

# A new approach to the realization problem for fractional discrete-time linear systems

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**Abstract.** A new approach to the realization problem for fractional discrete-time linear systems is proposed. A procedure for computation of fractional realizations of given transfer matrices is presented and illustrated by numerical examples.

**Key words:** fractional, linear, discrete-time, system, computation procedure, realization, transfer matrix.

## 1. Introduction

Determination of the state space equations for given transfer matrices is a classical problem, called the realization problem, which has been addressed in many papers and books [1–8]. An overview of the positive realization problem is given in [1, 2, 6, 9]. The realization problem for positive continuous-time and discrete-time linear system has been considered in [6, 7, 10–22] and for linear systems with delays in [6, 10, 15, 21–24]. The realization problem for fractional linear systems has been analyzed in [6, 7, 25–30] for positive 2D hybrid linear systems in [24, 31, 32] and for fractional systems with delays in [33, 34]. A new modified state variable diagram method for determination of positive realizations with reduced number of delays for given proper transfer matrices has been proposed in [35].

In this paper a new approach to the realization problem for fractional discrete-time linear systems will be proposed. The paper is organized as follows. Some preliminaries and problem formulation are given in Sec. 2. In Sec. 3 the solution to the realization problem for fractional discrete-time linear systems is presented and illustrated by numerical examples. Concluding remarks are given in Sec. 4.

The following notation will be used:  $\mathfrak{R}$  – the set of real numbers,  $\mathfrak{R}^{n \times m}$  – the set of  $n \times m$  real matrices,  $\mathfrak{R}^{n \times m}(w)$  – the set of  $n \times m$  rational matrices in  $w$  with real coefficients,  $Z_+$  – the set of nonnegative integers,  $I_n$  – the  $n \times n$  identity matrix.

## 2. Preliminaries and problem formulation

Consider the fractional discrete-time linear system

$$\Delta^\alpha x_i = Ax_i + Bu_i, \quad i \in Z_+ = \{0, 1, \dots\}, \quad (1a)$$

$$y_i = Cx_i + Du_i, \quad (1b)$$

where

$$\Delta^\alpha x_i = \sum_{j=0}^i c_j x_{i-j},$$

$$c_j = (-1)^j \binom{\alpha}{j} \quad (1c)$$

$$= \begin{cases} 1 & \text{for } j = 0 \\ (-1)^j \frac{\alpha(\alpha-1)\dots(\alpha-j+1)}{j!} & \text{for } j = 1, 2, \dots \end{cases}$$

$x_i \in \mathfrak{R}^n$ ,  $u_i \in \mathfrak{R}^m$ ,  $y_i \in \mathfrak{R}^p$  are the state, input and output vectors and  $A \in \mathfrak{R}^{n \times n}$ ,  $B \in \mathfrak{R}^{n \times m}$ ,  $C \in \mathfrak{R}^{p \times n}$ ,  $D \in \mathfrak{R}^{p \times m}$ .

Using the Z-transformation to (1a) and (1b) for zero initial conditions we obtain [6]

$$Z[\Delta^\alpha x_i] = wX(z) = AX(z) + BU(z), \quad (2a)$$

$$i \in Z_+ = \{0, 1, \dots\}$$

$$Y(z) = CX(z) + DU(z), \quad (2b)$$

where

$$Z[\Delta^\alpha x_i] = (1 - z^{-1})^\alpha X(z) = w(z)X(z) = wX(z),$$

$$w = w(z) = (1 - z^{-1})^\alpha = \sum_{i=0}^{\infty} c_i z^{-i}, \quad (2c)$$

$$X(z) = Z[x_i] = \sum_{i=0}^{\infty} x_i z^{-i},$$

$$U(z) = Z[u_i], \quad Y(z) = Z[y_i].$$

From (2) we have the transfer matrix

$$T(w) = C[I_n w - A]^{-1} B + D. \quad (3)$$

The transfer matrix  $T(z)$  is called proper if and only if

$$\lim_{w \rightarrow \infty} T(w) = D \in \mathfrak{R}^{p \times m} \quad (4)$$

and it is called strictly proper if and only if  $D = 0$ .

