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## **Integrated approach for functional safety and cyber security management in maritime critical infrastructures**

### **Keywords**

Maritime infrastructure, functional safety, cyber security, SIL, SAL, EAL, industrial control systems

### **Abstract**

The work is devoted important issues of the management in maritime critical infrastructure of functional safety analysis, in particular the safety integrity level (SIL) verification of safety functions to be implemented within the distributed control and protection systems with regard to cyber security aspects. A method based on quantitative and qualitative information is proposed for the SIL (IEC 61508, 61511) verification with regard of the evaluation assurance levels (EAL) (ISO/IEC 15408), the security assurance levels (SAL) (IEC 62443), and the number of protection rings described in the Secure Safety (SeSa-SINTEF) methodology. The proposed approach will be composed of the following items: process and procedure based safety and cyber security management, integrated safety and security assessment of industrial control system (ICS) of the maritime critical infrastructure. Proposed methodology is illustrated on case study that based on the part of installation critical maritime infrastructure.

### **1. Introduction**

The procedure for functional safety management includes the hazard identification, risk analysis and assessment, specification of safety requirements and definition of safety functions [9]-[10]. These functions are implemented in basic process control system (BPCS) and/or safety instrumented system (SIS), within industrial network system that consists of the wireless connection and wire connection. Determination of required SIL related to the risk mitigation is based on semi-quantitative evaluation method [6], [9]-[10]. Verification of SIL for considered architectures of BPCS and/or SIS is supported by probabilistic modelling for appropriate data and model parameters including security-related aspects [1], [10]. Proposed approach based on functional safety aspects that are well known in process industries and cyber security methodology [11]-[12], [18]. Main problem of these topic is influence security aspects on functional safety analysis. The approach proposed is illustrated on example part of critical installations. The control and protection systems of the installations and relevant maritime critical infrastructures are potentially vulnerable to cyber-attacks (e.g. malicious

association, denial of service, network injection), as they are distributed and perform complex functions of supervisory control and data acquisition (SCADA) [4], [6]. Current topic that requires further research includes the interface between safety and security. The report discusses these issues on example of knowledge based proactive functional safety and cyber security management system.

### **2. Safety and cyber security of industrial control system in critical installations**

Safety is concerned with preventing accidents by identifying potential weaknesses, initiating events, internal hazards and potentially hazardous states and then identifying and applying appropriate mitigation solutions to reduce relevant risks to tolerable levels [13], [17]. Security is concerned with protecting assets against internal and external threats and vulnerabilities that compromise the assets, environment and employees. Assets are protected using controls that reduce the risk to an acceptable level. The safety lifecycle is an engineering process that contains the steps needed to achieve high levels of functional safety during: conception, design, operation, testing and maintenance of SIS [10] An industrial control system designed according to

o safety lifecycle requirements and procedures will mitigate relevant risks of potential hazardous events in an industrial installation and process e.g. pumping oil and gas station in and oil port infrastructure. Simplified version of the safety lifecycle with regard to publications [4], [10], [15], [23] (Figure 1).

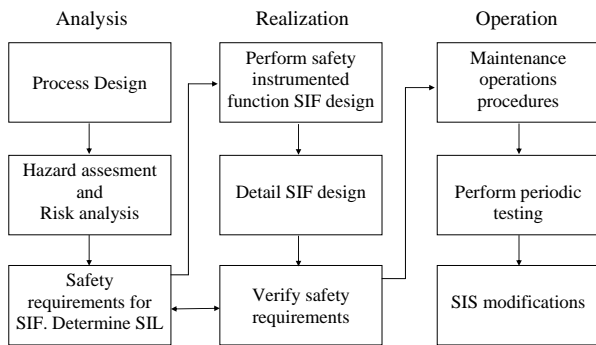


Figure 1. Simplified diagram of functional safety lifecycle

Some safety requirements are met with support of external risk reduction facilities, including solutions like changes in process design, physical protection barriers, dikes, and emergency management plans. Safety requirements are met partly by the safety-related technology other than safety instrumented systems (SIS), such as relief valves, alarms, and other specific-safety devices.

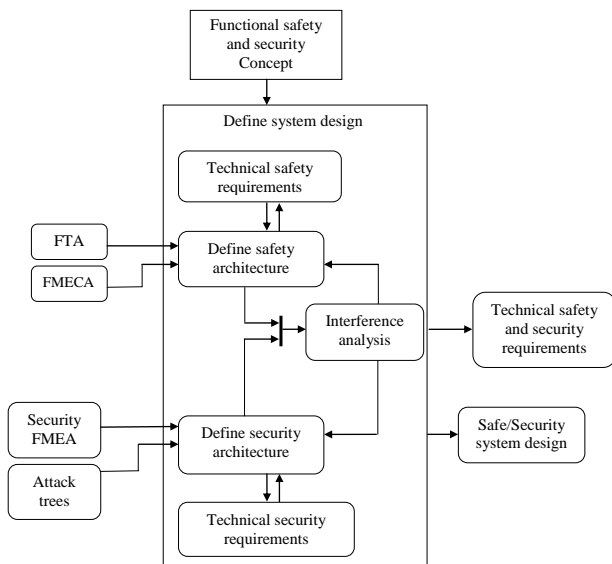


Figure 2. Safety and security activities of the system design phase

Remaining safety-related requirements are assigned to the safety instrumented functions (SIF) implemented as SIS of specified safety integrity level (SIL).

