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2	Biomechanical properties of bicortical and monocortical plate fixation for
3	rib fractures in the adolescent human rib fracture model.
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26 Abstract

27 **Purpose**

The technical advancement of surgical stabilization of ribs often prevents the surgeons from fixation, despite the procedure's documented improved outcomes. The aim of this study was to evaluate a less invasive approach involving a simplified monocortical rib fixation technique.

32 Methods

Eighteen frozen human ribs obtained intraoperatively from young individuals aged 13-18 33 were employed for this study. First, the ribs were fractured under three-point bending, with 34 their intrathoracic side put under tensile stress. Following this, the ChM 4.0 rib fixation 35 system was utilized. The specimens were categorized into two groups: bicortical fixation 36 (n=9), monocortical fixation (n=9). Subsequently, bicortical and monocortical fixation groups 37 underwent dynamic testing over 400,000 cycles under combined sinusoidal tensile bending 38 and torsional loading (2 N-5 N at 3Hz). In the final stage, all samples were subjected to a 39 destructive load to failure. 40

41 **Results**

Our analysis revealed that the fixation method did not demonstrate statistically significant 42 differences in terms of preliminary bending stiffness (p=0.379). Similarly, undergoing a course 43 of 400,000 cycles involving combined tensile and torsional loading did not constitute a 44 statistically significant factor affecting the monocortical and the bicortical fixation groups 45 (p=0.894). In the monocortical fixation group, all specimens failed due to screws pulled out 46 from the bone. In contrast, all specimens in the bicortical fixation group exhibited failure 47 attributed to fractures occurring just behind the plate. Nonetheless, the fixation method was not 48 a significant factor affecting bending strength (p=0.863). 49

50 Conclusions

51 The monocortial fixation could be a reasonable option among younger populations with52 comparable stability of fixation.

53 Keywords: monocortical fixation, rib fractures, osteosynthesis

54 Background

55 Thoracic trauma and concomitant rib fractures frequently arise as consequences of motor vehicle accidents[19]. The number of traffic collisions constantly increases, with blunt chest 56 57 trauma constituting the second most frequent type[20]. Thoracic trauma contributes significantly to morbidity and mortality rates, where roughly 8-10% of drivers die due to 58 chest wall trauma[22]. The severity of fractures may vary from simple to multilevel, 59 including flail chest. Management of multiple fractures, especially with the flail component, 60 has progressively focused on the injury of underlying tissues[9, 19]. The majority of patients 61 with flail chests, require intensive pain management and mechanical ventilation to support 62 the fractured segment[10]. Over the last decades, numerous implants have been developed to 63 improve outcomes of surgical fixation of ribs, encompassing locking plates, intramedullary 64 wires, struts, and absorbable plates [1, 21]. Robust data support the benefits of surgical rib 65 stabilization over symptomatic treatment[9, 13, 18, 19, 26]. Operative fixation of 66 multisegmental rib fractures can significantly improve pulmonary function [9, 18, 19, 26]. 67 The surgery prevents common complications such as prolonged intubation often leading to 68 pneumonia and sepsis[24, 30]. The pivotal argument in favor of operative rib stabilization is 69 70 the noteworthy 38% to 72% reduction in mortality rates [1, 12, 30]. Unfortunately, the surgery is still executed in the minority of cases, in which the patient could benefit from [3, 10, 30, 71 31]. A contributing factor to the limited popularity of this procedure is the requirement for 72 73 technical advancement of rib fixation, according to current recommendations[11, 34]. The conventional approach, performed with a locking plate system, utilizes three screws placed in 74

a bicortical manner on each side of the fracture[11, 34]. Although single-lung intubation is
not mandatory for surgical fixation of the ribs, it enhances surgical exposure and minimizes
the risk of lung parenchyma injury while drilling through both cortices[11]. Moreover,
improper screw selection may result in protrusion of the screw tip, causing pleural irritation
or even pneumothorax[3, 11, 34].

