

# THE LEAST EIGENVALUE OF THE GRAPHS WHOSE COMPLEMENTS ARE CONNECTED AND HAVE PENDENT PATHS

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## Abstract

The adjacency matrix of a graph is a matrix which represents adjacent relation between the vertices of the graph. Its minimum eigenvalue is defined as the least eigenvalue of the graph. Let  $G_n$  be the set of the graphs of order  $n$ , whose complements are connected and have pendent paths. This paper investigates the least eigenvalue of the graphs and characterizes the unique graph which has the minimum least eigenvalue in  $G_n$ .

**Keywords:** graph, complement, pendent path, adjacency matrix, the least eigenvalue

## 1 Introduction

Let  $G := (V(G), E(G))$  be a simple graph of order  $n$ , where  $V(G) = \{v_1, v_2, \dots, v_n\}$  be vertex set,  $E(G) = \{e_1, e_2, \dots, e_m\}$  be the edge set. The set of neighbours of a vertex  $v_i \in V(G)$  be denoted by  $N_G(v_i) = \{v_j : v_j v_i \in E(G)\}$ . If a path  $P = v_0 e_1 v_1 e_2 \dots e_k v_k$  satisfies  $d_G(v_0) \geq 3, d_G(v_i) = 2, i = 1, 2, \dots, k-1, d_G(v_k) = 1$  and  $k \geq 2$ ,  $P$  is called a *pendent path* of length  $k$ , where  $d_G(v_i) = |N_G(v_i)|$ . For any  $v_i, v_j \in V(G)$ , if there is a path such that  $v_i$  and  $v_j$  are its ends,  $G$  is called a *connected graph*. The complement of  $G$  is denoted by  $G^c := (V(G), E^c(G))$ , where  $E^c(G) := \{v_i v_j : v_i, v_j \in V(G), v_i \neq v_j, v_i v_j \notin E(G)\}$ .

The *degree matrix*  $D(G)$  of  $G$  is diagonal square matrix of order  $n$ , where  $d_{ij} = 0$  when  $i \neq j$ ,  $d_{ii} = d_G(v_i)$ ,  $d_{ij}$  be  $ij$ -entry of  $D(G)$ . The *adjacency matrix*  $A(G)$  of  $G$  is the square matrix of order  $n$ , where  $a_{ij} = 1$  when  $v_i v_j \in E(G)$ ,  $a_{ij} = 0$  when

$v_i v_j \notin E(G)$ ,  $a_{ij}$  be the  $ij$ -entry of  $A(G)$ . The *signless Laplacian matrix* of  $G$  is defined to be  $Q(G) = D(G) + A(G)$ . In addition, the *Laplacian matrix* of  $G$  is defined by  $L(G) = D(G) - A(G)$ . Since  $A(G), Q(G), L(G)$  are real symmetric matrix, their eigenvalues are real numbers and can be arranged. Let the eigenvalues of  $A(G)$  arrange as  $\lambda_1(G) \geq \lambda_2(G) \geq \dots \geq \lambda_n(G)$ . The largest eigenvalue  $\lambda_1(G)$  of  $A(G)$  is called the spectral radius of  $G$ . The minimum eigenvalue  $\lambda_n(G)$  of  $A(G)$ , simply denoted by  $\lambda_{\min}(G)$ , is called the least eigenvalue of  $G$ . The corresponding unit eigenvectors of  $\lambda_{\min}(G)$  are called the *first eigenvectors* of  $G$ . Similarly, the largest eigenvalue of  $Q(G)$  be defined as the signless Laplacian spectral radius of graph  $G$ , and the minimum eigenvalue of  $Q(G)$  be defined as the least signless Laplacian eigenvalue of graph  $G$ .

There are many research results with respect to the (signless Laplacian) spectral radius of graphs. However, relative to the (signless Laplacian) spec-

tral radius, there are few results on the (signless Laplacian) least eigenvalue. Especially, when the structures of graphs are very complex, but the structures of their complements are simple, we naturally think whether we can study the (signless Laplacian) minimum eigenvalue of the graphs from structure of their complements; see[1-16]. In this paper, we also study the least eigenvalue of graphs from their complements. Let  $G_n$  be the set of the graphs of order  $n$ , whose complements are connected and have pendant paths. This paper investigates the least eigenvalue of the graphs and characterizes the unique graph which has the minimum least eigenvalue in  $G_n$ .