The system design phase comprises the activities to derive technical safety and security requirements out of

f the functional requirement and to define a corresponding architecture [10], [18] (Figure 2).

The safety and security goals are now the input to derive functional safety and security requirements. In this phase first the interference analyses have to be undertaken in order to identify their impact on each other. In the safety area, supporting methods to derive technical requirements and analyze the system architecture include qualitative and quantitative Fault Tree Analysis (FTA) and Failure Mode and Effects Analysis (FMEA). A SIS management system should include the aspects specific to safety instrumented systems [10], [18].

Supervisory control and data acquisition (SCADA) refers to the transmission of pipeline control parameters (such as pressures, flows, temperatures, and product compositions) at sufficient points along the pipeline to allow monitoring of the line from a single location (Figure 3) [6].

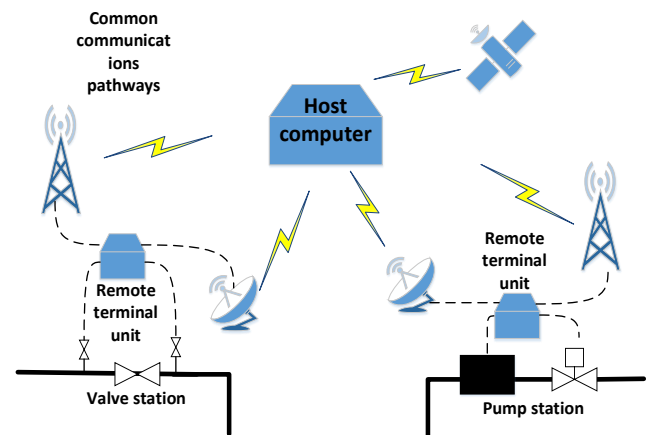


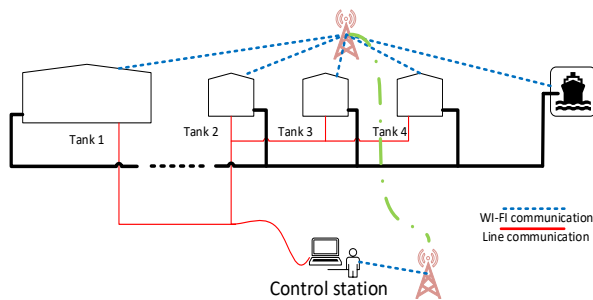
Figure 3. Data transfer in distributed industrial control systems for an example pipeline infrastructure

In many cases, it also includes the transmission of data from the central monitoring location e.g. an oil port infrastructure to some points, e.g. pipelines and tanks, along the line to allow for remote operation of valves, pumps, motors, etc.

### 3. Classification of the process control and protection systems

A conventional control and protection system consists of a programmable logic controller (PLC), sensors, actuators, a control station with SCADA and a control station. Another important element of a control and protection system is the human operator who is supervising its operation. The system elements may be connected by different internal or external communication channels. The information sent between the PLC and the control station can be transferred by standard series or parallel communication protocols or other methods of communication, e.g. wireless GSM/GPRS. T

the control and protection system's in the oil sea port infrastructures may be connected by different internal and/or external communication channels (Figure 4).



**Figure 4.** Data transfer in distributed industrial control systems for the oil pipeline infrastructure

Control station refers to the transmission of pipeline operational data (such as pressures, flows, temperatures, and product compositions) at sufficient points along the pipeline to allow monitoring of the line from a single.

Three main categories of distributed control and protection systems have been proposed, based on the presence of a computer system or an industrial network, its specification and type of data transfer methods:

- I. Systems installed in concentrated critical facilities using internal communication channels only (e.g. LAN);
- II. Systems installed in concentrated or distributed critical plants, where the protection and monitoring system data is sent by internal communication channels and can be sent using external channels;
- III. Systems installed in distributed critical installations, where data is sent mainly by external communication channels.

IEC 61508 and IEC 61511 introduce some additional requirements concerning the data communication channels and security aspects in functional safety solutions. They describe two main communication channel types - white or black. The white channel means that the entire communications channel is designed, implemented and validated according to the requirements of IEC 61508. The black channel means that some parts of a communication channel are not designed, implemented and validated according to IEC 61508. In such case, communication interfaces should be implemented according to the IEC 62280 standard on railway communication, signalling and processing system applications (safety-related communication in closed transmission systems) [1]-[2], [9]-[10].

#### 4. Functional safety and cyber security integrated approach

The requirements for safety functions are determined taking into account the results of hazards

identification, while the safety integrity requirements result from analysis of potential hazardous events. The higher the safety integrity level (SIL) is for given safety-related functions (SRF) the lower probability of failure on demand ( $PFD_{avg}$ ) or probability of dangerous failure per hour (PFH) is required to reduce the risk to required level. Higher safety integrity levels impose more strict requirements on the design of a safety-related system. The term safety-related (SR) applies to the systems, which perform a specified function(s) to ensure that the risk is maintained at an acceptable or tolerable level. Those functions are the SRF. Two different requirements should be satisfied to ensure the functional safety [9]-[10]:

- requirements imposed on the performance of safety-related functions,
- requirements for the safety integrity expressed by the probability that given safety function is performed in satisfactory way within a specified time.

