1. Introduction

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Keywords: feature extraction, vehicle exterior noise, NTD, updating algorithm.

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rior noise. Thus, how to avoid overfitting and extract the secondary features to interpret the special state in physical property has become an extremely urgent scheduler for NTD [13].

Physical singal existing in the real-world data is non-negative. Incorporating constraints such as sparseness, smoothness or orthogonality on NTD have been the object of significant works for feature extraction during the last years [14, 15]. Actually, NTD for multi-way data analysis results from the large volume of current data to be analyzed under non-negative constraint on the factors of Tucker3 model for the secondary features to be estimated as well, when only non-negative parameters are physically interpretable [16]. The bispectrum feature of vehicle exterior noise is similar to be non-negative in itself. The hybrid noise by exhaust system is not easy to be determined only depending on the frequency existing on the exhaust pipe, since some coupled noise such as conjugating engine noise with resonant noise of transmission shaft always arises in the process of transferring. So using NTD methodology to extract the secondary features is necessary and practicable in fault diagnosis of exhaust system, whose bispectrum directly extracted from the original noise without interference will be a vital way for NVH engineer. Secondary features extracted by NTD are of physical sparseness in data analysis of vehicle exterior noise and to be shown in the later experiments.

Besides, non-negative constraint forced on all the factors of Tucker3 decomposition is able to radically solve the problem of iteration converge in the calculation procedure, or overcome the overfitting in the case of a large-scale tensor decomposition. Meanwhile, NTD may allow to relax the traditional updating form, and to develop a specialized updating algorithm that improves the performance both in terms of accuracy and computational cost, since it just lends itself to the iteration in the form of Newton-Gaussian gradient descent (NGGD). In fact, NGGD can be developed as a way of updating the factors all-at-once as well. This way will be not only used to reduce the complexity of iterative calculation, but be also an available solution to the robustness of NTD and more significant to the matrices and core tensors, which are crucial to the basis images for reconstructing the secondary feature to analyze the vehicle exterior noise of an automobile car.

2. NTD algorithm

2.1. Definition and notation

Several expressions are necessary to Tucker3 algorithm dealing with real dataset referred to throughout the paper. Meanwhile, some reviews are made for the notation and definitions that will be used as well. To facilitate the distinction between scalars, vectors, matrices, and higher order tensors, the type of a given quantity will be reflected by its representation: scalars are denoted by italic scripts, e.g., $a, \mu$; vectors are written as bold italic latin lower-case letters, e.g., $\mathbf{a}, \mathbf{b}$; matrices correspond to bold italic latin capital letters, e.g., $A, B$; and tensors are written as bold italic euclid letters, e.g., $\mathbf{X}, \mathbf{Y}$. The Frobenius norm of a tensor $\mathbf{Y}$ is denoted by:

$$\|\mathbf{Y}\|_F = \sqrt{\mathbf{Y}^T \mathbf{Y}}.$$  

where $\|\cdot\|_F$ denotes frobenius norm, which can be find in [17].

**Definition (Matricization)** Matricization, also known as unfolding, is the process of rearranging an N-way dataset as a set of matrices over product. For example, a tensor $\mathbf{Y} \in \mathbb{R}^{I_1 \times I_2 \times \cdots \times I_N}$, of the partitioning of the set $\{I_n, n \in 1, 2, \cdots, N\}$, is matricized into two ordered subsets $R_1$ and $R_2$, there exists a subset $\{I_n, n \in 1, 2, \cdots, N\}$ of dimension indices with the length $p$ and $N-p$, respectively, the matricization of $N$-order tensor can be described as:

$$\mathbf{Y}_{R_1,R_2} = \sum_{i_1=1}^{I_1} \cdots \sum_{i_N=1}^{I_N} \mathbf{Y}_{i_1 \cdots i_N} \left( \otimes_{n=1}^{N} e_{i_n}^{(n)} \right) \left( \otimes_{n=1}^{N} e_{i_n}^{(n)} \right)^T \in \mathbb{R}^{R_1 \times R_2}, \quad \text{s.t.} \quad R_1 = \prod_{n=1}^{p} I_n, \quad R_2 = \prod_{n=p+1}^{N} I_n.$$  

Where symbol $\otimes$ denotes kronecker product; $e$ is a unit vector; round bracket $\{ J \}$ denotes that it returns a permutation vector or matrix.