## 2 Preliminaries

In the following, we will introduce some definitions. Let  $G$  be a graph of order  $n$ , a vector  $\mathbf{x} \in R^n$  is defined on  $G$ .

One can find that, for an arbitrary vector  $\mathbf{x} \in R^n$ ,

$$\mathbf{x}^T A(G)\mathbf{x} = 2 \sum_{uv \in E(G)} x_u x_v, \quad (2.1)$$

and if  $\mathbf{x}(\neq 0)$  is an eigenvector of  $A(G)$ , which is correspond to the eigenvalue  $\lambda$  of  $A(G)$ , then

$$\lambda x_v = \sum_{u \in N_G(v)} x_u, \text{ for each } v \in V(G); \quad (2.2)$$

if  $\mathbf{x}$  is unit vector, then

$$\lambda_{\min}(G) \leq \mathbf{x}^T A(G)\mathbf{x}, \quad (2.3)$$

with equality when and only when  $\mathbf{x}$  is the first eigenvector of  $G$ .

We can also find that  $A(G^c) = J - I - A(G)$ , where  $J, I$  denote the all-one square matrix and the identity matrix of order  $n$ , respectively. Then for an arbitrary vector  $\mathbf{x} \in R^n$ ,

$$\mathbf{x}^T A(G^c)\mathbf{x} = \mathbf{x}^T (J - I)\mathbf{x} - \mathbf{x}^T A(G)\mathbf{x}. \quad (2.4)$$

We introduce a special graph  $G(p, q)$  of order  $n = p + q + 2$  ( $p \geq 0, q \geq 1$ ), which is obtained from two disjoint complete graphs  $K_p, K_q$ , and edge  $v_4 v_5$  by joining one vertex  $v_1$  of  $K_p$  and one vertex  $v_2$  of  $K_q$ , and joining one vertex  $v_3$  of  $K_q$  and  $v_4$ ; see Figure 1. In particular, when  $q = 1, v_2 = v_3$ ; when  $p = 0, G(0, q)$  is obtained from complete graph  $K_q$  and edge  $v_4 v_5$  by joining one vertex  $v_3$  of  $K_q$  and  $v_4$ .

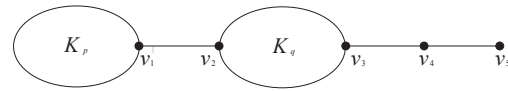


Figure 1. The graph  $G(p, q)$

**Lemma 2.1** Let  $A$  be a real symmetric square matrix of order  $n$ ,  $B$  be the principal submatrix of  $A$  of order  $m$ , and  $\mu_1(A) \geq \mu_2(A) \geq \dots \geq \mu_n(A), \mu_1(B) \geq \mu_2(B) \geq \dots \geq \mu_m(B)$  are respectively the eigenvalues of  $A$  and  $B$ , then  $\mu_{n-m+i}(A) \leq \mu_i(B) \leq \mu_i(A)$  for  $i = 1, 2, \dots, m$ .

**Lemma 2.2** Given a positive integer  $n$  ( $n \geq 21$ ), if  $n = p + q + 2, p, q$  be integer,  $p \geq 0, q \geq 1$ , then

$$\lambda_{\min}(G(p, q)^c) \geq \lambda_{\min}(G(\lceil n/2 \rceil - 1, \lfloor n/2 \rfloor - 1)^c),$$

with equality when and only when  $p = \lceil n/2 \rceil - 1, q = \lfloor n/2 \rfloor - 1$ .

**Proof:** Let  $G(p, q)$  be as shown in Fig. 2.1. Because  $K_2 \subset G(p, q)^c, \lambda_{\min}(K_2) = -1$ , and according to Lemma 2.1, we have

$$\lambda_{\min}(G(p, q)^c) \leq -1. \quad (2.5)$$

Let  $\mathbf{x}$  be a first eigenvector of  $G(p, q)^c$ .

