

# On Uniqueness of Meromorphic Functions Sharing Three Sets with Finite Weights

by

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**Summary.** We prove the uniqueness of meromorphic functions sharing some three sets with finite weights.

**1. Introduction, definitions and results.** In the paper we will denote by  $\mathbb{C}$  the set of all complex numbers, by  $\mathbb{N}$  the set of all positive integers and write  $\overline{\mathbb{C}} := \mathbb{C} \cup \{\infty\}$ ,  $\overline{\mathbb{N}} := \mathbb{N} \cup \{0, \infty\}$ . Throughout the paper the letters  $n, m$  are reserved for elements of  $\mathbb{N}$ , while  $k, l, p \in \overline{\mathbb{N}}$ ,  $z, w \in \mathbb{C}$ . Also it is tacitly assumed that all meromorphic functions considered are defined on  $\mathbb{C}$  and that they are non-constant.

For such a function  $f$  and  $a \in \overline{\mathbb{C}}$ , each  $z$  with  $f(z) = a$  will be called an  $a$ -point of  $f$ . For a meromorphic function  $f$  and a set  $S \subset \overline{\mathbb{C}}$  we define  $E_f(S)$  (resp.  $\overline{E}_f(S)$ ) as the set of all  $a$ -points of  $f$ , when  $a \in S$ , together with their multiplicity (resp. without their multiplicity). If  $E_f(S) = E_g(S)$  (resp.  $\overline{E}_f(S) = \overline{E}_g(S)$ ) then we simply say  $f, g$  share  $S$  Counting Multiplicities or  $CM$  (resp. Ignoring Multiplicities or  $IM$ ).

More formally we define

DEFINITION 1.1. If  $f$  is a meromorphic function and  $S \subset \overline{\mathbb{C}}$  then if  $z_0 \in f^{-1}(S)$ , the value of  $E_f(S)$  at the point  $z_0$  is denoted by  $E_f(S)(z_0) : f^{-1}(S) \rightarrow \mathbb{N}$  and is equal to the multiplicity of zero of the function  $f(z) - f(z_0)$  at  $z_0$ , i.e. the order of the pole of the function  $(f(z) - f(z_0))^{-1}$  at  $z_0$  if  $f(z_0) \in \mathbb{C}$  (resp. of the function  $f(z)$  if  $z_0$  is a pole for  $f$ ).

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2010 *Mathematics Subject Classification*: Primary 30D35.

*Key words and phrases*: meromorphic functions, uniqueness, weighted sharing, shared set.

The following notion of weighted sharing of values and sets was introduced by Lahiri [8, 9]. It expedited new directions of research in value distribution theory.

DEFINITION 1.2. For  $k \in \overline{\mathbb{N}}$  and  $z_0 \in f^{-1}(S)$  we put  $E_f(S, k)(z_0) = \min\{E_f(S)(z_0), k + 1\}$ . Given  $S \subset \overline{\mathbb{C}}$ , we say that meromorphic functions  $f$  and  $g$  share the set  $S$  up to multiplicity  $k$  (or share  $S$  with weight  $k$ , or simply share  $(S, k)$ ) if  $f^{-1}(S) = g^{-1}(S)$  and for each  $z_0 \in f^{-1}(S)$  we have  $E_f(S, k)(z_0) = E_g(S, k)(z_0)$ , which is represented by the notation  $E_f(S, k) = E_g(S, k)$ .

The subject of the paper is closely related to a problem posed by H. X. Yi [13]. The problem was to find three, possibly small, finite subsets  $S_1, S_2, S_3$  of  $\overline{\mathbb{C}}$  such that for any two meromorphic functions  $f, g$  which share each of the three sets  $S_i, i = 1, 2, 3$  CM, we have  $f \equiv g$ . The problem has drawn attention of many mathematicians. It was solved by W. C. Lin and H. X. Yi [10] who proved that the sets  $S_1 = \{0\}$ ,  $S_2 = \{z \in \mathbb{C} : az^n - n(n-1)z^2 + 2n(n-2)bw = (n-1)(n-2)b^2\}$  and  $S_3 = \{\infty\}$  have the above property, for  $n \geq 5$ , where  $a$  and  $b$  are complex numbers satisfying  $ab^{n-2} \neq 2, 0$ . Later the result was strengthened by H. Y. Xu, H. X. Zhang and C. F. Yi [11] and the first author of the present paper [2]–[3].

In this paper we modify the sets  $S_1, S_2$  so that  $S_1 = \{0, 1\}$ , and the number of elements in the new set  $S_2$  is decreased by 1 in the optimal case. Moreover the conditions on the sharing sets  $S_i, i = 1, 2, 3$ , are relaxed to the conditions of sharing  $(S_i, k_i), i = 1, 2, 3$ , where  $k_1, k_2, k_3$  are relatively small.

The main result of the paper is the following.

THEOREM 1.1. Let  $S_1 = \{0, 1\}$ ,

$$S_2 = \left\{ z : \frac{(n-1)(n-2)}{2} z^n - n(n-2)z^{n-1} + \frac{n(n-1)}{2} z^{n-2} - c = 0 \right\},$$

where  $n \geq 4$ ,  $c \in \mathbb{C}$ ,  $c \neq 0, 1, 1/2$ , and  $S_3 = \{\infty\}$ . If two meromorphic functions  $f$  and  $g$  share  $(S_1, p)$ ,  $(S_2, m)$  and  $(S_3, k)$ , where  $p \leq 1$ ,  $2 \leq m < \infty$  and

$$0 < \frac{9 - 4p/3 - 2m}{m + 1} < 2 - \frac{4 - 2p/3}{k + 2},$$

then  $f \equiv g$ .