As the multi-way dataset concerned in practical application, it must be transited into a tensor. So the third-order tensor is considered herein and involved in the behind. For instance, matricizing a third-order tensor $\mathbf{Y} \in \mathbb{R}^{I_1 \times I_2 \times I_3}$ along each mode, three matrices can be obtained and expressed as following, respectively called horizontal, lateral, and frontal matrix slices (see reference [18]):

$$\mathbf{Y}_{(1)} = \mathbf{Y}_{1,\times I_1} = \begin{bmatrix} \mathbf{I}_1 \times \mathbf{I}_1 \\ \mathbf{I}_2 \times \mathbf{I}_1 \\ \mathbf{I}_3 \times \mathbf{I}_1 \end{bmatrix}, \quad \mathbf{Y}_{(2)} = \mathbf{Y}_{1,\times I_2} = \begin{bmatrix} \mathbf{I}_1 \times \mathbf{I}_2 \\ \mathbf{I}_2 \times \mathbf{I}_2 \\ \mathbf{I}_3 \times \mathbf{I}_2 \end{bmatrix}, \quad \mathbf{Y}_{(3)} = \mathbf{Y}_{1,\times I_3} = \begin{bmatrix} \mathbf{I}_1 \times \mathbf{I}_3 \\ \mathbf{I}_2 \times \mathbf{I}_3 \\ \mathbf{I}_3 \times \mathbf{I}_3 \end{bmatrix}.$$

**Fig. 1. Model of tensor matricization**

$$\mathbf{Y}_{(n)} = \mathbb{R}^{I_n \times R_1}, \quad I_n = \{I_1, I_2, I_3\}, \quad I_n = \prod_{s=n}^{p} I_s, \quad n = \{1, 2, 3\}.$$  

Furthermore, the three different matrix slices of Eq.(3) can be illustrated as Fig. 1.

2.2. Tucker model

Considering an $N$-way tensor $\mathbf{Y} \in \mathbb{R}^{J_1 \times J_2 \times \cdots \times J_N}$, the generalized approximant of the Tucker model is presented as follows:

$$\hat{\mathbf{Y}} = \tilde{\mathbf{Y}} + E = A^{(1)} \times_1 A^{(2)} \times_2 \cdots \times_N A^{(N)}, \quad \text{or} \quad \mathbf{Y}_{(n)} = A^{(n)} G_{(n)} (A^{(n)})^T.$$  

$$\text{s.t.} \quad A^{(n)} = A^{(N)} \otimes \cdots \otimes A^{(n+1)} \otimes A^{(n-1)} \otimes \cdots \otimes A^{(1)}; \quad \{A\} = \{A^{(1)}, \cdots, A^{(N)}\}; \quad n \leq N;$$

Where $\tilde{\mathbf{Y}}$ is an approximation of the real-valued $\mathbf{Y}$, symbol $\times_n$ denotes the product between mode-$n$ matrix and tensor; $\mathbf{G} \in \mathbb{R}^{J_1 \times J_2 \times \cdots \times J_N}$ is a core tensor in the Tucker3 model, and the parameters meet $J_1 \leq I_1, J_2 \leq I_2, \cdots, J_p \leq I_p, r \leq n \leq N$. The Tucker model of Eq. (4) can be rewritten in an element-wise form as:
where $g_{j_1}\cdots j_N$ and $y_{j_1}\cdots j_N$ are two different elements of the tensors $\mathbf{g}$ and $\mathbf{y}$, respectively. Physical model of feature extraction consisting of several sub-tenors by NTD method can be represented as Fig. 2.