The safety-related E/E/PE comprises all the elements that are necessary for the safety function performance, i.e., from sensors, via logic control systems and interfaces to controllers, including any safety critical operations undertaken by a human-operator. Standard IEC 61508 defines 4 performance levels for the safety functions. The safety integrity level 1 (SIL1) is the lowest one, while the safety integrity level 4 is the highest level. The standard formulates in detail requirements to be fulfilled for each safety integrity level to be achieved. At higher levels the requirements become more strict to reduce relevant probability of  $PFD_{avg}$  or PFH of given SRF. For each safety-related E/E/PE system fulfilling defined safety-related function of given SIL, two probabilistic criteria are defined in the standard, namely:

- the average probability of failure ( $PFD_{avg}$ ) to perform the design function on demand for the system operating in a low demand mode of operation,
- the probability of a dangerous failure per hour (PFH), i.e. the frequency for the system operating in a high demand or continuous mode of operation.

These numeric probabilistic criteria expressed as intervals for consecutive SILs and two modes of operation are presented in Table 1 [9]-[10].

**Table 1.** Safety integrity levels and interval probabilistic criteria for safety-related systems

Safety integrity level (SIL)	PFD <sub>avg</sub> interval criteria for systems operating in a low demand mode	PFH interval criteria for systems operating in a high demand or continuous mode
SIL4	[ 10 <sup>-5</sup> , 10 <sup>-4</sup> )	[ 10 <sup>-9</sup> , 10 <sup>-8</sup> )
SIL3	[ 10 <sup>-4</sup> , 10 <sup>-3</sup> )	[ 10 <sup>-8</sup> , 10 <sup>-7</sup> )
SIL2	[ 10 <sup>-3</sup> , 10 <sup>-2</sup> )	[ 10 <sup>-7</sup> , 10 <sup>-6</sup> )
SIL1	[ 10 <sup>-2</sup> , 10 <sup>-1</sup> )	[ 10 <sup>-6</sup> , 10 <sup>-5</sup> )

A quantitative method for determining SIL can be outlined as follows:

- determine the tolerable risk based on defined risk matrix or risk graph;
- determine the risk with regard to the EUC (equipment under control);
- determine the necessary risk reduction to meet the tolerable risk level;
- allocate the necessary risk reduction to the E/E/PES and other risk reduction measures.

Results of security analysis for given control and protection system can be divided into some general categories, for example a qualitative description with defined security levels like: low level, medium level or high level of security. The aim of security analyses is to determine EAL achievable for considered solution of the system and/or network. The EAL determined for given solution is taken into account during functional safety analysis (Table 2) [3], [12], [14], [17].

Table 2. Levels of security and corresponding EALs

Evaluation assurance level	Level of security
EAL1	Low level
EAL2	Low level
EAL3	Medium level
EAL4	Medium level
EAL5	High level
EAL6	High level
EAL7	High level

The evaluation process establishes a level of confidence that the security functions of products and systems considered, and the assurance measures applied to them meet these requirements. The evaluation results may help the developers and users to determine whether the product or system is secure enough for their intended application and whether the security risks implicit in its use are tolerable.

Another approach for security evaluation for industrial automation and control systems is IEC 62443. A concept of Security Assurance Level (SAL) has been introduced in this normative document. There are four security levels (SAL1 to 4) and they are assessed for given security zone using the set of 7 functional requirements (1) [11], [18]. The IEC 62443 standard uses security levels as a qualitative approach to expressing security requirements. As shown in Table 3, there are four different security levels, which are characterized in terms of the threats that they protect against.

Table 3. Security assurance levels SALs

SAL level	Level of cyber security
SAL1	Protection against casual or coincidental violation
SAL2	Protection against intentional violation using simple means with low resources, generic skills, and low motivation
SAL3	Protection against intentional violation using sophisticated means with moderate resources, system specific skills and moderate motivation
SAL4	Protection against intentional violation using sophisticated means with extended resources, system specific skills and high motivation

The SAL is a relatively new security measure concerning the control and protection systems. It is evaluated based on a defined vector of seven requirements for relevant cyber security zone [11]:

$$SAL = \{ AC \ UC \ DI \ DC \ RDF \ TRE \ RA \}, \quad (1)$$

where: *AC* - identification and authentication control, *UC* - use control, *DI* - data integrity, *DC* - data confidentiality, *RDF* - restricted data flow, *TRE* - timely response to event, *RA* - resource availability.

Another method of the security analysis can be proposed on the basis of the SeSa (Secure Safety) approach, which was designed by the Norwegian research institution SINTEF. It is dedicated to the control systems and automatic protection devices used in the offshore installations, monitored and managed remotely from the mainland by generally available means of communication [5], [19]. The SIS according to the series of standards IEC 61508 and IEC 61511 are very important not only for the safety, but also security aspects should be also taken into account. Using the SeSa rings related to security protection is another approach useful for the integration of functional safety and security aspects (Figure 5).

