beta_1 = r(\alpha+1) + \beta + 1.$$

Then

$$(6.17) \quad |\det(d_{x \oplus z} G)_{I,J}| > t^j b_0 \delta^\beta(x \oplus z), \quad x \in M_{I,J}^j, \|z\| \leq b_1 \delta^{\beta_1}(x), j = 1, 2.$$

Proof (cf. the proof of Lemma 6.1). The function  $f_{I,J} := \det(d_x G)_{I,J}$  is of the class  $\mathcal{O}^{(r(\alpha+1))}(X, \delta)$  and  $\|\delta^{r(\alpha+1)} f_{I,J}\|_\infty \leq r!(2^{\alpha+1})^r$ . Fix  $x \in M_{I,J}^j$ ,  $\|z\| \leq b_1 \delta^{\beta_1}(x)$ . In view of (2.41):

$$\begin{aligned} |\det(d_{x \oplus z} G)_{I,J}| &= |f_{I,J}(x \oplus z)| \geq |f_{I,J}(x)| - |f_{I,J}(x \oplus z) - f_{I,J}(x)| \\ &> t^{j-1} b_0 \delta^\beta(x) - \left[ \frac{4}{\delta(x)} \right]^{r(\alpha+1)+1} r! (2^{\alpha+1} a)^r \|z\| \\ &\geq \frac{b_0}{2} t^{j-1} \delta^\beta(x) \geq t^j b_0 \delta^\beta(x \oplus z), \end{aligned}$$

which proves (6.17).

Observe that, in view of (6.17), for the proof of the lemma it suffices to construct  $\bar{\theta}$  such that

$$U(G, \bar{\theta}, \bar{\gamma}, M) \subset \bigcup_{I,J} \bigcup_{x \in M_{I,J}^2} \hat{B}(x, b_1 \delta^{\beta_1}(x))$$

(then  $\bar{b} = b_0 t^2$ ).

Step 3°. For  $J = (j_1, \dots, j_r) \in \mathcal{J}_r^n$ , let

$$E_J = \{z = (z_1, \dots, z_n) \in \mathbf{C}^n: j \notin \{j_1, \dots, j_r\} \Rightarrow z_j = 0\}.$$

Put  $t_1 = b_0 t^2 [2r! (2^{\alpha+1} a)^{r-1}]^{-1}$ ,  $\tau_1 = (r-1)(\alpha+1) + \beta$ ,  $b_2 = t_1 (2 \cdot 4^{\alpha+2} a)^{-1}$ . Then

$$(6.18) \quad \|G(x \oplus z)\| \geq t_1 \delta^{\tau_1}(x) \|z\|, \quad (x, z) \in M_{I,J}^3 \times E_J, \quad \|z\| \leq b_2 \delta^{\beta_1}(x).$$

Proof (cf. the proof of (6.11)). Put  $G_I = (G_{i_1}, \dots, G_{i_r})$ . In view of (2.42):

$$\|G(x \oplus z)\| \geq \|G_I(x \oplus z)\| \geq \|(d_x G_I)z\| - 2 \left[ \frac{4}{\delta(x)} \right]^{\alpha+2} a \|z\|^2.$$

Let  $z^* := (z_{j_1}, \dots, z_{j_r}) \in \mathbf{C}^r$ . According to the definition of  $E_J$ , we get

$$\begin{aligned} \|z\| &= \|z^*\| = \|[(d_x G)_{I,J}]^{-1} (d_x G)_{I,J} z^*\| \leq \|[(d_x G)_{I,J}]^{-1}\| \|(d_x G_I)z\| \\ &\leq \frac{1}{2t_1 \delta^{\tau_1}(x)} \|(d_x G_I)z\|. \end{aligned}$$

Hence

$$\|G(x \oplus z)\| \geq t_1 \delta^{\tau_1}(x) \|z\| \left[ 2 - \frac{\|z\|}{b_2 \delta^{\beta_1}(x)} \right] \geq t_1 \delta^{\tau_1}(x) \|z\|.$$

Step 4°. Put  $b_3 = \min \left\{ \frac{b_1}{3^{\beta_1+1}}, \frac{b_2}{2} \right\}$  and let

$$Y_{I,J}^j := \{(x, z) \in M_{I,J}^j \times E_J: \|z\| < \frac{1}{3} j b_3 \delta^{\beta_1}(x)\}, \quad I \in \mathcal{J}_r^m, J \in \mathcal{J}_r^n, j = 1, 2, 3.$$

Note that  $\overline{Y_{I,J}^j} \subset Y_{I,J}^{j+1}$  and  $Y_{I,J}^3 \subset X^* \mathbf{C}^n$ . We shall prove that the mapping

$$Y_{I,J}^3 \ni (x, z) \xrightarrow{\Phi_{I,J}} x \oplus z \in X$$

is injective (cf. Step 4 of the proof of Lemma 6.2).