The majority of existing literature examines the mechanical properties of various rib fracture fixation constructs[4, 5, 11, 23]. However, none of those studies involve the analysis of rib material obtained from living individuals under the age of 20 subjected to combined tensiletorsional cyclic loading. Authors study their fixation constructs in vitro utilizing cadaveric ribs acquired from elderly donors[5, 23]. Nevertheless, clinically essential parameters such as bone stock, bone purchase, and the initial stiffness could introduce bias to the results[14, 15, 19, 29, 32, 33].

87 This study aims to compare, for the first time, the biomechanical properties between 88 bicortical and monocortical locking plate fixation in the human rib fracture model acquired 89 from young living subjects. We hypothesize that the monocortical or bicortical screw 90 placement does not jeopardize the overall stability of the reduced fracture site.

91 Material and methods

92 Specimens

All specimens were collected intraoperatively from 18 females undergoing the anterior
approach spine surgery. The patient's age ranged from 13 to 18 and the BMI of 19.58 (SD
1.45) at the time of surgery. Basic demographics of the subjects have been presented in Table
Informed consent was acquired from all donors. The exclusion criteria were as follows: a
BMI below 5 percentile, the presence of systemic diseases or any drug administration that
could affect bone metabolism. The material in the form of rib fragments was taken according

to the methodology described by Suk et al[28]. For patients treated through the anterior 99 100 approach, a single rib was removed, to facilitate surgical access. Additional ribs were also resected in the course of rib hump correction. Most of the resected rib fragments were 101 grounded and utilized for the anterior fusion, while the surplus segments unused in fusion 102 103 comprised the samples for testing. In total, eighteen frozen human ribs level IX-X from the lateral and posterior locations were employed. The bone material was stored in a double 104 plastic container at -20 °C until the testing day. According to several studies, such conditions 105 106 do not alter mechanical parameters[16, 25]. After thawing for 12 hours, all soft tissue was removed and each rib was cut into a total arc length of 160 mm. In accordance with the 107 108 methodology described by Mischler et al., the ventral ends of the ribs were embedded with 109 epoxy resin into polymethylmethacrylate (PMMA) custom-made PMMA cylinder-radius 30 mm[23]. The dorsal ends were embedded with epoxy resin into a plastic ball radius of 40 110 mm[23]. Following that procedure, a weak spot was generated utilizing an oscillating saw 111 equipped with a 0.5 mm thick blade[23]. 112

Patient No.	Age	Weight	Height	BMI
1	18	45	158	18.026
2	14	50	167	17.928
3	13	45	155	18.730
4	14	56	164	20.821
5	16	57	170	19.723
6	16	59	166	21.411
7	14	48	167	17.211
8	16	55	164	20.449
9	15	52	167	18.645
10	16	51	163	19.195
11	17	57	164	21.193
12	13	55	171	18.809
13	15	43	154	18.131
14	16	52	166	18.871
15	18	60	163	22.583
16	16	54	165	19.835
17	16	55	167	19.721

113 Table 1 Basic demographics of the subjects.

18	17	59	167	21.155

115	In all instances, an eight-hole 77 mm ChM 4.0 ChLP straight reconstruction plate made of
116	titanium alloy was employed (Figure 1)[35]. A certified orthopedic surgeon-lead author
117	performed the plating of the ribs following the ChM manufacturer`s guidelines. To
118	standardize the beam while contouring the plate and achieving uniform rib length, a custom
119	mold made of plaster was prepared. The thickness of the cortex was assessed with the caliper,
120	during fixation of rib fragments . The average thickness ranged from 0.6 mm to 0.8 mm. The
121	specimens were consequently instrumented using a ChM`s drill guide with a ChM`s drill bit
122	(1.8 mm). Finally, three titanium alloy 2.4 mm locking screws (6 mm of total length) were
123	placed on each side monocortically and 2.4 mm locking screws (8 mm of total length) were
124	placed on each side bicortically, depending on the assigned group (Figure 2). Two holes near
125	the fracture site were left empty. The insertion torque applied to each screw on each plate was
126	standardized to values recommended by the ChM manufacturer - 1 Nm for the ChM 4.0
127	ChLP plate and 2.4 mm locking screw[35]. We used a calibrated torque-limiting screwdriver
128	(MicroClick MC 5, Proxxon Industrial). The resolution of this device was determined by the
129	scale ring with 0.1 Nm graduation. The manufacturer certified that the accuracy was +/- 6%.
130	Once the limiting torque was set, no further adjustments were made. All screws were
131	tightened under the same conditions by the lead author.