Choosing $A^{di} \leq 3$ as the order of Eq. (4) and Eq.(5) in the real applications. Therefore, the secondary sub-features extracted by Tucker3 algorithm to a third-order dataset are expressed as:

$$y_{j_1\cdots j_N} = \sum_{j_1=1}^{J_1} \cdots \sum_{j_N=1}^{J_N} g_{j_1\cdots j_N} \prod_{n=1}^{N} a_{n,j_n}^{(n)}$$

s.t. $a_{n,j_n}^{(n)} \in A^{(n)}, j_n \leq l_n.$ (6)

Some updating algorithms of Tucker3 decomposition for iterative calculation can be found in [19]. However, traditional updating algorithm usually takes much more computer cost when updating all the factors of NTD algorithm, especially used to a large-scale tensor. Thus, a novel updating form will be explored for resolving this problem in the following section.

3. Updating algorithm of iterative calculation

The method of iteration algorithm via ALS with the way of calculation one-by-one has the advantages of simple mathematical model and lower computer storage requirement, but it has to solve the problems of slow convergence and overfitting in the computation procedure [20]. Herein, the solution of computing the factors all-at-once is proposed to overcome these problems.

3.1. Updating algorithm based on NNGD

A real-value tensor $\mathbf{Y} \in \mathbb{R}^E$ is decomposed into $N$ mode matrices $A^{(n)} \in \mathbb{R}^{I_n \times J_n}$ and a core tensor $\mathbf{g} \in \mathbb{R}^{I_1 \times J_1 \times \cdots \times I_N \times J_N}$. Then all the factors are integrated into a global matrix $\mathbf{M} = (A^{(1)T}, \cdots, A^{(N)T}, \text{vec}(\mathbf{g}))$. Operator vec$(\cdot)$ means a tensor $\mathbf{Y}$ stacks its column into a matrix $\mathbf{Y}$, which is also known as matricization mentioned above. Besides, the Hessian matrix is often utilized to remedy data overfitting in the calculation procedures.

Therefore, the simultaneously updating algorithm based on the Gauss-Newton gradient descent is expressed in a common formula as:

$$M_+ = (M - H^{-1}G)_+,$$

where subscript $+$ means adding nonnegative constraint on $M$. The gradient $G$ and the approximation Hessian matrix $H$ are respectively computed by:

$$G = K^T \hat{Y} - Y, \quad H = K^T K,$$

where $\hat{Y} = vec(Y), Y \in \mathbb{R}^E; P$ is a permutation matrix and $K$ is a Jacobian matrix which can be directly utilized in the iteration Eq. (7): $\{A\} - \eta \text{vec}(\nabla f(M))$, and a descent step $0 < \eta < 1 / \lambda$ then $f(M^{t+1}) < f(M^t)$. The process of mathematical proof can also be seen in [19].

The Hessian matrix can alleviate the overfitting happening in the process of the factors calculation. However, the large-scale Hessian matrix demands higher computer cost but lower accuracy [21]. Thus, a more efficient way of computation is required to improve the iterative performance for NTD in the next following.

3.2. Operator optimization

From Eq.(8), the simplified function can be deduced as:

$$G = \hat{K}^T (\hat{Y} - Y) = (G_{(n)} - \eta A^{(n)T}) P_n^+ (\hat{Y} - Y) = \hat{Y} - (Y)(\text{vec}(G) ) \hat{A}^{(n)T} (\text{vec}(G_{(n)}))$$

$$= \text{vec}(\hat{G}) A^{(N)T} (\text{vec}(G_{(n)}))$$

$$= \text{vec}(G) \times_{-n} (A^{(N)T} \mathbf{Y} \times_{-n} A^{(N)T} \mathbf{g}.$$ (9)