Case 1:  $p = 0$ . By equations (2.2) and (2.5), all the vertices in  $V(K_q)$  except  $v_3$  have the same values, which are given by  $\mathbf{x}$ , denoted by  $x_1$ . Denote  $x_{v_3} = x_2, x_{v_4} = x_3, x_{v_5} = x_4$ , and let  $\lambda_{\min}(G(0, n - 2)^c) = \lambda$ . Also by equation(2.2), we obtain

$$\begin{cases} \lambda x_1 = x_3 + x_4, \\ \lambda x_2 = x_4, \\ \lambda x_3 = (n - 3)x_1, \\ \lambda x_4 = (n - 3)x_1 + x_2. \end{cases}$$

The above equations are transformed into a matrix equation  $(B - \lambda I)\mathbf{x}' = 0$ , where  $\mathbf{x}' = (x_1, x_2, x_3, x_4)^T$ ,

$$B = \begin{pmatrix} 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \\ n - 3 & 0 & 0 & 0 \\ n - 3 & 1 & 0 & 0 \end{pmatrix}.$$

We have

$$f_1(x) = \det(B - xI) = x^4 - (2n - 5)x^2 + n - 3,$$

then  $\lambda$  is the smallest root of  $f_1(x) = 0$ , therefore

$$\lambda = -\sqrt{(2n - 5 + \sqrt{4n^2 - 24n + 37})/2} > -\sqrt{2n - 5}.$$

Case 2:  $p = 1$ . By equations (2.2) and (2.5), all the vertices in  $V(K_q)$  except  $v_2, v_3$  have the same values, which are given by  $\mathbf{x}$ , denoted by  $x_1$ . Denote  $x_{v_1} = x_2, x_{v_2} = x_3, x_{v_3} = x_4, x_{v_4} = x_5, x_{v_5} = x_6$ , and  $\lambda_{\min}(G(1, n-3)^c) = \lambda$ . Also by equation(2.2), we have

$$\begin{cases} \lambda x_1 = x_2 + x_5 + x_6, \\ \lambda x_2 = (n-5)x_1 + x_4 + x_5 + x_6, \\ \lambda x_3 = x_5 + x_6, \\ \lambda x_4 = x_2 + x_6, \\ \lambda x_5 = (n-5)x_1 + x_2 + x_3, \\ \lambda x_6 = (n-5)x_1 + x_2 + x_3 + x_4. \end{cases}$$

The above equations are transformed into a matrix equation  $(B - \lambda I)\mathbf{x}' = 0$ , where  $\mathbf{x}' = (x_1, x_2, x_3, x_4, x_5, x_6)^T$ ,

$$B = \begin{pmatrix} 0 & 1 & 0 & 0 & 1 & 1 \\ n-5 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 \\ n-5 & 1 & 1 & 0 & 0 & 0 \\ n-5 & 1 & 1 & 1 & 0 & 0 \end{pmatrix}.$$

We have  $f_2(x) = \det(B - xI) = x^6 - (3n-9)x^4 - (4n-18)x^3 + (4n-16)x^2 + 2(n-5)x - (n-5)$ . Because  $n \geq 21$ , we have

$$\begin{aligned} f_2(-\sqrt{2n-5}) &= (2n-5)(-2n^2 + 17n - 36) \\ &\quad + \sqrt{2n-5}(8n^2 - 58n + 100) \\ &\quad - (n-5) \\ &< 0, \end{aligned}$$

this implies  $\lambda_{\min}(G(1, n-3)^c) < -\sqrt{2n-5}$ .

Case 3:  $q = 1$ . By equations (2.2) and (2.5), all the vertices in  $V(K_p)$  except  $v_1$  have the same values, which are given by  $\mathbf{x}$ , denoted by  $x_1$ . Denote  $x_{v_1} = x_2, x_{v_2(v_3)} = x_3, x_{v_4} = x_4, x_{v_5} = x_5$ , and  $\lambda_{\min}(G(n-3, 1)^c) = \lambda$ . Also by equation(2.2), we have

$$\begin{cases} \lambda x_1 = x_3 + x_4 + x_5, \\ \lambda x_2 = x_4 + x_5, \\ \lambda x_3 = (n-4)x_1 + x_5, \\ \lambda x_4 = (n-4)x_1 + x_2, \\ \lambda x_5 = (n-4)x_1 + x_2 + x_3. \end{cases}$$