Figure 1. The ChM 4.0 ChLP straight reconstruction plate.



Measuring Setup

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Each rib was fractured on a three-point bending universal servohydraulic testing machine 137 (ZWICK Z100/ TL3S Zwick GmbH & Co. KG, Ulm, Germany)(Figure 3). Both the initial 138 and the final biomechanical testing were performed using the same universal servohydraulic 139 testing machine (ZWICK Z100/ TL3S Zwick GmbH & Co. KG, Ulm, Germany). The 140 resulting bending strength was reported in Nm. The resolution and accuracy of distance 141 measurement were 1 µm and 2 µm accordingly. A built-in sensor of the testing machine was 142 used for distance. The force was measured by a 5 kN load cell (Xforce HP, Zwick GmbH & 143 144 Co. KG, Ulm, Germany). The resolution and accuracy of the force measurements were 0.01 N and 1% of the nominal load (accuracy class 0.5). The standard calibration procedure with a 145 146 custom-made beam made of aluminum was executed prior to each test. Please note that 1 N corresponds to a gravity force acting on a mass of approximately 0.102 kg on Earth. 147

The initial stiffness of each construct post-instrumentation was assessed non-destructively
through axial compression (Figure 4). Additionally, the initial stiffness of 4 specimens was
assessed pre-instrumentation. Subsequently, the specimens were mounted to the custom
cyclic loading device. The machine combined tensile and torsional loading by applying cyclic

force from 2 N to 5 N at a rate of 3 Hz, with a total of 400,000 cycles according to the
methodology described by Mischler et al[23]. This machine simulated the physiological
bucket handle motions of the ribs during respiration[2, 23]. Construct subsidence was
controlled and adjusted every 50,000 cycles (Figure 5). An intravenous system was used to
deliver the Ringer solution to prevent the specimen from drying. At the final stage, the
constructs underwent load-to-failure testing using an axial compression machine (ZWICK
Z100/ TL3S Zwick GmbH & Co. KG, Ulm, Germany).





Figure 3. The three-point bending setup.



- 162 Figure 4. An axial compression machine (ZWICK Z100/ TL3S Zwick GmbH & Co. KG,
- 163 Ulm, Germany) and rib fragments-ChM 4.0 ChLP monocortical fixation.



Figure 5. Specimen mounted to a custom-made machine with x-y sliding table for
 combined tensile and torsional loading.

167 Statistical analysis

168 Statistical analyses were performed using Mathematica 12 software (Wolfram Research, Inc., 169 Oxfordshire, United Kingdom). Data was reported as mean \pm standard deviation, statistical 170 significance was set to p < 0.05.

171 Mann–Whitney U test was used here to evaluate if the insertion method affected the maximum 172 force registered during the single cycle to failure testing post-cyclic loading. To determine the 173 effect of cyclic loading (pre versus post test), the insertion method (mono versus bicortical) 174 and its combination on the bending stiffness, two-way ANOVA was used considering repeated 175 observations. For additional validation, power analysis of the test was performed to determine 176 the probability of committing type II error. The assumed acceptable power of the test was 177 (β <0.2)[6]. The normality of residuals was assessed through the Shapiro–Wilk test.