From (3) we have

$$\lim_{w \rightarrow \infty} T(w) = D \quad (5)$$

since  $\lim_{w \rightarrow \infty} [I_n w - A]^{-1} = 0$ .

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**Definition 1.** The matrices  $A, B, C, D$  are called a fractional realization of a given transfer matrix  $T(w)$  if they satisfy the equality (3).

A fractional realization  $A, B, C, D$  is called minimal if the dimension of the matrix  $A$  is minimal among all realizations of  $T(w)$ .

The fractional realization problem can be stated as follows. Given a proper transfer matrix  $T(w) \in \mathbb{R}^{p \times m}(w)$  find a fractional realization  $A, B, C, D$  of the matrix  $T(w)$ .

### 3. Problem solution

**3.1. Single-input single-output systems.** First the essence of the proposed method is presented for single-input single-output (SISO) fractional discrete-time linear systems with the transfer function

$$T(w) = \frac{b_n w^n + b_{n-1} w^{n-1} + \dots + b_1 w + b_0}{w^n + a_{n-1} w^{n-1} + \dots + a_1 w + a_0}. \quad (6)$$

Using (4) for (6) we obtain

$$D = \lim_{w \rightarrow \infty} T(w) = b_n \quad (7)$$

and

$$T_{sp}(w) = T(w) - D = \frac{\bar{b}_{n-1} w^{n-1} + \dots + \bar{b}_1 w + \bar{b}_0}{w^n + a_{n-1} w^{n-1} + \dots + a_1 w + a_0}, \quad (8a)$$

where

$$\bar{b}_k = b_k - a_k b_n, \quad k = 0, 1, \dots, n-1. \quad (8b)$$

Therefore, the realization problem has been reduced to finding matrices  $A \in \mathbb{R}^{n \times n}, B \in \mathbb{R}^{n \times m}, C \in \mathbb{R}^{p \times n}$  for given strictly proper transfer function (8a).

Multiplying the numerator and the denominator of (8a) by  $w^{-n}$  we obtain

$$T_{sp}(w) = \frac{Y}{U} = \frac{\bar{b}_{n-1} w^{-1} + \dots + \bar{b}_1 w^{1-n} + \bar{b}_0 w^{-n}}{1 + a_{n-1} w^{-1} + \dots + a_1 w^{1-n} + a_0 w^{-n}}, \quad (9)$$

where  $Y$  and  $U$  are the Z-transforms of  $y_i$  and  $u_i$ , respectively.

Define

$$E = \frac{U}{1 + a_{n-1} w^{-1} + \dots + a_1 w^{1-n} + a_0 w^{-n}}. \quad (10)$$

From (9) and (10) we have

$$E = U - (a_{n-1} w^{-1} + \dots + a_1 w^{1-n} + a_0 w^{-n})E, \quad (11a)$$

$$Y = (\bar{b}_{n-1} w^{-1} + \dots + \bar{b}_1 w^{1-n} + \bar{b}_0 w^{-n})E. \quad (11b)$$

From (11) follows the block diagram shown in Fig. 1.

Assuming as the state variables  $x_{1,i}, x_{2,i}, \dots, x_{n,i}$  the outputs of the delay elements we may write the equations

$$\begin{aligned} \Delta^\alpha x_{1,i} &= x_{2,i}, \\ \Delta^\alpha x_{2,i} &= x_{3,i}, \\ &\vdots \end{aligned} \quad (12a)$$

$$\Delta^\alpha x_{n-1,i} = x_{n,i},$$

$$\Delta^\alpha x_{n,i} = -a_0 x_{1,i} - a_1 x_{2,i} - \dots - a_{n-1} x_{n,i} + u_i$$

and

$$y_i = \bar{b}_0 x_{1,i} + \bar{b}_1 x_{2,i} + \dots + \bar{b}_{n-1} x_{n,i}. \quad (12b)$$