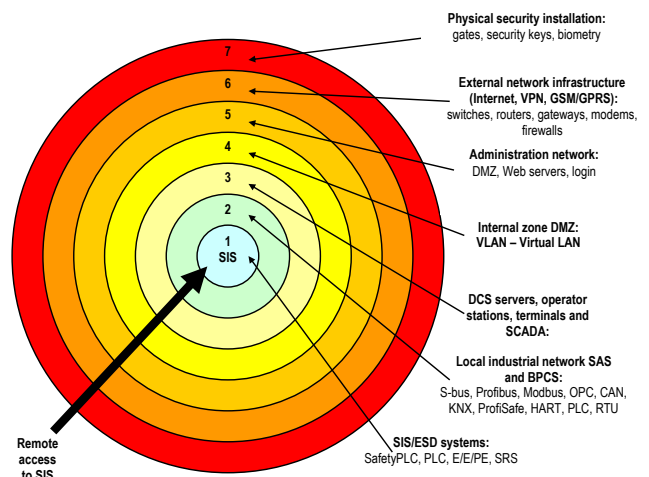


Figure 5. Rings of the protection in the SIS system [2]

], [5]

An important task of integrated functional safety and security analysis of such systems is the verification of required SIL taking into account the potential influence of described above security levels, described the EAL, SAL or SeSa protection rings [3], [15], [16], [21].

### 5. Procedure of functional safety and cyber security management in maritime critical infrastructures

Although the concepts concerning the safety and security of information technology (IT) infrastructure are generally outlined in standards [9], [12], respectively, additional research effort should be undertaken to develop integrated, system oriented approach. Following problems require special attention [1]:

- development of integrated safety and security policy;
- modeling the system performance with regard to safety and security aspects;
- integrated risk assessment with regard to quantitative and qualitative information, identifying the factors influencing risk.

As was mentioned earlier, the result of security analysis is dependent on identified vulnerabilities and designed countermeasures. Both those factors are responsible for final level of security taken into account in the functional safety risk assessment process, a general procedure is presented (Figure 6). These methods are qualitative or quantitative, which means that they use descriptive or quantified information about risk parameters. The standard proposes a qualitative risk graph method for determining qualitatively SIL for given safety-related system as a main one.

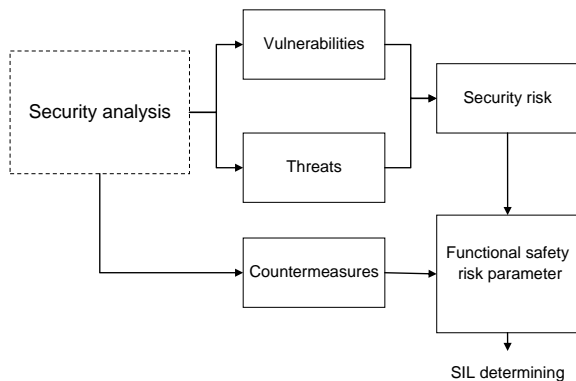


Figure 6. Procedure using security factors in functional safety analysis [2]

This method is very useful, but special care should be taken into account during applying the method. A general scheme of consideration the security analysis results is presented (Figure 7). It is assumed that the security analysis, e.g. SVA (security vulnerability analysis) is carried out separately, and its result shows how secure the object or control system is. Presented methodology has a significant importance in control and protection systems which are distributed and use different wire or wireless communication channels.

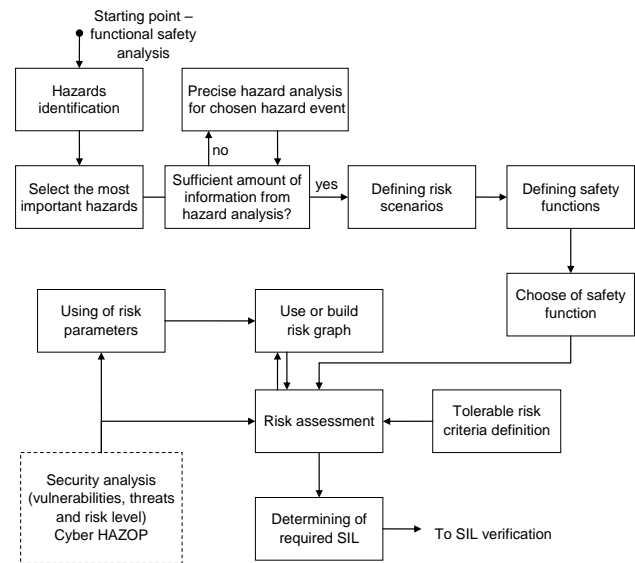


Figure 7. A general procedure of SIL determining with the cyber security integration

Proposed method of the SIL determination is based on modifiable risk graphs, which allows building any risk graph schemes with given number of the risk parameters and their ranges expressed qualitatively or preferably quantitatively [2]-[3], [17]. For verifying SIL of the E/E/PE system or SIS the quantitative method based on the reliability block diagram (RBD) is often used. Taking into account a method of minimal cut sets, the probability of failure to perform the design function on demand can be evaluated based on following formula [17], [20]

$$PFD(t) \cong \sum_{j=1}^n Q_j(t) \approx \sum_{j=1}^n \prod_{i \in K_j} q_i(t), \quad (2)$$

where:  $K_j$  -  $j$ -th minimal cut set (MCS),  $Q_i(t)$  - probability of  $j$ -th minimal cut set;  $n$  - the number of MCS,  $q_i(t)$  - probability of failure to perform the design function by  $i$ -th - subsystem or element.

The average probability of failure to perform the design function on demand for the system in relation

to formula (2), assuming that all subsystems are tested with the interval  $T_I$ , is calculated as follows:

$$PFD_{avg} = \frac{1}{T_I} \int_0^{T_I} PFD(t) dt, \quad (3)$$

where:  $T_I$  - proof test interval.