COROLLARY 1.1. If  $(p, m, k)$  is one of the triplets  $(0, 2, 11)$ ,  $(0, 3, 2)$ ,  $(0, 4, 1)$ ,  $(1, 2, 3)$ ,  $(1, 3, 1)$  then the conclusion of Theorem 1.1 holds.

**2. Auxiliary definitions and lemmas.** The proofs of the main theorems depend heavily on the value distribution of meromorphic functions, as in [6]. We will use standard definitions and notations from this theory. In particular  $N(r, a; f)$  (resp.  $\overline{N}(r, a; f)$ ) denotes the counting function (resp.

reduced counting function) of  $a$ -points of a meromorphic function  $f$ ,  $T(r, f)$  is the Nevanlinna characteristic function of  $f$ , and  $S(r, f)$  is used to denote each function which is of smaller order than  $T(r, f)$  when  $r \rightarrow \infty$ . Moreover we will need the following notation.

DEFINITION 2.1 ([7]). For  $a \in \overline{\mathbb{C}}$  we denote by  $N(r, a; f | = 1)$  the counting function of simple  $a$ -points of  $f$ . For a positive integer  $m$  we denote by  $N(r, a; f | \geq m)$  the counting function of those  $a$ -points of  $f$  whose multiplicities are not less than  $m$ , where each  $a$ -point is counted according to its multiplicity. We denote by  $\overline{N}(r, a; f | \geq m)$  the reduced form of  $N(r, a; f | \geq m)$ .

DEFINITION 2.2 ([14]). Let  $f$  and  $g$  be meromorphic functions sharing  $(a, 0)$  where  $a \in \mathbb{C} \cup \{\infty\}$ . We denote by  $\overline{N}_L(r, a; f > g)$  the reduced counting function of those  $a$ -points of  $f$  whose multiplicity corresponding to  $f$  is greater than that corresponding to  $g$ .

DEFINITION 2.3 ([8, 9]). Let  $f, g$  share  $(a, 0)$ . We denote

$$\overline{N}_*(r, a; f, g) = \overline{N}_*(r, a; g, f) = \overline{N}_L(r, a; f > g) + \overline{N}_L(r, a; g > f).$$

For fixed  $n \geq 3$  and  $c \in \mathbb{C} \setminus \{0, 1, 1/2\}$  we set

$$Q(z) := \frac{(n-1)(n-2)}{2} z^2 - n(n-2)z + \frac{n(n-1)}{2} \quad \text{and} \quad P(z) := z^{n-2}Q(z).$$

To meromorphic functions  $f, g$  we associate  $F, G$  by

$$(2.1) \quad F = \frac{P(f)}{c}, \quad G = \frac{P(g)}{c},$$

and to  $F, G$  we associate  $H$  by the formula

$$(2.2) \quad H = \left( \frac{F''}{F'} - \frac{2F'}{F-1} \right) - \left( \frac{G''}{G'} - \frac{2G'}{G-1} \right).$$

LEMMA 2.1 ([9, Lemma 1]). Let  $F, G$  be meromorphic functions sharing  $(1, 1)$  and let  $H$  be given by (2.2). If  $H \not\equiv 0$ , then

$$N(r, 1; F | = 1) = N(r, 1; G | = 1) \leq N(r, H) + S(r, F) + S(r, G).$$

LEMMA 2.2. Let  $F, G, H$  be as in (2.1), (2.2) and let  $S_i$   $i = 1, 2, 3$ , be as defined in Theorem 1.1. If  $H \not\equiv 0$  and  $f, g$  share  $(S_1, p)$ ,  $(S_2, 0)$  and  $(S_3, 0)$ , where  $p < \infty$ , then

$$N(r, H) \leq \overline{N}(r, 0; f | \geq p+1) + \overline{N}(r, 1; f | \geq p+1) + \overline{N}_*(r, 1; F, G) + \overline{N}_*(r, \infty; f, g) + \overline{N}_0(r, 0; f') + \overline{N}_0(r, 0; g'),$$

where  $\overline{N}_0(r, 0; f')$  is the reduced counting function for the points  $\{z \in \mathbb{C} : f'(z) = 0, f(z) \neq 0, 1; F(z) \neq 1\}$ , and  $\overline{N}_0(r, 0; g')$  is defined similarly.

*Proof.* Since

$$F - 1 = \frac{P(f) - c}{c}, \quad G - 1 = \frac{P(g) - c}{c}$$

and  $E_f(S_2, 0) = E_g(S_2, 0)$  we see that  $F$  and  $G$  share  $(1, 0)$ . It is easy to check that

$$H = \frac{2f'}{f-1} - \frac{2g'}{g-1} + \frac{(n-3)f'}{f} - \frac{(n-3)g'}{g} + \frac{f''}{f'} - \frac{g''}{g'} - \left( \frac{2F'}{F-1} - \frac{2G'}{G-1} \right).$$

Since  $E_f(S_1, p) = E_g(S_1, p)$  we deduce that  $z \in f^{-1}(\{0, 1\})$  if and only if  $z \in g^{-1}(\{0, 1\})$ . Hence

$$\begin{aligned} \overline{N}(r, 0; f | \geq p + 1) + \overline{N}(r, 1; f | \geq p + 1) \\ = \overline{N}(r, 0; g | \geq p + 1) + \overline{N}(r, 1; g | \geq p + 1). \end{aligned}$$

It can also be easily verified that possible poles of  $H$  occur at (i) zeros (or 1-points) of  $f$  and  $g$  with multiplicity greater than  $p$ , (ii) poles of  $f$  and  $g$  with different multiplicities, (iii) 1-points of  $F$  and  $G$  with different multiplicities, (iv) zeros of  $f'$  which are not zeros of  $f(f - 1)$  and  $F - 1$ , (v) zeros of  $g$  which are not zeros of  $g(g - 1)$  and  $G - 1$ .