178 Ethics

179 This study was approved by the Human Research Ethical Committee No 105/22. The

180 patient's consent was obtained each time before the surgery.

181 **Results**

182 Comparison of pre and post-cycling loading stiffness.

Bone stiffness prior to fixation was 14.124 N/mm (SD 2.36) (N=4). The mean initial bending stiffness was 18.58 N/mm (SD 6.61) (N=9) for the monocortical fixation group and 16.09 N/mm (SD 4.12) (N=9) for the bicortical fixation group (Figure 6). Statistical examination with the ANOVA demonstrated that the fixation method was not a statistically significant factor affecting bending stiffness (p= 0.379, β =0.196). Interestingly, the ANOVA test revealed that the bending stiffness after cyclic loading was also not a statistically significant factor (p=0.906, β =0.194). Combination of both groups with pre- and post-cycling loading also demonstrated

- 190 (ANOVA) no statistically significant differences (p=0.894, β =0.194) (Figure 6). We did not
- 191 observe any construction failures post-cycling in either group.



Figure 6. Box and whisker plots with preliminary and post-cycling loading stiffness in analyzed groups.

195 Mechanism of failure

The mean load to failure was 82.82 N (SD22.23) (N=9) for the monocortical fixation group 196 and 77.94 N (SD22.82) (N=9) for the bicortical fixation group. Load-displacement curves for 197 two representative mono- and bicortical constructs are presented in Figure 7. Statistical 198 199 examination with the Mann-Whitney U test demonstrated that the fixation method was not a statistically significant factor affecting bending strength (p=0.863) (Figure 8). 200 All 201 monocortical fixation group specimens failed due to screws pulled out from the bone (Figure 9-A). In contrast, all specimens in the bicortical fixation group failed due to fractures occurring 202 just behind the distal screw hole (Figure 9-B). 203



Figure 8. Box and whisker plots with maximum load-to-failure in analyzed groups.



Figure 9. A-Dismantled monocortical fixation with the screws pulled out of bone.
B- Dismantled bicortical fixation with the fracture behind the plate.

211 Discussion

The technical and anesthesiological advancement of rib fracture fixation often limits the 212 surgeons from osteosynthesis[3, 10, 11]. Compression plating utilizing bicortical screws was 213 214 a standard technique supported by literature[10, 21]. However, the introduction of low-profile locking plate systems facilitated less invasive surgical approaches as the locked construct's 215 216 strength is independent of bone compression[7, 11, 27]. Therefore, the healing process remains nearly undisturbed while the periosteum stays intact[10]. Furthermore, the 217 occurrence of locking screw loosening and migration is rare when thorough surgical 218 techniques are employed[10, 11]. 219

A study by Choke et al. focused on the cadaveric investigation of bicortical and monocortical Synthes MatrixRIB fixation system[5]. Interestingly, the authors proved no

222	statistically significant differences between post-cycling loading stiffness for both analyzed
223	groups (p=0.872)[5]. However, the study was limited to axial compressive cycling-loading,
224	without testing the torsional force that occurs in physiological breathing[5, 23]. In contrast,
225	in our study we utilized combined tensile and torsional loading for a duration representative
226	of over 2 weeks of fracture healing[2, 23]. Similarly, we did not observe higher bending
227	stiffness among the bicortical fixation group (p=0.894). Choke et al. also did not observe
228	significant differences in load to failure between monocortical and bicortical fixation
229	(p=0.549)[5]. However, only 2 out of 10 specimens failed due to screw pull out, whereas in
230	our study all monocortical specimens failed as result of pull out from the bone[5]. Moreover
231	Choke et al. reported that all analyzed bicortical fixations failed by plate bending and
232	refracture at the fracture line[5]. This was not the case in our study, whereas all bicortical
233	fixations failed just behind the distal screw hole. Mischler et al. analyzed the modified rib's
234	fixation technique with only two bicortical screws per fragment. The authors also did not
235	observe a significant influence of the number of screws in relation to post cycling bending
236	stiffness and maxium force (p=0.64 and p>0.13, respectively)[23]. Similarly to our results,
237	the failure mode of this type of fixation was consistent, featuring bone fracture at the most
238	distal screw hole[23]. However, compared to monocortical fixation, a simple reduction of
239	inserted screws cannot prevent common complications associated with bicortical screw
240	stabilization, such as lung parenchyma injury[11]. Contrary to Mischler et al. we did not
241	register a significant increase in bending stiffness after the course of cyclic loading due to
242	settling and non-linear force-displacement behavior[23]. However, this property holds
243	minimal relevance in non-weight-bearing bones, as stress loading during respiration is not
244	axially directed as in axial load to failure tests. Regarding the discussion above, both studies
245	conducted by Mischler et al. and Choke et al. were conducted on identical plates - MatrixRiB
246	Synthes [5, 23,34]. It is worth emphasizing, that the final mode of failure during a similar