Proof. Suppose that  $x_1 \oplus z_1 = x_2 \oplus z_2$  and  $\delta(x_2) \leq \delta(x_1)$ . Put  $z_0 = z_1 - z_2$ . Then  $x_2 = x_1 \oplus z_0$  and  $\|z_0\| < 2b_3 \delta^{\beta_1}(x_1) \leq b_2 \delta^{\beta_1}(x_1)$ . In view of (6.18) we get

$$0 = \|G(x_2)\| \geq t_1 \delta^{\tau_1}(x_1) \|z_0\|,$$

which implies that  $z_0 = 0$ , and consequently  $(x_1, z_1) = (x_2, z_2)$ .

Step 5°. Observe that  $Y_{I,J}^3$  is an  $n$ -dimensional analytic manifold, whence as in Step 5 of the proof of Lemma 6.2, the set  $U_{I,J}^j := \Phi_{I,J}(Y_{I,J}^j)$  is an open neighbourhood of  $M_{I,J}^j$  and  $\overline{U_{I,J}^j} \subset U_{I,J}^{j+1}$ .

Put  $b_4 = 2b_3 t_1 [3^{\beta_1 + \tau_1 + 1} 4^{\alpha+1} a]^{-1}$ ,  $\beta_2 = \beta_1 + \tau_1 + \alpha + 1$ .

Then, for every  $x \in M_{I,J}^1$ , the ball  $\hat{B}(x, b_4 \delta^{\beta_2}(x))$  is contained in  $U_{I,J}^2$ .

**Proof.** Suppose  $\hat{B}(x, b_4 \delta^{\beta_2}(x)) \not\subset U_{I,J}^2$ . Then there exists a point  $(x_1, z_1) \in Y_{I,J}^3 \setminus Y_{I,J}^2$  such that  $x_1 \oplus z_1 \in \hat{B}(x, b_4 \delta^{\beta_2}(x))$ . Note that  $\delta(x_1) \leq 3\delta(x)$  and  $\delta(x) \leq 3\delta(x_1)$ ; in particular:

$$\|p(x_1) - p(x)\| < b_3 \delta^{\beta_1}(x_1) + b_4 \delta^{\beta_2}(x) \leq b_1 \delta^{\beta_1}(x).$$

Hence, in view of (6.17),  $x_1 \in M_{I,J}^2$ .

On the other hand, in view of (6.18) (and (2.41)):

$$\begin{aligned} t_1 \delta^{\tau_1}(x_1) \|z_1\| &\leq \|G(x_1 \oplus z_1)\| = \|G(x_1 \oplus z_1) - G(x)\| \\ &\leq \left[ \frac{4}{\delta(x)} \right]^{a+1} a \|p(x_1 \oplus z_1) - p(x)\| < \frac{2}{3} b_3 \delta^{\beta_1}(x_1) t_1 \delta^{\tau_1}(x_1). \end{aligned}$$

Thus  $\|z_1\| < \frac{2}{3} b_3 \delta^{\beta_1}(x_1)$  and therefore  $(x_1, z_1) \in Y_{I,J}^2$ , which is a contradiction.

**Step 6°.** Put  $\bar{\theta} = b_4 t_1 (\frac{2}{3})^{\bar{\gamma}}$  ( $\bar{\gamma} = \tau_1 + \beta_2 = (3r-1)\alpha + 3\beta + 3r$ ). It remains to show that  $U = U(G, \bar{\theta}, \bar{\gamma}, M) \subset W := \bigcup_{I,J} U_{I,J}^2$  (cf. the remark after Step 2°).

**Proof** (cf. Step 6). Suppose  $U \not\subset W$ . Then there exist  $I, J, (x, z) \in Y_{I,J}^3$  such that  $x \oplus z \in U \cap \partial W$ . Since  $M = \bigcup_{I,J} M_{I,J}^1$ , there exist  $I', J'$  such that  $x \in M_{I',J'}^1$ . The ball  $\hat{B}(x, b_4 \delta^{\beta_2}(x))$  is contained in  $U_{I',J'}^2$  (Step 5°), whence  $\|z\| \geq b_4 \delta^{\beta_2}(x)$ . Now, by (6.18),

$$\|G(x \oplus z)\| \geq t_1 \delta^{\tau_1}(x) \|z\| \geq \bar{\theta} \delta^{\bar{\gamma}}(x \oplus z),$$

which contradicts the definition of  $U$ .