The above equations are transformed into a matrix equation  $(B - \lambda I)\mathbf{x}' = 0$ , where  $\mathbf{x}' = (x_1, x_2, x_3,$

$x_4, x_5)^T$ ,

$$B = \begin{pmatrix} 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \\ n-4 & 0 & 0 & 0 & 1 \\ n-4 & 1 & 0 & 0 & 0 \\ n-4 & 1 & 1 & 0 & 0 \end{pmatrix}.$$

We have  $f_3(x) = \det(B - xI) = -x^5 + (3n-9)x^3 + 2(n-4)x^2 - (3n-11)x$ . When  $n \geq 21$  and  $x < -1$ , we have  $(-x)f_3(x) - f_2(x) = (n-5)(2x^3 - x^2 - 2x + 1) < 0$ . Then by  $\lambda_{\min}(G(1, n-3)^c) < -\sqrt{2n-5}$ , we have  $\lambda_{\min}(G(n-3, 1)^c) < \lambda_{\min}(G(1, n-3)^c)$ .

Case 4:  $p, q \geq 2$ . By equations (2.2) and (2.5), all the vertices in  $V(K_p)$  except  $v_1$  have the same values, which are given by  $\mathbf{x}$ , denoted by  $x_1$ , all the vertices in  $V(K_q)$  except  $v_2, v_3$  have the same values given by  $\mathbf{x}$ , say  $x_4$ . Denote  $x_{v_1} = x_2, x_{v_2} = x_3, x_{v_3} = x_5, x_{v_4} = x_6, x_{v_5} = x_7$ , and  $\lambda_{\min}(G(p, q)^c) = \lambda$ . Also by equation(2.2), we have

$$\begin{cases} \lambda x_1 = x_3 + (q-2)x_4 + x_5 + x_6 + x_7, \\ \lambda x_2 = (q-2)x_4 + x_5 + x_6 + x_7, \\ \lambda x_3 = (p-1)x_1 + x_6 + x_7, \\ \lambda x_4 = (p-1)x_1 + x_2 + x_6 + x_7, \\ \lambda x_5 = (p-1)x_1 + x_2 + x_7, \\ \lambda x_6 = (p-1)x_1 + x_2 + x_3 + (q-2)x_4, \\ \lambda x_7 = (p-1)x_1 + x_2 + x_3 + (q-2)x_4 + x_5. \end{cases}$$

The above equations are transformed into a matrix equation  $(B - \lambda I)\mathbf{x}' = 0$ , where  $\mathbf{x}' = (x_1, x_2, x_3, x_4, x_5, x_6, x_7)^T$ ,

$$B = \begin{pmatrix} 0 & 0 & 1 & q-2 & 1 & 1 & 1 \\ 0 & 0 & 0 & q-2 & 1 & 1 & 1 \\ p-1 & 0 & 0 & 0 & 0 & 1 & 1 \\ p-1 & 1 & 0 & 0 & 0 & 1 & 1 \\ p-1 & 1 & 0 & 0 & 0 & 0 & 1 \\ p-1 & 1 & 1 & q-2 & 0 & 0 & 0 \\ p-1 & 1 & 1 & q-2 & 1 & 0 & 0 \end{pmatrix}.$$

We have

$$\begin{aligned} f_4(x; p, q) &= \det(B - xI) = \\ &= -x^7 + (pq + 2n - 6)x^5 + (4pq - 2p - 4)x^4 \\ &= -(2pq + q + n - 7)x^3 - (6pq - 4n - 4p + 12)x^2 \\ &+ (pq - p - 1)x + 2(p-1)(q-2). \end{aligned}$$

Since  $h(x) = x(n-2-x)$  takes the minimum value  $h(2) = 2(n-4)$  when  $2 \leq x \leq n-4$ . So

$pq = p(n - 2 - p) \geq 2(n - 4)$  when  $p, q \geq 2$  and  $n = p + q + 2$ . Then  $f(-7; p, q) = 911121 - 32734n - 4944p - 6816pq < 0$  when  $p, q \geq 2$  and  $n = p + q + 2 \geq 21$ , which implies  $\lambda < -7$ .