Where $\times_{-n}$ denotes inner product between two tensors along all the matrices except mode-$n$. The product between core tensor and mode matrices should be demonstrated:

$$\mathbf{g} \times_{-n} A^{(N)T} = \mathbf{g} \times_1 A^{(1)T} \times_2 \cdots \times_{n-1} A^{(n-1)T} \times_{n+1} A^{(n+1)T} \times_{N+1} A^{(N)T}$$

$$\mathbf{Y} \times_{-n} A^{(N)T} = \mathbf{Y} \times_1 A^{(1)T} \times_2 \cdots \times_{n-1} A^{(n-1)T} \times_{n+1} A^{(n+1)T} \times_{N+1} A^{(N)T}$$. (10)
The Eq.(10) only needs the length of computation space
\( I_n \times \prod_{k=n}^{N} J_k \) rather than \( \prod_{k=n}^{N} J_k \) in Eq.(9), which consumes much more computer storage when \( I_n \gg J_n \). Meanwhile, the Eq.(10) is not necessary to reconstruct an approximate tensor \( \hat{\mathcal{Y}} \) any more.

### 3.3. Methodology implement

Conjugating the traditional NTD and the new updating algorithm, the methodology of the NTD algorithm is carried out as following:

Input: \( \mathcal{Y} \in \mathbb{R}_{I \times I \times J \times J} \), core tensor \( \mathbf{G} \in \mathbb{R}_{I \times I} \), matrices \( \mathbf{A}^{(1)} \in \mathbb{R}_{I \times I} \), \( \mathbf{A}^{(2)} \in \mathbb{R}_{I \times I} \), \( \mathbf{A}^{(3)} \in \mathbb{R}_{I \times I} \), \( 0 < \alpha < 1 \);

Output: \( \mathbf{A}^{(1)} \in \mathbb{R}_{I \times I} \), \( \mathbf{A}^{(2)} \in \mathbb{R}_{I \times I} \), \( \mathbf{A}^{(3)} \in \mathbb{R}_{I \times I} \) and \( \mathbf{G} \in \mathbb{R}_{I \times I \times J \times J} \), \( \mathcal{Y} \).

1. Begin
2. Initializing \( \mathbf{A} \) and \( \mathbf{G} \)
3. for \( n = 1; N \)
   4. \( \mathbf{A}^{(n)} = \mathbf{Y}_{n}\left( \text{vec}((\mathbf{G} \otimes \mathbf{A}, -n)) \right) ; \) /* Add nonnegative constraint on \( \mathbf{A} \)
   5. \( \mathbf{G} = \text{vec}((\mathcal{Y}, \mathbf{A})) ; \) /* \( \mathbf{A} \) is Moore–Penrose of \( \mathbf{A} \)
4. if \( (\text{update} > 0) \) Update; end
5. \( \sigma = ||\mathcal{Y} - \hat{\mathcal{Y}}||^2 ; \)
6. Update
7. for \( n = 1; N + 1 \)
   8. \( \mathbf{H} = \left( (\mathbf{A}^{(n)} \otimes \mathbf{I}_n) \right)^\top \left( (\mathbf{A}^{(n)} \otimes \mathbf{I}_n) \right) ; \)
   9. \( \mathbf{M}_H = (\mathbf{A}^{(1)} \otimes \ldots \mathbf{A}^{(N)}) ; \)
   10. \( \mathbf{K}_{n} = (\mathbf{A}^{(n)} \otimes \mathbf{I}_n) ; \)
11. end
12. \( \mathbf{K} = \{ \mathbf{K}_1, \mathbf{K}_2, \ldots, \mathbf{K}_{N} \} ; \)
13. \( \mathbf{G} = \mathbf{K} \otimes (\mathcal{Y} - \hat{\mathcal{Y}}) ; \)
14. \( \mathbf{M}_H = \left( \mathbf{M} \otimes -H \right) ; \) /* Add nonnegative constraint on \( \mathbf{M}_H \)
15. if \( (\text{error} \leq 10^{-3} \) or delta = 0 or iteration \( \geq 3000) \) stop; end