Since  $H$  has only simple poles, clearly the lemma follows from the above explanations. ■

LEMMA 2.3 ([12]). *If  $f$  is a meromorphic function and  $R$  a polynomial of degree  $n$  then*

$$T(r, R(f)) = nT(r, f) + O(1).$$

LEMMA 2.4 ([4, Lemma 2.10]). *If meromorphic functions  $f, g$  share  $(1, m)$ , then*

$$\begin{aligned} \overline{N}(r, 1; f) + \overline{N}(r, 1; g) - N(r, 1; f | = 1) + \left( m - \frac{1}{2} \right) \overline{N}_*(r, 1; f, g) \\ \leq \frac{1}{2} [N(r, 1; f) + N(r, 1; g)]. \end{aligned}$$

LEMMA 2.5. *If meromorphic functions  $f, g$  share  $(\{0, 1\}, 0)$  and  $(\infty, 0)$  then  $P(f)P(g)$  is not a constant.*

*Proof.* On the contrary, assume that

$$(2.3) \quad (n - 1)^2(n - 2)^2 f^{n-2}(f - \gamma)(f - \delta)g^{n-2}(g - \gamma)(g - \delta) \equiv 4c^2,$$

where  $\gamma$  and  $\delta$  are the roots of the equation  $Q(z) = 0$ .

If  $f$  has a pole then  $g$  will also have a pole, which is impossible by (2.3). So  $f$  and  $g$  have no poles. Similarly  $f$  (resp.  $g$ ) cannot have any zero,  $\gamma$ -points or  $\delta$ -points as they can only be neutralized by poles of  $g$  (resp.  $f$ ). So  $f$  and  $g$  omit  $0, \infty$  as well as  $\gamma, \delta$ , which is impossible. ■

LEMMA 2.6 ([5, p. 192]). *Let*

$$R(z) = (n - 1)^2(z^n - 1)(z^{n-2} - 1) - n(n - 2)(z^{n-1} - 1)^2.$$

*Then  $R(z) = (z - 1)^4W(z)$  and all the  $2n - 6$  roots of the polynomial  $W$  are distinct and different from 0, 1.*

LEMMA 2.7. *If  $n \geq 4$  and meromorphic functions  $f, g$  share  $(\{0, 1\}, 0)$  and  $P(f) \equiv P(g)$  then  $f \equiv g$ .*

*Proof.* From the assumption we can write

$$(2.4) \quad f^{n-2}(f - \gamma)(f - \delta) \equiv g^{n-2}(g - \gamma)(g - \delta).$$

Clearly (2.4) implies that  $f$  and  $g$  share  $(\infty, \infty)$ . Since  $E_f(\{0, 1\}, 0) = E_g(\{0, 1\}, 0)$  it follows that if  $z_0$  is a zero of  $f$  (resp.  $g$ ) then it cannot be a 1-point of  $g$  (resp.  $f$ ) as none of  $\gamma$  and  $\delta$  is zero. So  $f$  and  $g$  share  $(0, \infty)$  and  $(1, \infty)$ . Suppose  $h = f/g$ . Clearly  $h$  has no zero and no pole. Substituting  $f = hg$  in (2.4) we get

$$(2.5) \quad \frac{(n - 1)(n - 2)}{2}(h^n - 1)g^2 - n(n - 2)(h^{n-1} - 1)g + \frac{n(n - 1)}{2}(h^{n-2} - 1) \equiv 0.$$

Suppose  $h$  is not a constant. Then by a simple calculation we deduce from (2.5) that

$$(2.6) \quad \{(n - 1)(n - 2)(h^n - 1)g - n(n - 2)(h^{n-1} - 1)\}^2 \equiv -n(n - 2)R(h),$$

where  $R(z)$  is as in Lemma 2.6. So using Lemma 2.6 we have

$$(2.7) \quad \{(n - 1)(n - 2)(h^n - 1)g - n(n - 2)(h^{n-1} - 1)\}^2 \equiv -n(n - 2)(h - 1)^4(h - \beta_1) \dots (h - \beta_{2n-6}),$$

where  $\beta_j \in \mathbb{C} - \{0, 1\}$  ( $j = 1, \dots, 2n - 6$ ) are distinct. From (2.7) we see that  $h - \beta_j$  ( $j = 1, \dots, 2n - 6$ ) each have multiplicity at least 2. So by the Second Fundamental Theorem we get

$$\begin{aligned} (2n - 6)T(r, h) &\leq \overline{N}(r, \infty; h) + \overline{N}(r, 0; h) + \sum_{j=1}^{2n-6} \overline{N}(r, \beta_j; h) + S(r, h) \\ &\leq \frac{1}{2} \sum_{j=1}^{2n-6} N(r, \beta_j; h) + S(r, h) \\ &\leq (n - 3)T(r, h) + S(r, h), \end{aligned}$$

which is a contradiction for  $n \geq 4$ . So  $h$  is a constant. From (2.5) we have  $h^n - 1 = 0, h^{n-1} - 1 = 0$ . It follows that  $h \equiv 1$  and so  $f \equiv g$ . ■