Case 4.1:  $p \geq q + 2$ . When  $x < -7$ , we have

$$\begin{aligned} & f_4(x; p, q) - f_4(x; p - 1, q + 1) \\ &= (q - p + 1)x^5 - (4p - 4q - 2)x^4 + (2p - 2q - 1)x^3 \\ &\quad + (6p - 6q - 2)x^2 - (p - q)x - (2p - 2q) \\ &> -x^3((p - q - 1)x^2 + (4p - 4q - 2)x \\ &\quad - (2p - 2q - 1)) \\ &> 0. \end{aligned}$$

Since  $\lambda$  is the smallest root of  $f_4(x; p, q) = 0$ , i.e.  $f_4(\lambda; p, q) = 0$ , then  $f_4(\lambda; p - 1, q + 1) < 0$ , this implies  $\lambda_{\min}(G(p, q)^c) > \lambda_{\min}(G(p - 1, q + 1)^c)$ . So  $\lambda_{\min}(G(n - 4, 2)^c) > \lambda_{\min}(G(n - 5, 3)^c) > \dots > \lambda_{\min}(G(\lceil n/2 \rceil - 1, \lfloor n/2 \rfloor - 1)^c)$ .

Case 4.2:  $q \geq p + 1$ . When  $x < -7$  and  $q \geq p + 2$ , we have

$$\begin{aligned} & f_4(x; p, q) - f_4(x; p + 1, q - 1) \\ &= -(q - p - 1)x^5 - (4q - 4p - 6)x^4 + (2q - 2p - 3)x^3 \\ &\quad + (6q - 6p - 10)x^2 - (q - p - 2)x - (2q - 2p - 4) \\ &> -x^3((q - p - 1)x^2 + (4q - 4p - 6)x - (2q - 2p - 3)) \\ &> 0. \end{aligned}$$

When  $x < -7$  and  $q = p + 1$ , we have  $f_4(x; p, q) - f_4(x; p + 1, q - 1) = 2x^4 - x^3 - 4x^2 + x + 2 > 0$ .

Since  $\lambda$  is the smallest root of  $f_4(x; p, q) = 0$ , i.e.  $f_4(\lambda; p, q) = 0$ , then  $f_4(\lambda; p + 1, q - 1) < 0$ , this implies  $\lambda_{\min}(G(p, q)^c) > \lambda_{\min}(G(p + 1, q - 1)^c)$ . So  $\lambda_{\min}(G(2, n - 4)^c) > \lambda_{\min}(G(3, n - 5)^c) > \dots > \lambda_{\min}(G(\lceil n/2 \rceil - 1, \lfloor n/2 \rfloor - 1)^c)$ .

Next we will compare  $\lambda_{\min}(G(n - 3, 1)^c)$  and  $\lambda_{\min}(G(n - 4, 2)^c)$ .

When  $n \geq 21$  and  $x < -\sqrt{37}$ , we have

$$\begin{aligned} & (-x)^2 f_3(x) - f_4(x; n - 4, 2) \\ &= (-n + 5)x^5 + (-4n + 20)x^4 + (2n - 10)x^3 \\ &\quad + (4n - 20)x^2 - (n - 5)x \\ &> (-n + 5)x^5 + (-4n + 20)x^4 + (2n - 10)x^3 \\ &> -x^3(n - 5)(x^2 + 4x - 2) \\ &> 0. \end{aligned}$$

Since  $\lambda_{\min}(G(n - 3, 1)^c) < -\sqrt{2n - 5} < -\sqrt{37}$ , then

$$\lambda_{\min}(G(n - 4, 2)^c) < \lambda_{\min}(G(n - 3, 1)^c).$$

Then combining with Cases 1,2,3,4, the result follows.

### 3 Main Results

**Theorem 3.1** Let  $G$  be a connected graph of order  $n(n \geq 21)$ , which has pendent paths, then

$$\lambda_{\min}(G^c) \geq \lambda_{\min}(G(\lceil n/2 \rceil - 1, \lfloor n/2 \rfloor - 1)^c),$$

with equality when and only when  $G = G(\lceil n/2 \rceil - 1, \lfloor n/2 \rfloor - 1)$ .