### 4. Bispectrum analysis of automobile vehicle exterior noise

The layout of the testing ground can be simply sketched as shown in Fig. 3. Line A-A and Line B-B are the two starting points of acceleration in the case of wide open throttle (WOT) via opposite directions, respectively. The data acquisition equipment of LMS test.lab must be fixed both on the points of the two microphones. Set the sample frequency as 10240 Hz and the sample time as 10 seconds. The real test ground and the system of LMS test.lab are shown as in Fig.4.
Taking the computation time into account, the length of each test array data is chosen as 65536 or 6.4s sample time. Thus the bispectrure is consist of the matrix with the size 256×256. Herein, we choose three different states of vehicle exterior noise as analytical object: (a) get rid of thermal shield with damping disk on exhaust pipe; (b) add new sound package and get rid of thermal shield with damping disk on exhaust pipe; (c) original state with no thermal shield, respectively. The bispectrums of three states are illustrated as Fig. 5, where the figures are plotted with the frequency $f_1$ on x-axis, the frequency $f_2$ on y-axis and the vibration displacement $S$ on z-axis (the same below).

The different bispectrums of the vehicle exterior noise are shown as in Fig. 5. It is easy to find that the bispectrums may be confused from the peak values due to interference signals, or some useful signals are masked by other noise, which leads to seriously difficult to judge the state types of the vehicle exterior noise. Fine out the frequency of the noise property belonging to a harmonic pipe is the primary way to NVH engineer. Thus, the methodology of secondary feature extraction is necessary to develop for state recognition once more.

4.1. Secondary feature extraction

The experiments are implemented on MATLAB R2012b and partly use the tensor toolbox [22]. White Gaussian noise with the level 0:0.1:6.4 is added on the dataset to reconstruct a new tensor with the size 256×256×64. Thus, for a third order real tensor, the expression of the secondary feature involved in reference [23] and can be written as:

$$\mathbf{Y} := \mathbf{G} \times_1 \mathbf{A}^{(1)} \times_2 \mathbf{A}^{(2)} \times_3 \left((\mathbf{A}^{(3)}\mathbf{A}^{(2)})^T \mathbf{A}^{(2)}\right)^T \mathbf{A}^{(1)} \mathbf{A}^{(1)} ,$$

(11)

Where $\mathbf{Y}$ is a set basis images of secondary features. In the initializing phase, the size of the core tensor is set as (128, 128, 32), which refers to the conclusion about the arguments size of core tensor approximating to one half size of the original tensor referred in [19]. Two basis images of secondary features for each state are extracted from the reconstruction tensor of vehicle exterior noise shown as in Fig. 6.
From Fig. 6, the primary paired frequency (40, 80) (Hertz, the same below) denotes there exists some significant secondary features, which are masked probability before, and against only 40 Hz alone in Fig. 5. Furthermore, along with some other paired frequency multiplication such as (120, 40), (80, 120), (220, 120) arises in the three states that possibly lead to an important argument of harmonic generation and keep the noise rising, which are not revealed absolutely in Fig. 5 as well. Consequently, the analytical way of bispectrum of secondary features extracted by NTD is of significance to expose out the masked signals available for signal interpretation.

4.2. Efficiency comparison

In this section, the NTD method will be used to decompose the same tensor of vehicle exterior noise and compare with other typical algorithms, such as NTF, HNTF with hierarchical ALS and NMF mentioned above, take the pre-existing sample dataset as the object of analytic target. Theoretically, the complexity of each algorithm for a same tensor with the size $n \times n \times n$ is demonstrated as in Table 1 and to be verified in the following.