LEMMA 2.8. Let  $n \geq 3$  and  $S_i, i = 1, 2, 3$ , be as in Theorem 1.1. Also let meromorphic functions  $f$  and  $g$  share  $(S_1, p), (S_2, m), (S_3, k)$ , where  $p < \infty$ . If  $F, G$  are given by (2.1) and

$$\Phi := \frac{F'}{F-1} - \frac{G'}{G-1} \neq 0,$$

then

$$\begin{aligned} \min\{(n-2)p + (n-3), 3p+2\} &\{ \overline{N}(r, 0; f | \geq p+1) + \overline{N}(r, 1; f | \geq p+1) \} \\ &\leq \overline{N}_*(r, 1; F, G) + \overline{N}_*(r, \infty; f, g) + S(r, f) + S(r, g). \end{aligned}$$

*Proof.* By the assumptions,  $F$  and  $G$  share  $(1, m)$ . Also we see that

$$\Phi = \frac{n(n-1)(n-2)f^{n-3}(f-1)^2f'}{2c(F-1)} - \frac{n(n-1)(n-2)g^{n-3}(g-1)^2g'}{2c(G-1)}.$$

Let  $z_0$  be a zero or a 1-point of  $f$  with multiplicity  $r$ . Since  $E_f(S_1, p) = E_g(S_1, p)$ ,  $z_0$  is a zero of  $\Phi$  of multiplicity

$$\min\{(n-3)r + r - 1, 2r + r - 1\} = \min\{(n-2)r - 1, 3r - 1\},$$

if  $r \leq p$ , and of multiplicity at least

$$\min\{(n-3)(p+1) + p, 2(p+1) + p\} = \min\{(n-2)p + (n-3), 3p+2\}$$

if  $r > p$ . So by a simple calculation we can write

$$\begin{aligned} \min\{(n-2)p + (n-3), 3p+2\} &\{ \overline{N}(r, 0; f | \geq p+1) + \overline{N}(r, 1; f | \geq p+1) \} \\ &\leq N(r, 0; \Phi) \leq T(r, \Phi) \\ &\leq N(r, \infty; \Phi) + S(r, F) + S(r, G) \\ &\leq \overline{N}_*(r, 1; F, G) + \overline{N}_*(r, \infty; f, g) + S(r, f) + S(r, g). \blacksquare \end{aligned}$$

LEMMA 2.9. Let  $S_i, i = 1, 2, 3$ , be as in Theorem 1.1 and  $F, G, H$  be given by (2.1) and (2.2). If meromorphic functions  $f$  and  $g$  share  $(S_1, p), (S_2, m)$  and  $(S_3, k)$ , where  $p < \infty, 2 \leq m < \infty$  and  $H \neq 0$ , then

$$\begin{aligned} &(n+1)\{T(r, f) + T(r, g)\} \\ &\leq 2\{\overline{N}(r, 0; f) + \overline{N}(r, 1; f)\} + \overline{N}(r, 0; f | \geq p+1) + \overline{N}(r, 1; f | \geq p+1) \\ &\quad + \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) + \overline{N}_*(r, \infty; f, g) \\ &\quad + \frac{1}{2}[N(r, 1; F) + N(r, 1; G)] \\ &\quad - \left(m - \frac{3}{2}\right)\overline{N}_*(r, 1; F, G) + S(r, f) + S(r, g). \end{aligned}$$

*Proof.* By the Second Fundamental Theorem we get

$$(2.8) \quad (n + 1)\{T(r, f) + T(r, g)\} \\ \leq \overline{N}(r, 1; F) + \overline{N}(r, 0; f) + \overline{N}(r, 1; f) + \overline{N}(r, \infty; f) + \overline{N}(r, 1; G) + \overline{N}(r, 0; g) \\ + \overline{N}(r, 1; g) + \overline{N}(r, \infty; g) - N_0(r, 0; f') - N_0(r, 0; g') + S(r, f) + S(r, g).$$

Using Lemmas 2.1–2.4 we see that

$$(2.9) \quad \overline{N}(r, 1; F) + \overline{N}(r, 1; G) \\ \leq \frac{1}{2}[N(r, 1; F) + N(r, 1; G)] + N(r, 1; F | = 1) - \left(m - \frac{1}{2}\right)\overline{N}_*(r, 1; F, G) \\ \leq \frac{1}{2}[N(r, 1; F) + N(r, 1; G)] + \overline{N}(r, 0; f | \geq p + 1) + \overline{N}(r, 1; f | \geq p + 1) \\ + \overline{N}_*(r, \infty; f, g) - \left(m - \frac{3}{2}\right)\overline{N}_*(r, 1; F, G) + \overline{N}_0(r, 0; f') + \overline{N}_0(r, 0; g') \\ + S(r, f) + S(r, g).$$

Applying (2.9) in (2.8) and noting that

$$\overline{N}(r, 0; f) + \overline{N}(r, 1; f) = \overline{N}(r, 0; g) + \overline{N}(r, 1; g),$$

the lemma follows. ■

LEMMA 2.10 ([14, Lemma 6]). *If  $H \equiv 0$ , then  $F, G$  share  $(1, \infty)$ . If further  $F, G$  share  $(\infty, 0)$  then they share  $(\infty, \infty)$ .*

