INTRODUCTION

Main engines on large or ultra-large merchant ships are very powerful and consume large amount of fuel oil. A slight increase of their thermal efficiency could bring round-sum benefit for ship owners. Meanwhile, with the rising fuel costs and global warming ongoing, energy-saving and emission-reduction have been getting more and more highlighted. Based on this consideration, Waste Heat Recovery systems (WHRs) are considered to furnish newly-designed ships and refurnish in-service ships. Thermal efficiency of state-of-the-art high performance marine diesel engines achieves nearly 45% ~ 55%, and waste heat sources on ships are mainly resided in exhaust gases and outlet cooling water, taking away 25% ~ 35% and 20% ~ 25% of energy in fuel, respectively. These two waste heat sources are also the most potential candidates onboard to be recovered to increase the total thermal efficiency.

Compared with conventional waste heat recovery systems onboard, Organic Rankine Cycles (ORCs) employ organic working fluid in steam power cycles. ORCs possess several notable advantages for economical utilization of energy resources, small system applications and environmental impacts and can give better performance to recover low grade waste heat onboard [7]. Yalcin Durmusoglu et al. [8] designed an ORC cogeneration system for a container ship and employed three performance criterions, i.e. energy utilization factor, artificial thermal efficiency and exergy efficiency, to evaluate system performance. Thermodynamic results indicated that ORC cogeneration systems could recover more low grade waste heat onboard than conventional RC cogeneration systems.

Ships are always the most efficient transportation means when important environment aspects are taken into account [9, 10]. Ships normally have enough waste heat (both energy quantity and energy quality) potential to be ultilized and cover almost all demands for thermal energy onboard. Cogeneration systems adapt to large and ultra-large ships. However, for small ships and other waste heat sources, Thermoelectric Generators (TEGs) represents a promising solution direction. N.R Kristiansen et al. [11] analyzed the waste heat sources on a median size bulker ship equipping a main engine of 7.8 MW

CONCEPTUAL DESIGN AND PERFORMANCE ANALYSIS OF AN EXHAUST GAS WASTE HEAT RECOVERY SYSTEM FOR A 10000TEU CONTAINER SHIP

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ABSTRACT

According to operation characteristics of the main engine 9K98ME-C7, a combined turbines-exhaust gas waste heat recovery system is proposed to recover waste heat and increase system energy efficiency. Thermodynamic models based on the first thermodynamic law and the second thermodynamic law are formulated. The superheated steam yield, the total electric power yield, the first thermodynamic law efficiency, the exergy efficiency at different exhaust gas boiler working pressure, and the variation of the exergy efficiency under different feed water temperature and different steam turbine back pressure are analyzed. Thermodynamic results indicate that the most appropriate exhaust gas boiler pressure is 0.8MPa for studied main engine and the total thermal efficiency with combined turbines arrangement has climbed up to 53.8% from 48.5%.

Keywords: exergy efficiency; marine diesel engine; thermal efficiency; waste heat recovery system
and found that incinerators were most promising application for TEGs. Moreover, important factors for successful TEGs applications were commercialization of materials research and reduced price/Watt power output TEGs can bring[12].

In comparison with exhaust gases, it is more difficult to recover waste heat of cooling water from cylinder liner in marine diesel engines due to its low waste heat grade. Liu Shi-Jie et al.[13] designed a high temperature heat pump waste heat recovery system to recover waste heat of cooling water from cylinder liner and recommended that R123 and R245fa be the appropriate working fluids. Such system could generate enough saturated vapor (saturated temperature 120°) to meet thermal energy requirements onboard.