Table 1. Complexity for each method

<table>
<thead>
<tr>
<th>Method</th>
<th>NTD</th>
<th>NTF</th>
<th>HNTF</th>
<th>NMF</th>
</tr>
</thead>
<tbody>
<tr>
<td>Complexity</td>
<td>$j_1 j_2 j_3 \log n$</td>
<td>$j_1 \log n$</td>
<td>$j_2 \log n$</td>
<td>$n^3 \log n$</td>
</tr>
</tbody>
</table>

Note: $j_1 \leq n, j_2 \leq n, j_3 \leq n, j_1 j_2 j_3 \leq j \leq n$.

According to the Table 1, when under the same condition, the complexity of NTD method is much less comparing with other methods as in the columns. If the deviations of successive relative error (DSRE, dB) are marked as $\gamma$, that is:

$$\gamma = -20 \log_{10} \frac{\|\hat{Y} - Y\|_F}{\|Y\|_F}$$

Herein, we adopt the DSRE and the computation time as two measure gauges to verify the effect of NTD. Choose (128, 128, 32) as the rank of core tensor for the different scale tensors. Results of all methods about DSRE ($\gamma$/dB) and time (t/s) are recorded in Table 2.

Table 2. Computation results of different methods from three dataset

<table>
<thead>
<tr>
<th>Methods</th>
<th>256 $\times$ 256 $\times$ 40</th>
<th>256 $\times$ 256 $\times$ 48</th>
<th>256 $\times$ 256 $\times$ 64</th>
</tr>
</thead>
<tbody>
<tr>
<td>DSRE</td>
<td>Time</td>
<td>DSRE</td>
<td>Time</td>
</tr>
<tr>
<td>NTF</td>
<td>14.32</td>
<td>1633.00</td>
<td>23.56</td>
</tr>
<tr>
<td>HNTF</td>
<td>21.04</td>
<td>1008.60</td>
<td>24.99</td>
</tr>
<tr>
<td>NMF</td>
<td>15.14</td>
<td>3837.60</td>
<td>18.76</td>
</tr>
<tr>
<td>NTD</td>
<td>28.36</td>
<td>987.26</td>
<td>28.78</td>
</tr>
</tbody>
</table>

Fig. 7. Bar result comparison of different methods. (a) Computation accuracy, (b) Computation time
In order to observe the computation results, the bar diagrams are generated from Table 2 shown as in Fig.7. Combining the bar diagram Fig.7 with the basic data in Table 2, it is easy to find the NTD can reach the highest DSRE with 31.03dB but with the least time against other methods under the same condition, shown as in Fig.7 (a). Particularly, the NMF algorithm needs to take the most time with 4 785.40 s to complete the calculation procedure as in Fig.7 (b) but the DSRE with only 20.17 dB, which means lower performance than other methods. The complexity for each algorithm mostly meets the theoretical expression in Table.1. Thus, the NTD has overwhelming performance in tensor decomposition under the same condition.

5. Conclusions

(1) NTD is proposed to extract the secondary feature for bispectrum analysis of vehicle exterior noise, and the basis images are able to interpret the new features masked before. Method of iteration calculation conjugating with updating algorithm based on NGGD improves the iterative performance of NTD.

(2) The more efficiency and higher accuracy of NTD are verified by different dimensions of the same tensor. Meanwhile, NTD is of success to overcome the problem of overfitting in theory. Related conclusions are also discussed in [24].

(3) Experiments show NTD less complexity comparing other typically methods, and advantages both at the DSRE and computation time.

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References


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**Haijun WANG**
**Guoyong DENG**
**Qinglin LI**
**Qiang KANG**

NVH Institute of vehicle performance integration section
SAIC-GM-Wuling Automobile CO., LTD
18 Hexi Road, Liuzhou 545007, Guangxi, P.R. of China

E-mails: whjun69@sina.com, Guoyong.Deng@sgmw.com.cn, Qinglin.Li@sgmw.com.cn, Kangqiangok@hotmail.com