The Eq. (12) can be written in the form

$$\Delta^\alpha x_i = Ax_i + Bu_i, \quad (13a)$$

$$y_i = Cx_i, \quad (13b)$$

where

$$\begin{aligned} x_i &= [x_{1,i} \ x_{2,i} \ \dots \ x_{n,i}]^T, \quad i \in Z_+, \\ A &= \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 1 \\ -a_0 & -a_1 & -a_2 & \dots & -a_{n-1} \end{bmatrix}, \\ B &= \begin{bmatrix} 0 \\ \vdots \\ 0 \\ 1 \end{bmatrix}, \quad C = [\bar{b}_0 \ \bar{b}_1 \ \dots \ \bar{b}_{n-1}]. \end{aligned} \quad (14)$$

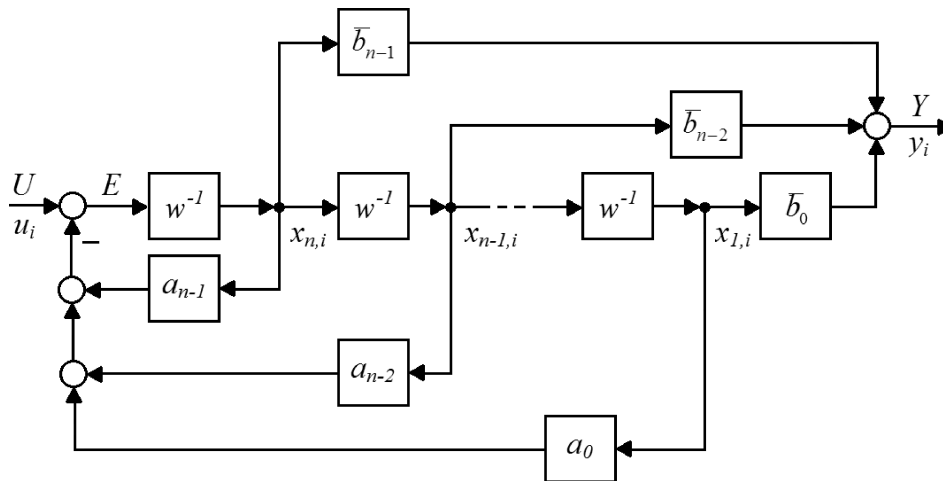


Fig. 1. State diagram for transfer function (9)

**Remark 1.** If we choose the state variables so that  $x_k = x_{n-k+1}$  for  $k = 1, \dots, n$  then the realization of (8) has the form

$$A_1 = \begin{bmatrix} -a_{n-1} & -a_{n-2} & \cdots & -a_1 & -a_0 \\ 1 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 1 & \cdots & 0 & 0 \\ 0 & 0 & \cdots & 1 & 0 \end{bmatrix}, \quad (15)$$

$$B_1 = \begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}, \quad C_1 = [ \bar{b}_{n-1} \quad \bar{b}_{n-2} \quad \cdots \quad \bar{b}_0 ].$$

**Remark 2.** Note that the transposition (denoted by  $T$ ) of the transfer function does change it, i.e.  $[T_{sp}(w)]^T = T_{sp}(w) = [C[I_n w - A]^{-1}B]^T = B^T[I_n w - A^T]^{-1}C^T$  and the matrices

$$A_2 = A^T = \begin{bmatrix} 0 & 0 & \cdots & 0 & -a_0 \\ 1 & 0 & \cdots & 0 & -a_1 \\ 0 & 1 & \cdots & 0 & -a_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & -a_{n-1} \end{bmatrix}, \quad (16)$$

$$B_2 = C^T = \begin{bmatrix} \bar{b}_0 \\ \bar{b}_1 \\ \vdots \\ \bar{b}_{n-1} \end{bmatrix},$$