In available literatures, the minimum value of exhaust gas boiler working pressure for different types of ships, 0.7 MPa, was normally selected to calculate advantages of waste heat recovery systems. Optimal working pressure of exhaust gas boiler is seldom analyzed when installing a waste heat recovery system designed for a given ship. Superheated steam yield, total electric power yield, first law efficiency, second law efficiency at different exhaust gas boiler working pressure are seldom analyzed. As well, effects of feed water temperature and steam turbine back pressure on system exergy efficiency are seldom studied. In this paper, a WHRS is proposed to recover waste heat of main engine 9K98ME-C7. Superheated steam yield, total electric power yield, first law efficiency, second law efficiency at different exhaust gas boiler working pressure are thermodynamically analyzed. In addition, exergy efficiency is modeled under different feed water temperature and steam turbine back pressure. For a better understanding of such WHR solution, a comparison to results in published literatures are also done.

PROBLEM

Waste heat in exhaust gas and cooling water are the two main heat sources to be exploited on ships. Exhaust gas is always chosen due to its high temperature and huge mass flow rate. In order to effectively recover thermal energy in exhaust gas, exhaust gas temperature is usually increased [2] by an exhaust gas bypass system which could increase exhaust gas temperature by approximately 50° and specific fuel oil consumption (SFOC) slightly by about 2g/(kW·h).

When choosing waste heat recovery systems, payback time, electricity yield, system size, maintenance cost should be taken into account since waste heat recovery systems are rather expensive and appropriate only for large merchant ships. MAN B&W Diesel recommends single-pressure steam turbine system when installing combined turbines [2].

Currently, there are three different types of exhaust gas waste heat recovery systems. (1) Power Turbine Generator (PTG). PTG system is small, simple and can produce power approximately 4% of the main engine Specified Maximum Continuous Rating (SMCR). The exhaust gas expands in the power turbine while its temperature decreases. The enthalpy drop of the exhaust gas transforms into turbine’s kinetic energy. The generator connects to the turbine via a gearbox. PTG system has been applied on some container ships and LNG ships [14]. (2) Steam Turbine Generator (STG). STG system is relatively complicated and can produce power equivalent to approximately 5% to 7% of the main engine SMCR. Steam turbine is driven by superheated steam. Steam is produced by a large exhaust gas boiler installed on the main engine exhaust gas piping system. The enthalpy drop of the superheated steam transforms into turbine’s kinetic energy. The generator connects to the turbine via a gearbox. (3) Combined Turbines. Combined Turbines system is a combination of the above two systems. The power turbine is connected to the steam turbine via a gearbox and the steam turbine is further connected to a large generator, which absorbs the power from both turbines. Such system is most complicated and can produce power equivalent to approximately 10% of the main engine SMCR.

For main engine 9K98ME-C7, Combined Turbines system is the most appropriate to be employed onboard. For a better management, the boiler is of single-pressure type and the steam turbine is of multi-stage single-pressure condensing type. The system diagram is shown in Fig. 1 (without shaft generator/motor). The exhaust gas boiler system diagram is shown in Fig. 2. The mass flow rate profile and temperature profile of the exhaust gas after turbochargers are shown in Fig. 3 and in

![Fig. 1. Combined Turbines system diagram (without shaft motor/generator) for main engine 9K98ME-C7 with the boiler of single-pressure type and the steam turbine of multi-stage single-pressure condensing type](image)
The exhaust gas bypass valve will be open with engine loads higher than about 50% SMCR, mass flow rate of exhaust gas reduces and temperature increases. When engine loads are lower than 50% SMCR, the exhaust gas bypass valve will be closed for a normal operation of the boiler. In this case, the temperature decreases obviously and the mass flow rate increases slightly.

**Formulæ**

Typical temperature profiles of exhaust gas and steam/water in the exhaust gas boiler are shown in Fig. 5. The exhaust gas enters the superheater at $T_{g1}$ where the saturated steam is superheated to high temperature $T_{sh}$. The exhaust gas then enters the evaporator at $T_{g3}$ and the pinch point ($T_{PP}$) means the temperature difference between $T_{g3}$ and the saturated temperature $T_{sat}$. The exhaust gas then enters the preheater at $T_{g3}$ where the water is preheated. The exhaust gas is discharged to the environment at $T_{g4}$.