LEMMA 2.11. *Let  $F, G$  be given by (2.1) and suppose they share  $(1, m)$ . Also let  $\alpha_1, \dots, \alpha_n$  be the distinct elements of the set*

$$\left\{ z : \frac{(n - 1)(n - 2)}{2} z^n - n(n - 2)z^{n-1} + \frac{n(n - 1)}{2} z^{n-2} - c = 0 \right\},$$

where  $c \neq 0, 1, 1/2$  is a complex number and  $n \geq 3$ . Then

$$\overline{N}_L(r, 1; F > G) \leq \frac{1}{m + 1} [\overline{N}(r, 0; f) + \overline{N}(r, \infty; f) - N_{\otimes}(r, 0; f')] + S(r, f),$$

where  $N_{\otimes}(r, 0; f')$  is the counting function of those 0-points of  $f'$  which are not in  $f^{-1}(\{0, \alpha_1, \dots, \alpha_n\})$ .

*Proof.* The proof can be carried out along the lines of the proof of [1, Lemma 2.14]. ■

### 3. Proof of the theorem

*Proof of Theorem 1.1.* Let  $F, G$  be given by (2.1) and (2.2). Then  $F, G$  share  $(1, m)$  and  $f, g$  share  $(\infty, k)$ . We consider two cases, each of them split into several subcases.

CASE 1. Suppose that  $\Phi \neq 0$ .

SUBCASE 1.1. Let  $H \neq 0$ . First suppose  $p = 0$ .

In view of Definition 2.3 we observe that

$$\begin{aligned} \bar{N}_*(r, \infty; f, g) &= \bar{N}_L(r, \infty; f) + \bar{N}_L(r, \infty; g) \\ &\leq \bar{N}(r, \infty; f \mid \geq k+2) + \bar{N}(r, \infty; g \mid \geq k+2) \\ &\leq \frac{1}{k+2} \{N(r, \infty; f) + N(r, \infty; g)\}. \end{aligned}$$

Then using Lemma 2.3, Lemma 2.8 with  $p = 0$  and Lemma 2.11 we deduce that

$$\begin{aligned} (3.1) \quad & (n+1) \{T(r, f) + T(r, g)\} \\ & \leq 3\{\bar{N}(r, 0; f) + \bar{N}(r, 1; f)\} + \left\{1 + \frac{1}{k+2}\right\} \{N(r, \infty; f) + N(r, \infty; g)\} \\ & \quad + \frac{1}{2}[N(r, 1; F) + N(r, 1; G)] - \left(m - \frac{3}{2}\right) \bar{N}_*(r, 1; F, G) \\ & \quad + S(r, f) + S(r, g) \\ & \leq 3\bar{N}_*(r, \infty; f, g) + \left\{1 + \frac{1}{k+2}\right\} \{N(r, \infty; f) + N(r, \infty; g)\} \\ & \quad + \frac{n}{2} \{T(r, f) + T(r, g)\} \\ & \quad - \left(m - \frac{9}{2}\right) \bar{N}_*(r, 1; F, G) + S(r, f) + S(r, g) \\ & \leq \left\{\frac{n}{2} + 1 + \frac{4}{k+2}\right\} \{T(r, f) + T(r, g)\} \\ & \quad - \frac{2m-9}{2(m+1)} \{\bar{N}(r, 0; f) + \bar{N}(r, \infty; f) + \bar{N}(r, 0; g) + \bar{N}(r, \infty; g)\} \\ & \quad + S(r, f) + S(r, g) \\ & \leq \left\{\frac{n}{2} + 1 + \frac{4}{k+2} + \frac{9-2m}{m+1}\right\} \{T(r, f) + T(r, g)\} + S(r, f) + S(r, g). \end{aligned}$$

Since  $2 - \frac{4}{k+2} > \frac{9-2m}{m+1} > 0$ , (3.1) gives a contradiction for  $n \geq 4$ .

Next suppose  $p = 1$ .

Using Lemma 2.3, Lemma 2.8 for  $p = 0$  and again for  $p = 1$ , and Lemma 2.11, we get

$$\begin{aligned} (3.2) \quad & (n+1) \{T(r, f) + T(r, g)\} \\ & \leq \frac{7}{3} \{\bar{N}_*(r, \infty; f, g) + \bar{N}_*(r, 1; F, G)\} \\ & \quad + \left\{1 + \frac{1}{k+2}\right\} \{N(r, \infty; f) + N(r, \infty; g)\} \end{aligned}$$



$$\begin{aligned}
 & + \frac{1}{2}[N(r, 1; F) + N(r, 1; G)] \\
 & - \left(m - \frac{3}{2}\right)\overline{N}_*(r, 1; F, G) + S(r, f) + S(r, g) \\
 \leq & \left\{1 + \frac{10}{3(k+2)}\right\}\{N(r, \infty; f) + N(r, \infty; g)\} + \frac{n}{2}\{T(r, f) + T(r, g)\} \\
 & - \left(m - \frac{23}{6}\right)\overline{N}_*(r, 1; F, G) + S(r, f) + S(r, g) \\
 \leq & \left\{\frac{n}{2} + 1 + \frac{10}{3(k+2)}\right\}\{T(r, f) + T(r, g)\} - \frac{6m - 23}{6(m+1)}\{2T(r, f) + 2T(r, g)\} \\
 & + S(r, f) + S(r, g) \\
 \leq & \left\{\frac{n}{2} + 1 + \frac{10}{3(k+2)} + \frac{23 - 6m}{3(m+1)}\right\}\{T(r, f) + T(r, g)\} + S(r, f) + S(r, g).
 \end{aligned}$$

Since the assumption for  $p = 1$  implies  $2 - \frac{10}{3(k+2)} > \frac{23-6m}{3(m+1)} > 0$ , (3.8) gives a contradiction for  $n \geq 4$ .