The probability per hour (frequency) of a dangerous failure can be evaluated based on formula as below:

$$PFH \cong \frac{\sum_{j=1}^n (1 - \sum_{\substack{i=1 \\ i \neq j}}^n Q_j(t)) (\sum_{j \in K_j} \frac{Q_j(t)}{q_i(t)} (1 - q_i(t)) \lambda_i)}{1 - \sum_{j=1}^n \prod_{i \in K_j} q_i(t)} \quad (4)$$

where:  $\lambda_i$  – the failure rate of  $i$ -th subsystem.

Dependent failures in redundant systems increase significantly probability of potential breakdowns. They should be included in probabilistic modeling of E/E/PE (or SIS) systems. There is also known problem to determine the value of  $\beta$  - factor representing potential CCF (common cause failure) for given redundant system. For practical reasons a knowledge-based approach can be applied, similarly as in IEC 61508, based on scoring of factors influencing potential dependent failures [7]- [8], [17], [20]. There are also proposals evaluate  $\beta$  - factor depending on architecture of redundant systems considered

$$\beta_{koon} = \beta \cdot C_{koon} \quad (5)$$

where:  $\beta$  is the base factor for a simplest architecture 1oo2 and the  $C_{koon}$  is a coefficient for actual architecture of system.

As values of  $C_{koon}$  following have been assumed:  $C_{1oo2}=1$ ;  $C_{1oo3}=0.5$ ;  $C_{2oo3}=1.5$  (Table 4).

Table 4. The  $\beta_{(koon)}$  factor for redundant ( $koon$ ) structures [9]

		n			
		2	3	4	5
k	1	$\beta$	$0.5\beta$	$0.3\beta$	$0.2\beta$
	2	-	$1.5\beta$	$0.6\beta$	$0.4\beta$
	3	-	-	$1.75\beta$	$0.8\beta$
	4	-	-	-	$\beta$

The failure rate  $\lambda$  for an element (subsystem) of  $koon$  system is the sum of the independent failure rate  $\lambda_I$  and the dependent failure rate  $\lambda_C$ :

$$\lambda = \lambda_I + \lambda_C. \quad (6)$$

In such case the factor  $\beta$  is defined as follows

$$\beta = \frac{\lambda_C}{\lambda}. \quad (7)$$

Regarding (6) and (7) the dependent failure rate is calculated from equation:

$$\lambda_C = \beta \cdot \lambda. \quad (8)$$

Whereas independent failure rate is obtained from formula:

$$\lambda_I = (1 - \beta) \cdot \lambda. \quad (9)$$

Then using (8) and (9) the dependent probability of failure can be calculated as follows

$$q_C(t) = \beta \cdot q(t) \quad (10)$$

and independent failure probability from following formula

$$q_I(t) = (1 - \beta) \cdot q(t). \quad (11)$$

Figure 8 illustrates a block diagram for 1oo2 structure including dependent failure [7]-[8], [17].

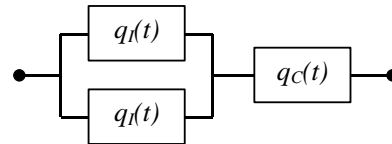


Figure 8. Reliability block diagram for 1oo2 system including dependent failure

On the basis of formulas (2), (3) and (6-11) it is possible to calculate the probability of failure on demand for 1oo2 system including common cause failures from following equation

$$PFD_{avg1oo2} \cong [(1 - \beta)\lambda_D]^2 \left( \frac{T_I^2}{3} + T_I MTTR + MTTR^2 \right) + \beta \lambda_{DU} \left( \frac{T_I}{2} + MTTR \right), \quad (12)$$

where:  $T_I$  - the interval to perform periodical tests;  $MTTR$  – the mean time to repair;  $\lambda_D$  – the dangerous failure rate;  $\lambda_{DU}$  – the dangerous undetected failure rate.

The probability of a dangerous failure per hour for 1oo2 architecture is evaluated taking in account (2) and (4) from the formula as below

$$PFH_{1oo2} \cong 2[(1-\beta)\lambda_D]^2 \left(\frac{T_I}{2} + MTTR\right) + \beta\lambda_{DU} \quad (13)$$

It is known, the overall subsystem's failure rate is calculated from the equation (Figure 9):

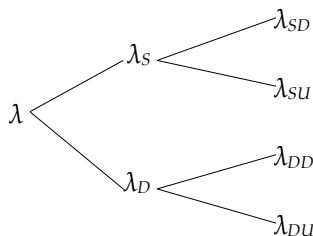
$$\lambda = \lambda_D + \lambda_S = \lambda_{DU} + \lambda_{DD} + \lambda_{SU} + \lambda_{SD} \quad (14)$$

where:  $\lambda_D$  – the dangerous failure rate;  $\lambda_S$  – the safe failure rate;  $\lambda_{DU}$  – the dangerous undetected failure rate;  $\lambda_{DD}$  – the dangerous detected failure rate;  $\lambda_{SU}$  – the safe undetected failure rate;  $\lambda_{SD}$  – the safe detected failure rate.