$$C_2 = B^T = [ 0 \quad \cdots \quad 0 \quad 1 ]$$

and

$$A_3 = A_1^T = \begin{bmatrix} -a_{n-1} & 1 & 0 & \cdots & 0 \\ -a_{n-2} & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -a_1 & 0 & 0 & \cdots & 1 \\ -a_0 & 0 & 0 & \cdots & 0 \end{bmatrix}, \quad (17)$$

$$B_3 = C_1^T = \begin{bmatrix} \bar{b}_{n-1} \\ \bar{b}_{n-2} \\ \vdots \\ \bar{b}_0 \end{bmatrix},$$

$$C_3 = B_1^T = [ 1 \quad 0 \quad \cdots \quad 0 ]$$

are also the realizations of the transfer function (8).

**Example 1.** Find the fractional realization of the transfer function

$$T(w) = \frac{2w^2 + 11w + 10}{w^2 + 3w + 4}. \quad (18)$$

Using (7) we obtain

$$D = \lim_{w \rightarrow \infty} T(w) = 2 \quad (19)$$

and

$$T_{sp}(w) = T(w) - D = \frac{5w + 2}{w^2 + 3w + 4} = \frac{5w^{-1} + 2w^{-2}}{1 + 3w^{-1} + 4w^{-2}}. \quad (20)$$

In this case we have

$$E = \frac{U}{1 + 3w^{-1} + 4w^{-2}} \quad (21)$$

and

$$E = U - (3w^{-1} + 4w^{-2})E, \quad (22a)$$

$$Y = (5w^{-1} + 2w^{-2})E. \quad (22b)$$

The block diagram corresponding to (22) is shown in Fig. 2.

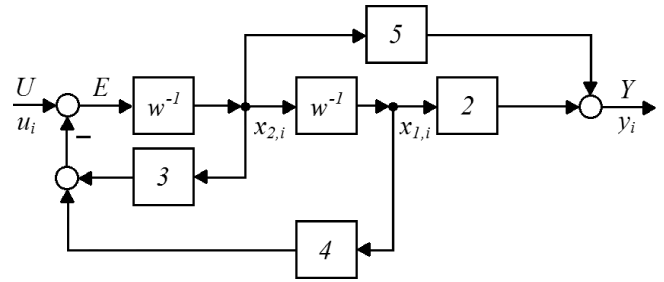


Fig. 2. State diagram for transfer function (20)

For the choice of the state variables shown in Fig. 2 we obtain the equations

$$\Delta^\alpha x_{1,i} = x_{2,i}, \quad (23a)$$

$$\Delta^\alpha x_{2,i} = -4x_{1,i} - 3x_{2,i} + u, \quad (23b)$$

$$y_i = 2x_{1,i} + 5x_{2,i} \quad (23c)$$

and the realization

$$A = \begin{bmatrix} 0 & 1 \\ -4 & -3 \end{bmatrix}, \quad B = \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \quad C = [ 2 \quad 5 ]. \quad (24)$$

**3.2. Multi-input multi-output systems.** Consider a strictly proper transfer matrix  $T_{sp}(w) \in \mathfrak{R}^{p \times m}(w)$ . Let

$$D_i(w) = w^{d_i} - (a_{i,d_i-1}w^{d_i-1} + \dots + a_{i,1}w + a_{i,0}), \quad (25)$$

$$i = 1, \dots, m$$

be the least common denominator of all entries of the  $i$ -th column of  $T_{sp}(w)$ .