SUBCASE 1.2. Suppose  $H \equiv 0$ . Then

$$(3.3) \quad F \equiv \frac{AG + B}{CG + D},$$

where  $A, B, C, D$  are constants such that  $AD - BC \neq 0$ . Also  $T(r, F) = T(r, G) + O(1)$ , i.e.,

$$(3.4) \quad T(r, f) = T(r, g) + O(1).$$

In view of Lemma 2.10 it follows that  $F$  and  $G$  share  $(1, \infty)$  and  $(\infty, \infty)$ , that is,  $f$  and  $g$  share  $(\infty, \infty)$ . So in view of Lemma 2.8,  $\overline{N}(r, 0; f) + \overline{N}(r, 1; f) = S(r, f) + S(r, g)$ . Since  $P(1) = 1$ , by a simple computation it can be easily seen that 1 is a zero with multiplicity 3 of  $F - \frac{1}{c} = \frac{P(f)-1}{c}$  and hence

$$F - \frac{1}{c} = (f - 1)^3 Q_{n-3}(f),$$

where  $Q_{n-3}(f)$  is a polynomial in  $f$  of degree  $n - 3$  and thus

$$\begin{aligned}
 \overline{N}\left(r, \frac{1}{c}; F\right) & \leq \overline{N}(r, 1; f) + \overline{N}(r, 0; Q_{n-3}(f)) \\
 & \leq \overline{N}(r, 1; f) + (n - 3)T(r, f) + S(r, f).
 \end{aligned}$$

We now consider the following cases.

SUBCASE 1.2.1. Let  $AC \neq 0$ . From (3.3) we get

$$(3.5) \quad \overline{N}(r, \infty; G) = \overline{N}\left(r, \frac{A}{C}; F\right).$$

Since  $F$  and  $G$  share  $(1, \infty)$ , it follows that  $A/C \neq 1$ . Suppose  $A/C \neq 1/c$ . Then in view of Lemma 2.3 and (3.4), by the Second Fundamental Theorem we get

$$\begin{aligned} (n + 1)T(r, f) &\leq \bar{N}(r, 0; f) + \bar{N}(r, 1; f) + \bar{N}(r, \infty; f) \\ &\quad + \bar{N}\left(r, \frac{A}{C}; F\right) + S(r, f) + S(r, g) \\ &= \bar{N}(r, \infty; f) + \bar{N}(r, \infty; g) + S(r, f) \\ &\leq 2T(r, f) + S(r, f), \end{aligned}$$

which gives a contradiction for  $n \geq 4$ .

Next suppose  $A/C = 1/c$ . Then

$$F - \frac{A}{C} \equiv \frac{BC - AD}{C(CG + D)} \quad \text{i.e.,} \quad (f - 1)^3 Q_{n-3}(f) \equiv \frac{BC - AD}{C(CG + D)}.$$

Suppose

$$Q_{n-3}(f) = (f - \alpha'_1) \dots (f - \alpha'_{n-3}),$$

where  $\alpha'_i$ 's,  $i = 1, \dots, n - 3$  are distinct. Then the above expression implies that any  $\alpha'_i$ -point of  $f$  of order  $p$  (say) will be a pole of order  $q$  (say) of  $g$ . Consequently, we have

$$p = nq \geq n.$$

Noting that  $\bar{N}(r, 0; f) + \bar{N}(r, 1; f) = S(r, f) + S(r, g)$ , in view of (3.4) the Second Fundamental Theorem yields

$$\begin{aligned} (n - 2)T(r, f) &\leq \bar{N}(r, 0; f) + \bar{N}(r, 1; f) + \bar{N}(r, \infty; f) + \sum_{i=1}^{n-3} \bar{N}(r, \alpha'_i; f) + S(r, f) \\ &\leq \bar{N}(r, \infty; f) + \frac{n - 3}{n} T(r, f) + S(r, f) \\ &\leq \left(1 + \frac{n - 3}{n}\right) T(r, f) + S(r, f), \end{aligned}$$

which is a contradiction for  $n \geq 4$ .

SUBCASE 1.2.2. Let  $A \neq 0$  and  $C = 0$ . Then  $F \equiv \alpha_0 G + \beta_0$ , where  $\alpha_0 = A/D$  and  $\beta_0 = B/D$ .

We note that 1 cannot be a Picard exceptional value (P.e.v.) of  $F$  (or  $G$ ). For, if it happens, then  $f$  (resp.  $g$ ) omits  $n \geq 4$  values, which is a contradiction.

So  $F$  and  $G$  have some 1-points. Then  $\alpha_0 + \beta_0 = 1$  and so

$$(3.6) \quad F \equiv \alpha_0 G + 1 - \alpha_0.$$

Suppose  $\alpha_0 \neq 1$ . If  $1 - \alpha_0 \neq 1/c$  then using Lemma 2.3, (3.4) and the Second Fundamental Theorem we get

$$\begin{aligned} 2nT(r, f) &\leq \bar{N}(r, 0; F) + \bar{N}(r, 1 - \alpha_0; F) + \bar{N}\left(r, \frac{1}{c}; F\right) + \bar{N}(r, \infty; F) + S(r, F) \\ &\leq \bar{N}(r, 0; f) + 2T(r, f) + \bar{N}(r, 0; G) + \bar{N}(r, 1; f) \\ &\quad + (n - 3)T(r, f) + \bar{N}(r, \infty; f) + S(r, f) \\ &\leq (n - 1)T(r, f) + 3T(r, g) + \bar{N}(r, \infty; f) + S(r, f) + S(r, g) \\ &\leq (n + 3)T(r, f) + S(r, f), \end{aligned}$$

which implies a contradiction since  $n \geq 4$ .