Following assumptions are made in the analysis [15]:
- steam is at steady state;
- no pressure drops on steam side;
- pressure drop on exhaust gas side does not affect its temperature;
- approach point is negligible;
- the expansion process in the steam turbine is an isentropic expansion process;
- the specific enthalpy of the superheated steam entering the steam turbine is equal to the specific enthalpy of the superheated steam left the superheater.

**Formulae of Preheater**

By mixing the water from hot well ($\dot{m}_{hw}$) and a part of saturated water from the boiler ($\dot{m}_{B1}$), the water with a mass flow rate $\dot{m}$ could enter the preheater at $T_{pre}$ ($130^\circ$). The mass flow rate $\dot{m}$ can be expressed as:

$$\dot{m} = \dot{m}_{hw} + \dot{m}_{B1}$$

(1)

According to the law of energy conservation, there will be:

$$\dot{m} \times h_{pre} = \dot{m}_{hw} \times h_{hw} + \dot{m}_{B1} \times h_{sat}$$

(2)

where:
- $h_{hw}$ – the enthalpy of feed water, [kJ/kg],
- $h_{sat}$ – the enthalpy of saturated water at specific exhaust gas boiler working pressure, [kJ/kg],
- $h_{pre}$ – the enthalpy of water ($130^\circ$) entering the boiler, [kJ/kg].

Therefore, the saturated water from the boiler $\dot{m}_{B1}$ will be:

$$\dot{m}_{B1} = \dot{m}_{hw} \times \frac{h_{pre} - h_{hw}}{h_{sat} - h_{pre}}$$

(3)
Using the temperature profiles, one can reach:
\[
(\dot{m}_{\text{hw}} + \dot{m}_{B1}) \times (h'_{\text{sat}} - h_{\text{pre}}) = 
\]
\[
= C_g \times \dot{m}_{g} \times (T_{g2} - T_{g4}) \times \eta_B
\]
where:
\(C_g\) – the mean specific heat of exhaust gas from boiler inlet to outlet, \([\text{kJ/(kg K)}]\),
\(\dot{m}_{g}\) – the mass flow rate of exhaust gas, \([\text{kg/h}]\),
\(\eta_B\) – exhaust gas boiler efficiency considering the radiation loss, [\%].

### Formulae of Evaporator

The exhaust gas temperature entering the preheater is expressed as:
\[
T_{g3} = T_{\text{s}at} + T_{pp}
\]
(5)
According to the law of energy conservation, one can obtain:
\[
\dot{m}_{\text{exh,B}} \times (h''_{\text{sat}} - h'_{\text{sat}}) = 
\]
\[
= C_g \times \dot{m}_{g} \times (T_{g2} - T_{g3}) \times \eta_B
\]
(6)
where:
\(h''_{\text{sat}}\) – the enthalpy of saturated steam at specific exhaust gas boiler working pressure, \([\text{kJ/kg}]\),
\(\dot{m}_{\text{exh,B}}\) – total steam yield of exhaust gas boiler, \([\text{kg/h}]\).

### Formulae of Superheater

According to the law of conservation of energy, there will be:
\[
\dot{m}_{\text{sh}} \times (h_{\text{sh}} - h'_{\text{sat}}) = C_g \times \dot{m}_{g} \times (T_{g1} - T_{g2}) \times \eta_B
\]
(7)
where:
\(\dot{m}_{\text{sh}}\) – superheated steam yield of exhaust gas boiler, \([\text{kg/h}]\),
\(h_{\text{sh}}\) – the enthalpy of superheated steam at specific exhaust gas boiler working pressure, \([\text{kJ/kg}]\).