Using (25) we may write  $T_{sp}(w)$  in the form

$$T_{sp}(w) = \begin{bmatrix} \frac{N_{11}(w)}{D_1(w)} & \cdots & \frac{N_{1m}(w)}{D_m(w)} \\ \vdots & \ddots & \vdots \\ \frac{N_{p1}(w)}{D_1(w)} & \cdots & \frac{N_{pm}(w)}{D_m(w)} \end{bmatrix} = N(w)D^{-1}(w), \quad (26a)$$

where

$$N(w) = \begin{bmatrix} N_{11}(w) & \cdots & N_{1m}(w) \\ \vdots & \ddots & \vdots \\ N_{p1}(w) & \cdots & N_{pm}(w) \end{bmatrix}, \quad (26b)$$

$$D(w) = \text{diag}[ D_1(w) \quad \cdots \quad D_m(w) ].$$

From (25) it follows that

$$D(w) = \text{diag}[ w^{d_1} \ \dots \ w^{d_m} ] - \overline{A}_m W, \quad (27a)$$

where

$$\begin{aligned} \overline{A}_m &= \text{blockdiag}[ a_1 \ \dots \ a_m ], \\ a_i &= [ a_{i,0} \ \dots \ a_{i,d_i-1} ], \end{aligned} \quad (27b)$$

$$\begin{aligned} W &= \text{blockdiag}[ W_1 \ \dots \ W_m ], \\ W_i &= [ 1 \ w \ \dots \ w^{d_i-1} ]. \end{aligned} \quad (27c)$$

Note that if

$$N_{ij}(w) = c_{ij}^{d_j-1} w^{d_j-1} + \dots + c_{ij}^1 w + c_{ij}^0, \quad (28a)$$

then

$$N(w) = CW, \quad (28b)$$

where

$$C = \begin{bmatrix} c_{11}^0 & c_{11}^1 & \dots & c_{11}^{d_1-1} & \dots & c_{1m}^0 & c_{1m}^1 & \dots & c_{1m}^{d_m-1} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ c_{p1}^0 & c_{p1}^1 & \dots & c_{p1}^{d_1-1} & \dots & c_{pm}^0 & c_{pm}^1 & \dots & c_{pm}^{d_m-1} \end{bmatrix}. \quad (28c)$$

We shall show that the matrices

$$\begin{aligned} A &= \text{blockdiag}[ A_1 \ \dots \ A_m ], \\ A_i &= \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 1 \\ a_{i,0} & a_{i,1} & a_{i,2} & \dots & a_{i,d_i-1} \end{bmatrix}, \\ & \quad i = 1, \dots, m, \end{aligned} \quad (29)$$

$$B = \text{blockdiag}[ b_1 \ \dots \ b_m ],$$

$$b_i = [ 0 \ \dots \ 0 \ 1 ]^T \in \mathfrak{R}^{d_i}, \quad i = 1, \dots, m$$

and (28c) are the desired realization of (26).

Using (27) and (28) it is easy to verify that

$$b_i D_i(w) = [I_n w - A_i] \begin{bmatrix} 1 \\ w \\ \vdots \\ w^{d_i-1} \end{bmatrix} \quad (30)$$

and

$$BD(w) = [I_n w - A]W. \quad (31)$$

Premultiplication of (31) by  $C[I_n w - A]^{-1}$  and postmultiplication by  $D^{-1}(w)$  yields

$$\begin{aligned} C[I_n w - A]^{-1} B &= CWD^{-1}(w) \\ &= N(w)D^{-1}(w) = T_{sp}(w). \end{aligned} \quad (32)$$

Therefore, we have the following procedure for finding a fractional realization of a given proper transfer matrix  $T(w)$ .

**Procedure 1.**

Step 1. Using (4) find the matrix  $D$  and the strictly proper transfer matrix  $T_{sp}(w)$ .

Step 2. Find the least common denominators  $D_1(w), \dots, D_m(w)$  and write  $T_{sp}(w)$  in the form (26).

Step 3. Knowing  $D(w)$  find the indices  $d_1, \dots, d_m$  and the matrices  $W$  and  $\overline{A}_m$ .

Step 4. Knowing  $N(w)$  find the matrix  $C$  defined by (28c).

Step 5. Using (29) find the matrices  $A$  and  $B$ .

**Remark 3.** Similar results can be obtained for the least common denominator of all entries of the  $j$ -th row of  $T_{sp}(w)$ .