If  $1 - \alpha_0 = 1/c$ , then from (3.6) we have  $cF \equiv (c - 1)G + 1$ .

Noting that  $c \neq 1/2$  and  $\bar{N}(r, 0; f) + \bar{N}(r, 1; f) = \bar{N}(r, 0; g) + \bar{N}(r, 1; g)$ , using Lemma 2.3, (3.4) and (3.6) we obtain, by the Second Fundamental Theorem,

$$\begin{aligned} 2nT(r, g) &\leq \bar{N}(r, 0; G) + \bar{N}\left(r, \frac{1}{c}; G\right) + \bar{N}\left(r, \frac{1}{1 - c}; G\right) + \bar{N}(r, \infty; G) + S(r, G) \\ &\leq 2T(r, g) + \bar{N}(r, 0; g) + (n - 3)T(r, g) + \bar{N}(r, 1; g) + 2T(r, f) + \bar{N}(r, 0; f) \\ &\quad + \bar{N}(r, \infty; g) + S(r, g) \\ &\leq 3T(r, f) + nT(r, g) + S(r, f) + S(r, g) \\ &\leq (n + 3)T(r, g) + S(r, g), \end{aligned}$$

which implies a contradiction as  $n \geq 4$ . Therefore  $\alpha_0 = 1$  and hence  $F \equiv G$ . This implies  $\Phi \equiv 0$ , a contradiction to the initial assumption.

SUBCASE 1.2.3. Let  $A = 0$  and  $C \neq 0$ . Then

$$F \equiv \frac{1}{\gamma_0 G + \delta_0},$$

where  $\gamma_0 = C/B$  and  $\delta_0 = D/B$ .

Clearly 1 cannot be a P.e.v. of  $F$  and so of  $G$ . Since  $F$  and  $G$  have some 1-points we have  $\gamma_0 + \delta_0 = 1$  and so

$$(3.7) \quad F \equiv \frac{1}{\gamma_0 G + 1 - \gamma_0}.$$

Suppose  $\gamma_0 \neq 1$ . If  $\gamma_0 \neq 1 - c$ , then noting that

$$\bar{N}(r, 0; G) = \bar{N}\left(r, \frac{1}{1 - \gamma_0}; F\right) \neq \bar{N}\left(r, \frac{1}{c}; F\right),$$

by the Second Fundamental Theorem, using Lemma 2.3 we can again deduce a contradiction as above when  $n \geq 4$ .

If  $\gamma_0 = 1 - c$ , from (3.7) we have

$$F \equiv \frac{1}{(1 - c)G + c}.$$

If possible suppose that  $\frac{1}{c} \neq \frac{c}{c-1}$ . Now in the same way as above using (3.4), Lemma 2.3, and the Second Fundamental Theorem yields

$$\begin{aligned} 2nT(r, g) &\leq \overline{N}(r, 0; G) + \overline{N}\left(r, \frac{1}{c}; G\right) + \overline{N}\left(r, \frac{c}{c-1}; G\right) + \overline{N}(r, \infty; G) + S(r, G) \\ &\leq \overline{N}(r, 0; g) + \overline{N}(r, 1; g) + 2T(r, g) + (n - 3)T(r, g) + \overline{N}(r, \infty; F) \\ &\quad + \overline{N}(r, \infty; G) + S(r, f) + S(r, g) \\ &\leq nT(r, g) + N(r, \infty; f) + S(r, f) + S(r, g), \end{aligned}$$

which implies a contradiction for  $n \geq 4$ .

Next suppose  $\frac{1}{c} = \frac{c}{c-1}$ . Then

$$F \equiv \frac{1}{-c^2(G - \frac{1}{c})}, \quad \text{i.e.,} \quad F\left(G - \frac{1}{c}\right) \equiv \frac{1}{-c^2}.$$

Since  $F, G$  share  $(\infty, \infty)$ , it follows that 0 is a P.e.v. of  $F$ , which implies  $f$  omits three distinct complex numbers, which is impossible. So we must have  $\gamma_0 = 1$ , i.e.,  $FG \equiv 1$ , which is impossible by Lemma 2.5.

CASE 2. Suppose that  $\Phi \equiv 0$ . On integration we get  $F - 1 \equiv A(G - 1)$  for some non-zero constant  $A$ . So in view of Lemma 2.3, (3.4) is satisfied. Since by the assumption of the theorem  $E_f(S_1, 0) = E_g(S_1, 0)$ , we consider the following cases.

SUBCASE 2.1. First assume  $f$  and  $g$  share  $(0, 0)$  and  $(1, 0)$ . If none of 0 and 1 is a P.e.v. of  $f$  and  $g$ , then we have  $A = 1$ . Similarly if one of 0 or 1 is a P.e.v. of  $f$  and  $g$ , then we get  $A = 1$  and so in both cases we have  $F \equiv G$ , which in view of Lemma 2.7 implies  $f \equiv g$ . If both 0 and 1 are P.e.v. of  $f$  as well as of  $g$  then noting that here  $F \equiv AG + (1 - A)$  which is similar to (3.6), we can handle the situation as in Subcase 1.2.2. So we omit the details.