According to the law of mass conservation, total steam yield \(\dot{m}_{\text{exh,B}}\) and mass flow rate of hot well pump \(\dot{m}_{\text{hw}}\) can be expressed:
\[
\dot{\text{m}}_{\text{sh,B}} = \dot{\text{m}}_{\text{heating}} + \dot{\text{m}}_{\text{sh}}
\]
(8)
\[
\dot{\text{m}}_{\text{hw}} = \dot{\text{m}}_{\text{heating}} + \dot{\text{m}}_{\text{sh}}
\]
(9)

### Objective Formulae

According to the above formulae, total steam yield \(\dot{m}_{\text{exh,B}}\) of the WHRS, mass flow rate of the saturated water from the boiler \(\dot{m}_{B1}\), superheated steam yield \(\dot{m}_{\text{sh}}\), temperature \(T_{g2}\) and temperature \(T_{g4}\) can be expressed as Eq. (10)-(14):
\[
\dot{m}_{\text{exh,B}} = 
\]
\[
= C_g \times \dot{m}_{g} \times (T_{g1} - T_{g2}) \times \eta_B \times (h_{\text{sh}} - h'_{\text{sat}})
\]
(10)
\[
\dot{m}_{B1} = \dot{\text{m}}_{\text{exh,B}} \times \frac{h_{\text{pre}} - h_{\text{hw}}}{h'_{\text{sat}} - h_{\text{pre}}}
\]
(11)
\[
\dot{m}_{\text{sh}} = 
\]
\[
= C_g \times \dot{m}_{g} \times (T_{g1} - T_{g3}) \times \eta_B \times (h_{\text{sh}} - h''_{\text{sat}}) + \frac{h_{\text{sh}} - h'_{\text{sat}}}{h_{\text{sh}} - h_{\text{hw}}}
\]
(12)

### RESULTS AND DISCUSSION

Low gas temperature increases the risk of condensed sulfuric acid and low gas velocities increase the risk of soot deposits[3]. Further, appropriate pinch point should be selected. MAN B&W Diesel recommends the steam pressure be 0.7 MPa
~ 1.0 MPa for a single-pressure steam system, and the high steam pressure 1.0 MPa ~ 1.1 MPa, the low steam pressure 0.4 MPa ~ 0.5 MPa for a dual-pressure steam system, and the minimum of pinch point is 20° [4]. To achieve higher steam yield, higher exergy efficiency and higher thermal efficiency, a lower pinch point should be as low as possible on the premise that the minimum is 20° and $T_{max}$ is higher than 166° [6].

Exhaust gas boiler is designed at the continuous service output (CSO). For main engine 9K98ME-C7, the CSO is 85% SMCR (46053 kW), the mass flow rate of exhaust gas is 384600 kg/h, and the temperature of exhaust gas is 292°. At the initial calculation, the exhaust gas boiler working pressure is selected at 0.7 MPa, and the superheated steam temperature is 270°, the pinch point is 27° to ensure the outlet temperature of the exhaust gas boiler is higher than 166°.

All the analysis and discussion are based on ISO conditions (ambient air temperature is 25°, scavenging air coolant temperature is 25°, barometric pressure is 1000mbar and exhaust gas back pressure at SMCR is 300mm WC), the steam turbine back pressure is 6 kPa and feed water temperature is 50°.

**Superheated Steam Yield**

The superheated steam yield is shown in Fig. 6. The yield is very low due to the relative low temperature and mass flow rate when the main engine operates under 50% SMCR. With main engine loads going up, the yield increases sharply after opening the exhaust gas bypass valve. The variation of ratios of superheated steam yield at other exhaust gas boiler working pressures to the specific pressure - 0.7 MPa with main engine loads is demonstrated in Fig. 7. It is clear that there is not a positive correlation between the superheated steam yield and the exhaust gas boiler pressure, and the maximum of superheated steam yield occurs at the exhaust gas boiler pressure - 0.6 MPa.