- axial loading test was quite different. Taking into account bone variability and inevitable 247 differences between loading parameters, any direct comparisons between these in vitro 248 studies should be treated with caution.

- 250 The current standard for rib fracture fixation is the placement of a minimum of three
- bicortical locking screws per fragment [34]. This recommendation refers to all age 251
- groups[34]. Monocortical fixation which is less technically demanding procedure could lead 252
- to fixation failure due to screw pull-out in osteoporotic bone[34]. Therefore literature 253
- regarding monocortical fixation or fixation with less screws is limited[5, 23]. 254

Post-mortem studies are characterized by some general limitations[5, 23]. Concerns 255 regarding bone quality and its mechanical parameters during the tests arise from the limited 256 number and senior age of cadaveric specimens[17, 32, 33]. A study by Takahashi et al. that 257 analyzed age's impact on the ribs' BMD values, documented a 25% drop at the age of 60 258 compared to peak values at the ages from 15 to 25[29]. Wang et al. pointed out, that altered 259 260 parameters of rib cortical bone are influenced not only by BMD but also by microarchitecture and the ratio between mineral and organic substances[32]. Currey et al. reported considerable 261 variations in rib cortical bone parameters, associated with age[8]. The post-mortem analysis 262 of 18 donors (aged 2-42), proved that ribs from the younger population exhibit lower Young 263 modulus and bending stiffness[8]. Simultaneously, they displayed increased deflection and 264 greater energy absorption prior to fracture[8]. 265

Our study performed on ribs obtained from young living subjects suggests that 266 monocortical fixation with three screws per fragment offers similar stability to bicortical 267 fixation. Our method of monocortical fixation offers the advantage of simplifying screw 268 measurements compared to thorough measurements required in bicortical fixation[11, 34]. 269

270 Furthermore, the monocortical fixation technique could be a salvage option, while a

contralateral pulmonary contusion limits the tolerance to single-lung ventilation[20].

272 Limitations

This study presents several limitations. Firstly, our analysis concerns material obtained from living individuals under the age of 20 only. Analyzed rib fragments are rather homogenous in terms of regular cortical thickness and cortical bone density. Secondly, our investigation was confined to a single-rib testing model. Moreover, the axial load to failure test is not an anatomical loading mode. In vivo, fracture lines could be far beyond standardized transverse fractures of tested samples. Furthermore, we used only one type of rib plate fixation system.

279 Therefore, those in vitro results should be treated with caution.

280 Conclusions

For the first time, our study compared the biomechanical performance of bicortical versus
monocortical fixation in axial and tensile-torsional tests, utilizing ribs acquired from

- adolescent living subjects. Our study's results indicate that monocortical plate fixation could
- 284 deliver comparable construct strength in younger populations while simultaneously

simplifying the technical advancement of surgical procedures.

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287 Data availability statement

288 The data that support the findings of this study are available on request from the

289 corresponding author [J.G].

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