SUBCASE 2.2. Next suppose that  $f, g$  do not share  $(0, 0), (1, 0)$ . Here we have to consider the following subcases.

SUBCASE 2.2.1. Suppose there exist  $z_0, z_1$  such that

$$f(z_0) = 0, \quad g(z_0) = 1, \quad f(z_1) = 1, \quad g(z_1) = 0.$$

i.e., none of 0 and 1 is a P.e.v. of  $f$  and  $g$ . We note that from  $F - 1 \equiv A(G - 1)$  we get  $P(f) - c(1 - A) \equiv AP(g)$ . If  $A \neq 1$ , then  $c(1 - A) \neq 0$ . If  $c(1 - A) = 1$ , then  $A = \frac{c-1}{c}$ . So  $F - \frac{1}{c} \equiv \frac{c-1}{c}G$ . We have  $F(z_0) = 0$  and  $G(z_0) = 1/c$ . Putting these values we obtain  $\frac{-1}{c} = \frac{c-1}{c^2}$ , which implies  $c = \frac{1}{2}$ , a contradiction. So  $c(1 - A) \neq 0, 1$ . Hence  $P(f) - c(1 - A)$  has simple zeros

and so

$$(f - \omega_1) \dots (f - \omega_n) \equiv A \frac{(n-1)(n-2)}{2} g^{n-2} (g - \gamma)(g - \delta),$$

where  $\omega_i$  ( $i = 1, \dots, n$ ) are the distinct zeros of  $P(f) - c(1 - A)$ . Since  $f, g$  share the set  $S_1$ , from the above we see that 0 is a P.e.v. of  $g$ , a contradiction.

SUBCASE 2.2.2. If no such  $z_0$  exists, i.e., if 0 is a P.e.v. of  $f$  and 1 is a P.e.v. of  $g$ , then again as above from  $\Phi \equiv 0$  we get

$$(3.8) \quad F \equiv AG + 1 - A,$$

i.e.,

$$(3.9) \quad \frac{P(f)}{A} \equiv P(g) - \frac{c(A-1)}{A}.$$

Clearly,  $\frac{c(A-1)}{A} \neq 0$  as  $c \neq 0$  and  $A \neq 1$ . Now if  $\frac{c(A-1)}{A} = 1$  then  $A = \frac{c}{c-1}$ . Since any 1-point of  $f$  is 0-point of  $g$ , from (3.8) we have  $\frac{1}{c} = 1 - A$ , i.e.,  $A = \frac{c-1}{c}$ . Therefore

$$\frac{c-1}{c} = \frac{c}{c-1},$$

which implies  $c = \frac{1}{2}$ , a contradiction. This implies  $\frac{c(A-1)}{A} \neq 1$  and so  $P(g) - \frac{c(A-1)}{A}$  has  $n$  distinct zeros  $\beta'_j$ , say ( $j = 1, \dots, n$ ). Hence from (3.9) we have

$$\frac{(n-1)(n-2)}{2A} f^{n-2} (f - \gamma)(f - \delta) \equiv (g - \beta'_1) \dots (g - \beta'_n).$$

Now by the Second Fundamental Theorem and (3.4) we get

$$\begin{aligned} nT(r, g) &\leq \bar{N}(r, 0; g) + \bar{N}(r, 1; g) + \sum_{j=1}^n \bar{N}(r, \beta'_j; g) + S(r, g) \\ &\leq \bar{N}(r, 0; g) + \bar{N}(r, \gamma; f) + \bar{N}(r, \delta; f) + S(r, g) \\ &\leq 3T(r, g) + S(r, g), \end{aligned}$$

which is a contradiction for  $n \geq 4$ .

SUBCASE 2.2.3. If no such  $z_0, z_1$  exist at all, i.e., 0 and 1 are both Picard exceptional values of  $f$  and  $g$  then again we can obtain either (3.9) or

$$(3.10) \quad P(f) - c(1 - A) \equiv AP(g).$$

We prove that either the right hand side of (3.9) or the left hand side of (3.10) will have  $n$  distinct factors. Now if  $\frac{c(A-1)}{A} = 1$ , i.e., the right hand side of (3.9) does not have  $n$  distinct factors, then  $A = \frac{c}{c-1}$  and hence  $c(1 - A) = -A = \frac{c}{1-c} \neq 1$  as  $c \neq \frac{1}{2}$ . So  $P(f) - c(1 - A)$  has simple zeros and consequently we have  $(f - \omega_1) \dots (f - \omega_n) \equiv A \frac{(n-1)(n-2)}{2} g^{n-2} (g - \gamma)(g - \delta)$ . Therefore by the Second Fundamental Theorem and (3.4),

$$\begin{aligned}
 nT(r, f) &\leq \sum_{i=1}^n \overline{N}(r, \omega_i; f) + \overline{N}(r, 0; f) + \overline{N}(r, 1; f) + S(r, f) \\
 &\leq \overline{N}(r, \gamma; g) + \overline{N}(r, \delta; g) + S(r, f),
 \end{aligned}$$

which is a contradiction for  $n \geq 3$ . ■

**Acknowledgements.** The first author is grateful to DST-PURSE programme for financial assistance.

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Received December 31, 2013;  
received in final form October 15, 2014

(7955)