The danger undetected rate is evaluated on the basis of diagnostic coverage (DC) coefficient, e.g. from the formula:

$$DC = \frac{\lambda_{DD}}{\lambda_{DD} + \lambda_{DU}} = \frac{\lambda_{DD}}{\lambda_D} \quad (15)$$

There are substantial problems in evaluating DC for some components (subsystems), especially sensors and actuators.



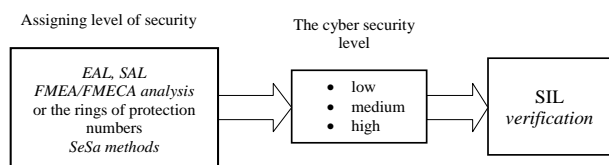
*Figure 9.* The overall subsystems failure rate  
 The SIL is associated with safety aspects while the EAL, SAL and SeSa is concerned with level of information security of entire system performing monitoring, control and/or protection functions (Table 5).

*Table 5.* SIL that can be claimed for given EAL, SAL or SeSa protection rings for distributed control and protection systems of category II and (III) [17], [22]

Determined				Verified SIL for systems of category II & (III)			
cyber security factor				functional safety			
EAL	SAL	Protection rings	Level of security	1	2	3	4
1	1	1	low	- (-)	SIL1 (-)	SIL2 (1)	SIL3 (2)
2	1	2		- (-)	SIL1 (-)	SIL2 (1)	SIL3 (2)
3	2	3	medium	SIL1 (-)	SIL2 (1)	SIL3 (2)	SIL4 (3)
4	2	4		SIL1 (-)	SIL2 (1)	SIL3 (2)	SIL4 (3)
5	3	5	high	SIL1 (1)	SIL2 (2)	SIL3 (3)	SIL4 (4)
6	4	6		SIL1 (1)	SIL2 (2)	SIL3 (3)	SIL4 (4)
7	4	7		SIL1 (1)	SIL2 (2)	SIL3 (3)	SIL4 (4)

Table 5 shows the potential corrections of SIL for low, medium and high level of safety-related (E/E/PE or SIS) system security. It is possible that undesirable external events or malicious acts may influence the system by threatening to perform the safety-related functions in case of low security level. Thereby the low level of security might reduce the safety integrity level (SIL) when the SIL is to be verified. Thus, it is important to include security aspects in designing and verifying the programmable control and protection systems operating in an industrial network.

An integrated approach is proposed, in which determining and verifying safety integrity level (SIL) with levels of security (EAL, SAL and SeSa) is related to the system category (I, II or III). It is possible that undesirable external events and malicious acts may impair the system by threatening to perform the safety-related functions in case of low security level (Figure 10).



*Figure 10.* Assigning level of cyber security in industrial network

Such integrated approach is necessary, because not including security aspects in designing safety-related control and/or protection systems operating in network may result in deteriorating safety (lower SIL than required). In such cases the SIL verification, integrated with security aspects, is necessary (Figure 11).

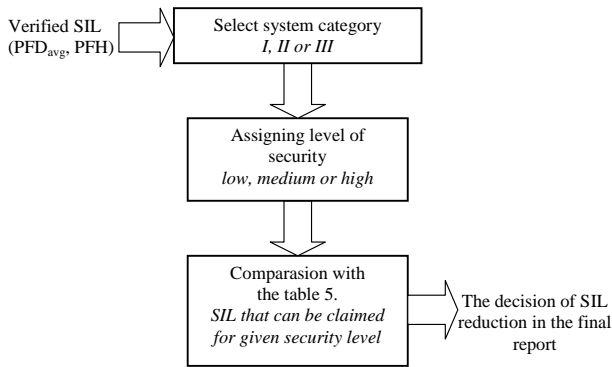


Figure 11. Procedure of the safety integrity level verification including the security aspects

The security measures which may be taken into account during the functional safety analyses are also of a prime importance. In this project only some of them have been presented. A well-known concept of EAL, SAL and SeSa is the basis for presented methodology. But there are also limitations of in applying the common criteria and for some solutions of programmable systems the EAL related measures may be insufficient. Usually EAL is related only to single hardware or software element. That is the reason why other security models or descriptions should be taken into account. One of them may be proposed lately the SAL based approach, indented to describe in an integrated way the system security in relation to functional safety concept.

## 6. Case study

The Safety Instrumented Systems (SIS) according to the series of standards IEC 61508 and IEC 61511 are very important not only for the safety, but also security aspects should be also taken into account using the SeSa rings related to security protection is another approach useful for the integration of functional safety and cyber security aspects [2], [17]. Another important element is the human operator, who supervises the operation [22]-[23]. The system's elements may be connected by different internal and/or external communication channels (Figure 12). The information sending and receiving between PLC and the control station can be transferred by wireless communication, such as radio-modems, satellite or GSM/GPRS technology. The part of the oil sea port installation is one of most representative example to illustrated the scope of functional safety and cyber security integrated approach.