**Electric Power Yield**

The variation of power output of the steam turbine at the exhaust gas boiler pressure 0.7 MPa with main engine loads is shown in Fig. 8, the variation of power output of the power turbine with main engine loads is shown in Fig. 9. Therefore the electric power yield of the WHRS can be obtained. The variation of ratios of electric power yield at other exhaust gas boiler working pressures to the specific pressure - 0.7 MPa with main engine loads is shown in Fig. 10. It is clear to see that the most appropriate exhaust gas boiler working pressure is 0.8 MPa for this given main engine due to the maximum electric power yield at full-range engine loads(with pinch point 22°).
The thermal efficiency of the WHRS is shown in Fig. 11. At CSO operating conditions, the shaft power output is about 48.5% relative to the total fuel energy, the total electric power yield of the combined turbines is about 5.3% relative to the total fuel energy, and the thermal efficiency of the integrated energy system climbs up to 53.8%. The total electric power yield of the combined turbines relative to the shaft power output is shown in Fig. 12. At CSO operating conditions, the relative value is about 11%, the total electric power yield of the combined turbines is about 5066 kW.

**Exergy Efficiency of the WHRS**

The variation of exergy efficiency of the WHRS with steam turbine back pressure is shown in Fig. 13. The lower the steam turbine back pressure, the higher the exergy efficiency. The exergy efficiency of the WHRS is about 83.6% when the exhaust gas boiler pressure is 0.8 MPa and the steam turbine back pressure is 6 kPa.

The variation of exergy efficiency of the WHRS with feed water temperature is shown in Fig. 14. Higher feed water temperature increases exergy efficiency of the WHRS.

**Payback Time**

The container ship studied operates at CSO conditions about 280 days per year. The average number of the refrigerated containers is 400 and each one consumes about 11.4 kW electricity with 64% loading rate. The total electricity consumption of the refrigerated containers is 4560 kW, the daily electricity consumption is 2000 kW and therefore the total electricity consumption onboard is 6560 kW.
The ship should install 4×2820 kW diesel generators without the combined turbines. In this case, three diesel generators operate normally while the left as standby. After installing the combined turbines for exhaust gas waste heat recovery, the ship needs 3×2820 kW diesel generators with one operating normally and two as standby.

The SFOC of the main engine is increased by about 1.8% with the combined turbines. The SFOC of the main engine is 173.7 g/(kW·h) at CSO operating conditions and the SFOC of the diesel-generator engine is 183.8 g/(kW·h). The current average fuel oil is 660$/t and therefore the annual fuel savings are 4168858 $. Suppose the cost of the combined turbines is 90000000 $, the annual net income ratio is 8%, thus the payback time is about 2.5 years.

The combined turbines system is rather expensive. The application for this system depends on the period of the payback time which is closely related to the price of fuel oil. The higher the worldwide price of fuel oil, the shorter the payback time could be achieved. The variation of payback time with the fuel oil price is shown in Fig. 15. Suppose the price of fuel oil is still high in a long time, to install such combined turbines system studied on large or ultra-large merchant ships will be a great benefit to the ship owners in the long-period service of the ship (normally 25 years).

The variation of payback time of Combined Turbines system with fuel oil price for main engine 9K98ME-C7 with Combined Turbines system is shown in Tab. 1.

Contrast to the Results in Published Literatures

CSSC [16] did the calculation and made a report on the capacities of auxiliary machinery and engine performance data for the same main engine 9K98ME-C7 with PTG for WHR. For a better understanding of this problem, a contrast has been shown in Tab. 1.

By contrast, the total electric power yield with Combined Turbines is about 2.6 times as with PTG and the increase of thermal efficiency with Combined Turbines is 3.2% bigger than with PTG.

CONCLUSION

Thermodynamic and economic analyses of a WHRS-Combined Turbines are performed in this paper.

The most appropriate exhaust gas boiler working pressure is 0.8 MPa for the main engine 9K98ME-C7 with pinch point 22°. At CSO operating conditions, the total electric power yield of the combined turbines is about 5066 kW and the thermal efficiency of the integrated energy system is nearly 53.8%.

The lower the steam turbine back pressure and the higher the feed water temperature, the higher exergy efficiency of the integrated energy system. The higher the worldwide price of fuel oil, the shorter the payback time could be achieved. Further, operation performance under part load conditions and system arrangement design onboard of such concept WHRS system will be done.

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