**Example 2.** Find the fractional realization of the transfer matrix

$$T(w) = \begin{bmatrix} \frac{2w+1}{w} & \frac{w+3}{w+1} \\ \frac{3w+8}{w+2} & \frac{2w+5}{w+2} \end{bmatrix}. \quad (33)$$

Using Procedure 1 and (33) we obtain the following:

Step 1. Using (4) and (33) we obtain

$$\begin{aligned} D &= \lim_{w \rightarrow \infty} T(w) \\ &= \lim_{w \rightarrow \infty} \begin{bmatrix} \frac{2w+1}{w} & \frac{w+3}{w+1} \\ \frac{3w+8}{w+2} & \frac{2w+5}{w+2} \end{bmatrix} = \begin{bmatrix} 2 & 1 \\ 3 & 2 \end{bmatrix} \end{aligned} \quad (34)$$

and

$$T_{sp}(w) = T(w) - D = \begin{bmatrix} \frac{1}{w} & \frac{2}{w+1} \\ \frac{2}{w+2} & \frac{1}{w+2} \end{bmatrix}. \quad (35)$$

Step 2. From (35) we have  $D_1(w) = w(w+2)$ ,  $D_2(w) = (w+1)(w+2)$  and

$$T_{sp}(w) = N(w)D^{-1}(w), \quad (36a)$$

where

$$N(w) = \begin{bmatrix} w+2 & 2(w+2) \\ 2w & w+1 \end{bmatrix}, \quad (36b)$$

$$D(w) = \begin{bmatrix} w(w+2) & 0 \\ 0 & (w+1)(w+2) \end{bmatrix}.$$

Step 3. From (36b) we have  $d_1 = d_2 = 2$  and

$$W = \begin{bmatrix} 1 & 0 \\ w & 0 \\ 0 & 1 \\ 0 & w \end{bmatrix}, \quad \overline{A}_m = \begin{bmatrix} 0 & -2 & 0 & 0 \\ 0 & 0 & -2 & -3 \end{bmatrix} \quad (37)$$

since

$$\begin{aligned} D(w) &= \begin{bmatrix} w(w+2) & 0 \\ 0 & (w+1)(w+2) \end{bmatrix} = \begin{bmatrix} w^2 & 0 \\ 0 & w^2 \end{bmatrix} \\ &- \begin{bmatrix} 0 & -2 & 0 & 0 \\ 0 & 0 & -2 & -3 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ w & 0 \\ 0 & 1 \\ 0 & w \end{bmatrix}. \end{aligned} \quad (38)$$

**Step 4.** Using (36b) we obtain

$$N(w) = \begin{bmatrix} w+2 & 2w+4 \\ 2w & w+1 \end{bmatrix} = \begin{bmatrix} 2 & 1 & 4 & 2 \\ 0 & 2 & 1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ w & 0 \\ 0 & 1 \\ 0 & w \end{bmatrix} = CW \quad (39a)$$

and

$$C = \begin{bmatrix} 2 & 1 & 4 & 2 \\ 0 & 2 & 1 & 1 \end{bmatrix}. \quad (39b)$$

**Step 5.** Using (29) and (37) we obtain

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & -2 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -2 & -3 \end{bmatrix}, \quad B = \begin{bmatrix} 0 & 0 \\ 1 & 0 \\ 0 & 0 \\ 0 & 1 \end{bmatrix}. \quad (40)$$

The desired fractional realization of (33) is given by (40), (39b) and (34).

#### 4. Concluding remarks

A new approach to finding fractional realizations of given transfer matrices of discrete-time linear systems has been proposed. It has been shown that for any given proper transfer matrix there exist always many fractional realizations. A procedure for computation a fractional realization of a given transfer matrix has been proposed. The effectiveness of the procedure has been demonstrated on numerical examples. The classical Gilbert method [29] can also be applied to compute the fractional realizations of the given transfer matrices of discrete-time linear systems.

The presented method can be easily extended to positive fractional linear discrete-time systems without and with delays.

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