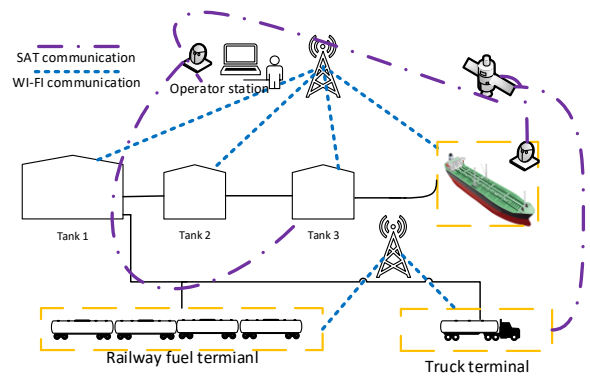


Figure 12. Data transfer in distributed industrial control systems for the oil pipeline infrastructure

The part of the oil sea port installation is one of most representative example to illustrated the scope of functional safety and cyber security integrated approach. Main part of fuel base consist of tanks, pipeline infrastructure, engineering station, truck terminal, railway fuel terminal. connection e.g. explosion atmosphere, electromagnetic fields and electric spark in distributed installation. Main reason is that some parts of the large distributed installation are without option to use the line connection. Presented installation is distributed and control and protection system is III category (wireless and satellite). It is presented on Figure 12. There are a lot of problems in that kind of installation. Main of the problem is high pressure oil transfer, overflow prevention tanks, pipe line leak, human errors, and common communication errors. Simulation processes was made via computer simulation environment Flownex software. CFD model for the oil seaport pipeline infrastructure is presented on Figure 13.

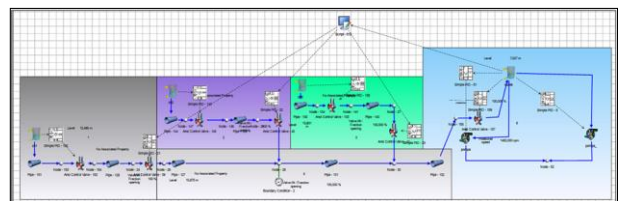


Figure 13. Flownex CFD model for the oil pipeline infrastructure

The SIL is associated with safety aspects while the EAL and SAL is concerned with level of information security of entire system performing monitoring, control and/or protection functions. Table 5 shows the potential corrections of SIL for low, medium and high level of safety-related (E/E/PE or SIS) system security.

Considered part of the installation refers to the liquid fuels base consisting of three tanks and one buffer storage tank. The system is connected to the main



pipeline. Fuel transfer takes place between the tanks and a loading position. In the illustrated system (Figure 14), there is a two-way communication connection are wired and wireless. Wireless connections are used to transmit information on the level of fuel in the tanks. In the case of a wired connection also exists to measure the liquid level in the tank and the core system control fuel flow [4], [6].

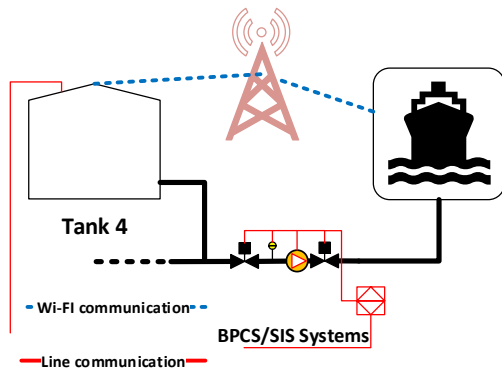


Figure 14. Example of oil seaport installations with critical infrastructure including BPCS and SIS systems

In situation of distributed control and/or protection systems operating in a network it is necessary to consider also potential failures within such network (Figure 15).

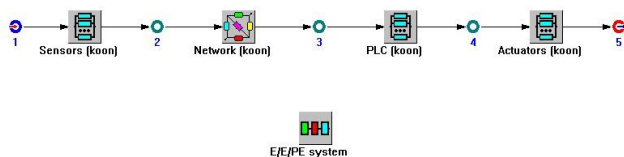


Figure 15. RBD model SIS (E/E/PE) system including the industrial computer network

The average probability of failure on demand  $PFD_{avg}$  is calculated according to formula:

$$PFD_{avgSYS} \cong PFD_{avgS} + PFD_{avgNet} + PFD_{avgPLC} + PFD_{avgA} \quad (16)$$

where:  $PFD_{avgSYS}$  - average probability of failure on demand for the SIS system,  $PFD_{avgS}$  - for the sensor,  $PFD_{avgNet}$  - average probability of failure on demand for the network,  $PFD_{avgPLC}$  - for the PLC,  $PFD_{avgA}$  - for the actuator.

Taking into account (16) it is obvious that the value of probability will be greater in situation if considering the computer network. Thus, the results obtained can influence verified SIL (lower value of SIL than in the case without considering network). The modeling

methods proposed in the IEC 61508 and IEC 61511 standard do not include the computer network elements. Thus, the results obtained can be too optimistic. A communication channel between controllers was represented by the block with determined SIL.

An example of functional safety analysis that is presented below. It is based on a control system (Figure 16), which consists of some basic components like sensors, programmable logic controllers and valves. It is a part of an maritime petrochemical critical installations.

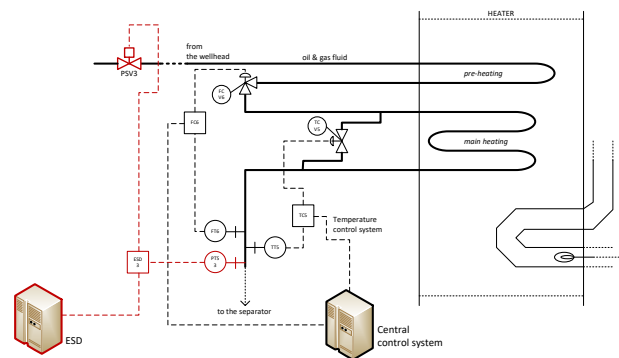


Figure 16. Data transfer in distributed industrial control systems

From the risk assessment the safety integrity level for given safety function overpressure protection heater in maritime critical installation was determined as SIL3. In industrial practice such level requires usually to be designed using a more sophisticated configuration. Safety function (overpressure protection) is implemented in distributed safety instrumented system SIS (Figure 17).