**Proof:** Because of  $K_2 \subset G^c, \lambda_{\min}(K_2) = -1$ . By Lemma 2.1, there is  $\lambda_{\min}(G^c) \leq -1$ . Let  $\mathbf{x}$  be a first eigenvector of  $G$ . Denote  $V^+ = \{v : x_v \geq 0\}$  and  $V^- = \{v : x_v < 0\}$ . By equation (2.2), we know that  $|V^+| \geq 1, |V^-| \geq 1$ . Let  $|V^+| = m, |V^-| = t$ , we have  $m, t \geq 1$  and  $m + t = n$ , where  $m, t$  are positive integers.

Denote  $G[U]$  a subgraph of  $G$ , which induced on  $U \subseteq V(G); E(V^+, V^-)$  the set edges of  $G$ , every edge in which joins one vertex of  $V^+$  and one vertex of  $V^-$ . Since  $G$  is a connected graph, there is at least one edge connecting  $G[V^+]$  and  $G[V^-]$ . By equation (2.1), in order to make  $\mathbf{x}^T A(G) \mathbf{x}$  as large as possible,  $E(G)$  must satisfy the following:

- (i)  $|E(V^+, V^-)|$  should be as small as possible;
- (ii)  $|E(G[V^+])|, |E(G[V^-])|$  should be as large as possible;
- (iii) If  $uv \in E(V^+, V^-)$ ,  $|x_u|$  and  $|x_v|$  should be as small as possible;
- (iv) If  $uv \in E(G[V^+])$  or  $uv \in E(G[V^-])$ ,  $|x_u|$  and  $|x_v|$  should be as large as possible.

By above discussions, the structure of  $G$ , and equations (2.3),(2.4), we get the following results:

- (1) When  $m = 1$  or  $t = 1$ ,  $\lambda_{\min}(G^c) = \mathbf{x}^T A(G^c) \mathbf{x} \geq \mathbf{x}^T A(G(0, n - 2)^c) \mathbf{x} \geq \lambda_{\min}(G(0, n - 2)^c)$ .
- (2) When  $m = 2$  or  $t = 2$ ,  $\lambda_{\min}(G^c) = \mathbf{x}^T A(G^c) \mathbf{x} \geq \mathbf{x}^T A(G(0, n - 2)^c) \mathbf{x} \geq \lambda_{\min}(G(0, n - 2)^c)$ .
- (3) When  $m = 3$  or  $t = 3$ ,  $\lambda_{\min}(G^c) = \mathbf{x}^T A(G^c) \mathbf{x} \geq \mathbf{x}^T A(G(n - 3, 1)^c) \mathbf{x} \geq \lambda_{\min}(G(n -$

$3, 1)^c$ ). or  $\lambda_{\min}(G^c) = \mathbf{x}^T A(G^c) \mathbf{x} \geq \mathbf{x}^T A(G(3, n - 5)^c) \mathbf{x} \geq \lambda_{\min}(G(3, n - 5)^c)$ .

(4) When  $m, t \geq 4$ , let  $G_3 = G(m, t - 2)$  or  $G(t, m - 2)$ ,  $\lambda_{\min}(G^c) = \mathbf{x}^T A(G^c) \mathbf{x} \geq \mathbf{x}^T A(G_3^c) \mathbf{x} \geq \lambda_{\min}(G_3^c)$ .

By(1) (2) (3) (4) and Lemma 2.2, the conclusion is established.

Corollary Let  $G_n$  be the set of the graphs of order  $n(n \geq 21)$ , whose complements are connected and have pendent paths. If  $G \in G_n$ , then

$$\lambda_{\min}(G) \geq \lambda_{\min}(G(\lceil n/2 \rceil - 1, \lfloor n/2 \rfloor - 1)^c),$$

with equality when and only when  $G = G(\lceil n/2 \rceil - 1, \lfloor n/2 \rfloor - 1)^c$ .

## Conclusion

Let  $G_n$  be the set of the graphs of order  $n$ , whose complements are connected and have pendent paths. This paper investigates the least eigenvalue of the graphs and characterizes the unique graph which has the minimum least eigenvalue in  $G_n$ . Because relative to the results about spectral radius, there are few one on the least eigenvalue, and the method in this paper which studies the eigenvalue of the graphs from structure of their complements is a relatively new method, this paper is meaningful.

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