The proof of Lemma 6.3 is completed.

**Remark 6.19.** In the case where  $\dim M = 0$  the proof of the existence of holomorphic retraction  $\pi: U \rightarrow M$  may be simplified, namely:

Let  $X$  be a Riemann domain over  $\mathbb{C}^n$ ,  $\delta \in \mathcal{L}(X)$  and let  $M$  be a 0-dimensional  $\delta$ -regular submanifold of  $X$ . Then (as in Step 3°) one can prove that there exist  $t_1 > 0$ ,  $0 < b_1 < 1/2$  such that

$$\|G(x \oplus z)\| \geq t_1 \delta^{\tau_1}(x) \|z\|, \quad x \in M, z \in \mathbb{C}^n, \|z\| \leq b_1 \delta^{\beta_1}(x),$$

where  $\tau_1 = (n-1)(\alpha+1) + \beta$ ,  $\beta_1 = n(\alpha+1) + \beta + 1$ .

Consequently, if  $0 < b_2 < b_1/2$ ,  $\beta_2 \geq \beta_1$ , then, for every  $x_1, x_2 \in M$ ,  $x_1 \neq x_2$ :

$$\hat{B}(x_1, b_2 \delta^{\beta_2}(x_1)) \cap \hat{B}(x_2, b_2 \delta^{\beta_2}(x_2)) = \emptyset.$$

Put  $U_0 := \bigcup_{x \in M} \hat{B}(x, b_2 \delta^{\beta_2}(x))$  and let  $\pi: U_0 \rightarrow M$  be defined by the formula  $\pi(y) = x$ ,  $y \in \hat{B}(x, b_2 \delta^{\beta_2}(x))$  ( $x \in M$ ). Define  $\gamma = \beta_2 + \tau_1$ ,  $\theta = b_2 t_1 (\frac{2}{3})^{\bar{\gamma}}$ . The standard arguments show that  $U(G, \theta, \gamma, M) \subset U_0$ .

Note that the existence of a pseudoinverse matrix  $Q$  (as in Lemma 6.3) is in some sense equivalent to the  $\delta$ -regularity of  $M$ ; namely, we have the following:

PROPOSITION 6.20. *Let  $X$  be a Stein domain,  $\delta \in \mathcal{W}_r(X)$  and let  $M$  be a  $d$ -dimensional analytic submanifold of  $X$ . Suppose that there exist  $m \in \mathbb{N}$  and  $G \in [\mathcal{O}(X, \delta)]^m$  such that*

$$M \subset G^{-1}(0)$$

and

$$\text{rank}(d_x G) = r = n - d, \quad x \in M.$$

Then the following conditions are equivalent:

- (i)  $\exists b > 0, \beta \geq 0: \Delta_r(d_x G) \geq b\delta^\beta(x), x \in M$  (i.e.,  $M$  is  $\delta$ -regular).
- (ii)  $\exists (f_{I,J})_{I \in \mathcal{I}_r^m, J \in \mathcal{J}_r^n} \subset \mathcal{O}(X, \delta)$ :

$$\sum'_{I,J} f_{I,J}(x) \det(d_x G)_{I,J} = 1, \quad x \in M.$$

(iii) *There exists a matrix-valued function  $Q: X \rightarrow \mathbb{C}^{n \times m}$  with entries in  $\mathcal{O}(X, \delta)$  such that, for every  $x \in M$ ,  $Q(x)$  is pseudoinverse to  $d_x G$ .*

Proof. The implication (i)  $\Rightarrow$  (ii) is a consequence of Prop. 6.14 and Lemma 6.16. The implication (ii)  $\Rightarrow$  (iii) follows from Prop. 6.15. It remains to prove that (iii)  $\Rightarrow$  (i).

Let  $A$  (resp.  $B$ ) be an  $(m \times n)$  (resp.  $(n \times p)$ )-dimensional matrix with complex entries. Then, for every  $1 \leq r \leq m, n, p$ ,

$$\Delta_r(A \cdot B) \leq \Delta_r(A) \cdot \Delta_r(B).$$

Consequently,  $\Delta_r(d_x G) \leq [\Delta_r(d_x G)]^2 \Delta_r(Q(x)), x \in M$ . Since  $\Delta_r(d_x G) > 0, x \in M$ , we get:  $1 \leq \Delta_r(d_x G) \Delta_r(Q(x)), x \in M$ . Hence  $\Delta_r(d_x G) \geq b\delta^{tr}(x), x \in M$  ( $b > 0$  constant) provided that the entries of  $Q$  lie in  $\mathcal{O}^{(t)}(X, \delta)$ . ■



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