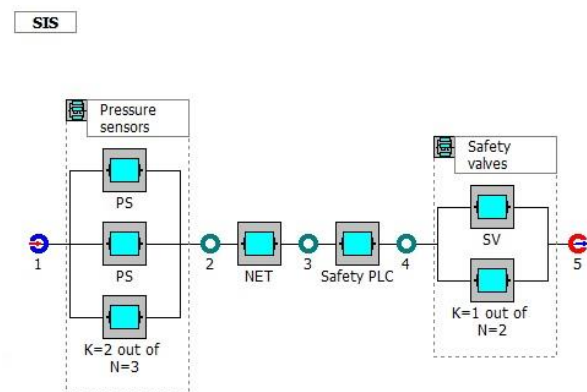


Figure 17. RBD model overpressure safety instrumented system SIS in the critical installation  
 The required SIL for entire distributed E/E/PE or SIS system is determined in a process of risk analysis and evaluation. It has to be verified in the process of probabilistic modeling, taking into account its subsystems including networks. Reliability data for SIS elements are presented in Table 6 [2], [19].

Table 6. Reliability data for elements SIS system

	PS	NET	SafetyPLC	SVA
DC [%]	54	99	90	95
$\lambda_{DU}$ [1/h]	$3 \cdot 10^{-7}$	$8 \cdot 10^{-8}$	$7 \cdot 10^{-7}$	$8 \cdot 10^{-7}$
$T_I$ [h]	8760	8760	8760	8760
$\beta$	0.02	0.01	0.01	0.02

Table 7. The SIL verification report for SIS overpressure protection system

System /subsystems/elements	k	oo n	$\beta$ [%]	PFD <sub>avg</sub>	SIL
SIS	0	-	-	9.15·10 <sup>-4</sup>	3
PS	.1	2 oo 3	3	4.46·10 <sup>-5</sup>	4
PS	..2	-	-	1.34·10 <sup>-3</sup>	2
PS	..2	-	-	1.34·10 <sup>-3</sup>	2
PS	..2	-	-	1.34·10 <sup>-3</sup>	2
NET	.1	1 oo 1	-	3.5·10 <sup>-4</sup>	3
NET	..2	-	-	3.5·10 <sup>-4</sup>	3
PLC	.1	1 oo 1	-	4.38·10 <sup>-4</sup>	3
Safety PLC	..2	-	-	4.38·10 <sup>-4</sup>	3
SVA	.1	1 oo 2	2	8.22·10 <sup>-5</sup>	4
SVA	..2	-	-	3.5·10 <sup>-3</sup>	2
SVA	..2	-	-	3.5·10 <sup>-3</sup>	2

Assessment of the result obtained shows that for the SIS structure (Figure 17) is:

$$\begin{aligned}
 PFD_{avgSIS} &\cong PFD_{avgPS(2oo3)} + PFD_{avgNET} + \\
 &+ PFD_{avgSafetyPLC} + PFD_{avgSV(1oo2)} \cong \\
 &\cong 4.46 \cdot 10^{-5} + 3.5 \cdot 10^{-4} + 4.38 \cdot 10^{-4} + \\
 &+ 8.22 \cdot 10^{-5} \cong 9.15 \cdot 10^{-4} \Rightarrow SIL3
 \end{aligned}
 \tag{17}$$

Thus, the PFD<sub>avg</sub> is equal 9.15·10<sup>-4</sup> fulfilling formally requirements for random failures on level of SIL3. The omission of some subsystems or communication network can lead to too optimistic results, particularly in case of distributed control and protection systems of category II and III.

Human operator in that case is an important part of the system. But in determining functional safety requirements processes the operator is treated as an independent protection layer. Information from the alarm systems and basic process control system goes to the human operator. Human error probability was calculated by the Spar-H method it is one of the most useful methods of human reliability analysis in functional safety it consists of two parts: diagnosis and action for the human [13], [22]. Calculated human error probability according to the available time is this value 0.268. In the future it should be included in the verification process. Challenges in that process are integrated cyber security aspects and human error probability according to functional safety. Nowadays popular problems are cyber attacks to the industrial control systems through different communication channels, of course vulnerability threats

include attacks to the SCADA systems can take significant influence on human action and in consequence it will lead to a dangerous situation e.g. economic, environmental, health losses.

## 7. Conclusions

A comprehensive integration of the functional safety and cyber security analysis in maritime critical infrastructures is very important and it is currently a challenging issue. In this project an attempt to integrate the functional safety and security issue was presented. The security aspects, which are associated with e.g. communication between equipment or restrictions in access to the system and associated assets, are usually omitted during this stage of analysis. However, they can significantly influence the final results. Further research works have been undertaken to integrate outlined aspects of safety and security in the design and operation of the programmable control and protection systems to develop a relatively simple methodology to be useful in industrial practice. The next step of evaluation is the proposed approach to safety & cyber security integrated with human as a hazard factor.

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